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ABSTRACT We characterize the cluster variables of skew-symmetrizable cluster algebras of rank 3 by their Newton polytopes. The Newton polytope of the cluster variable z is the convex hull of the set of all $\mathbf{p} \in \mathbb{Z}^3$ such that the Laurent monomial $\mathbf{x}^{\mathbf{p}}$ appears with nonzero coefficient in the Laurent expansion of z in the cluster \mathbf{x} . We give an explicit construction of the Newton polytope in terms of the exchange matrix and the denominator vector of the cluster variable.

Along the way, we give a new proof of the fact that denominator vectors of non-initial cluster variables are non-negative in a cluster algebra of arbitrary rank.

1. INTRODUCTION

Cluster algebras were discovered by Fomin and Zelevinsky in 2001. Since then, it has been shown that they are related to diverse areas of mathematics such as algebraic geometry, total positivity, quiver representations, string theory, statistical physics models, non-commutative geometry, Teichmüller theory, tropical geometry, KP solitons, discrete integrable systems, quantum mechanics, Lie theory, algebraic combinatorics, WKB analysis, knot theory, number theory, symplectic geometry, and Poisson geometry.

A cluster algebra is equipped with a set of distinguished generators called cluster variables. These generators are very far from being fully understood. Explicit combinatorial formulas that are manifestly positive are known for cluster variables in cluster algebras from surfaces [16] and for cluster algebras of rank 2 [14]. For skew-symmetric cluster algebras, there is the cluster character formula for the cluster variables [18] as well as the F -polynomial formula [6] and for skew-symmetrizable cluster algebras there is the scattering diagram approach [10], but none of these provide computable formulas.

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For a general cluster algebra, we do know that cluster variables satisfy the Laurent phenomenon [8] and positivity [15, 10], namely, every cluster variable z can be written as

$$z = \sum_{\mathbf{p} \in \mathbb{Z}^n} e(\mathbf{p})x^{\mathbf{p}},$$

where $e(\mathbf{p}) \geq 0$ for all \mathbf{p} , and $e(\mathbf{p}) > 0$ for finitely many \mathbf{p} .

A natural question is how to describe the set $S(z) := \{\mathbf{p} : e(\mathbf{p}) > 0\}$. However this can be very hard in general (see Remark 5.3). More feasible questions would be the following.

- (a) Describe the Newton polytope of z (which is the convex hull of $S(z)$ by definition).
- (b) Find a subset $U(z) \subset \mathbb{R}^n$ such that the condition $S(z) \subset U(z)$ uniquely detects the cluster variable z (up to a scalar) among all elements in the cluster algebra.

These problems have been solved in [13] for the rank 2 case. In fact, it turns out that the Newton polytope is a solution to (b). The paper [13] also introduced a so-called greedy basis, which includes all cluster variables, and found a certain support condition that uniquely detects each greedy basis element (up to a scalar) among all elements in the cluster algebra. An alternative characterization of greedy elements using a support condition (SC) plays an essential role in the construction of quantum greedy bases of rank 2 cluster algebras [12]. This support condition was a key ingredient in [5], where it was shown that, in rank 2, the greedy basis coincides with the theta basis defined in [10].

In this paper, we consider the rank 3 case. We solve problems (a) and (b), and prove that the Newton polytope of z is a solution to (b). We also generalize the result to quantum cluster variables. The step from rank 2 to rank 3 is known to be difficult, since one has to add the dynamics of the exchange matrix to the problem. In rank 2, the mutation is trivial on the level of the exchange matrix. In rank 3 however, except for a few small cases, the mutation class of the matrix is infinite and the representation theory of the quiver is wild.

Along the way, we give a new elementary proof of the fact that denominator vectors of non-initial cluster variables are non-negative in a cluster algebra of arbitrary rank. This was conjectured by Fomin and Zelevinsky in [9] and recently proved by Cao and Li in [3] using the positivity theorem.

Recently, Fei has studied combinatorics of F -polynomials using a representation-theoretic approach [7]. In that paper it was shown that the F -polynomial of every cluster variable of an acyclic skew-symmetric cluster algebra has saturated support, which means that all lattice points in the Newton polytope of the F -polynomial are in the support of the F -polynomial. Briefly speaking, in the case of skew-symmetric rank 3 cluster algebras, Fei's result is related to our work in the following sense. The Newton polytope of a cluster variable (which lies in a plane inside \mathbb{R}^3) is a projection of the Newton polytope of the corresponding F -polynomial (which is usually 3-dimensional) under a linear map. The supports of F -polynomials are expected to be saturated but cluster variables are not saturated in general. On the other hand, the Newton polytopes of the F -polynomials are difficult to determine but the Newton polytopes of the cluster variables can be explicitly determined. Please see Corollary 5.2, Remark 5.3 and Remark 7.2 for more details.

The paper is organized as follows. In Section 2, we briefly review the solutions for (a) and (a) for rank 2 cluster algebras and in Section 3, we explain our notation and recall several basic facts about cluster algebras. We prove the non-negativity of denominator vectors in Section 4. Our main theorem is presented in Section 5 and

proved in Section 6. We then give an example in Section 7. Finally, in Section 8 we prove a quantum analogue of the main theorem.

2. RANK 2

In this section, we let B be a 2×2 skew-symmetrizable matrix and $\mathcal{A}(B)$ the corresponding cluster algebra with principal coefficients.

2.1. GREEDY BASIS. It is proved in [13] that for each rank 2 cluster algebra there exists a so-called greedy basis defined as follows. Let $B = \begin{bmatrix} 0 & b \\ c & 0 \end{bmatrix}$ denote the exchange matrix. Then for $(a_1, a_2) \in \mathbb{Z}^2$, define $c(p, q)$ for $(p, q) \in \mathbb{Z}_{\geq 0}^2$ recursively by $c(0, 0) = 1$,

$$c(p, q) = \max \left(\sum_{k=1}^p (-1)^{k-1} c(p-k, q) \binom{a_2 - cq + k - 1}{k}, \sum_{k=1}^q (-1)^{k-1} c(p, q-k) \binom{a_1 - bp + k - 1}{k} \right)$$

and define the greedy element at (a_1, a_2) as

$$x[a_1, a_2] = \frac{\sum c(p, q) x_1^{bp} x_2^{cq}}{x_1^{a_1} x_2^{a_2}}.$$

Recall that an element of $\mathcal{A}(B)$ is called *positive* if its Laurent expansion is positive in every seed. A positive element is *indecomposable* if it cannot be written as a sum of two positive elements. Finally, a basis \mathcal{B} is called *strongly positive* if any product of elements from \mathcal{B} can be expanded as a positive linear combination of elements of \mathcal{B} .

THEOREM 2.1 ([13]). *The set $\mathcal{B} = \{x[a_1, a_2] \mid (a_1, a_2) \in \mathbb{Z}^2\}$ is a strongly positive basis for the cluster algebra $\mathcal{A}(B)$. Moreover \mathcal{B} contains all cluster monomials and all elements of \mathcal{B} are indecomposable positive elements of $\mathcal{A}(B)$. \mathcal{B} is called the greedy basis.*

Here the fact that \mathcal{B} is strongly positive follows from [5], where it is shown that the greedy basis coincides with the theta function basis defined in [10].

2.2. CHARACTERIZATION USING SUPPORT CONDITIONS. The following alternative characterization of greedy elements using a support condition (SC) plays an essential role in the construction of greedy bases of rank 2 quantum cluster algebras [12].

THEOREM 2.2. *The coefficients $c(p, q)$ of $x[a_1, a_2]$ are determined by:*

(NC) (Normalization condition) $c(0, 0) = 1$.

(DC) (Divisibility condition)

$$\text{if } a_2 > cq, \text{ then } (1+x)^{a_2-cq} \mid \sum_i c(i, q) x^i.$$

$$\text{if } a_1 > bp, \text{ then } (1+x)^{a_1-bp} \mid \sum_i c(p, i) x^i.$$

(SC) (Support condition) $c(p, q) = 0$ outside the region given in [12, Figure 1].

Moreover, if $x[a_1, a_2]$ is a cluster variable then condition (SC) becomes $c(p, q) = 0$ outside the closed triangle with vertices $(0, 0), (a_2, 0), (0, a_1)$, as shown in Figure 1.

The main theorem of this paper, Theorem 5.1, gives a similar characterization for cluster variables for every rank 3 cluster algebra.

3. PREPARATION

3.1. DEFINITION, NOTATIONS, AND FACTS IN CLUSTER ALGEBRAS. We recall the definition of skew-symmetrizable cluster algebras with principal coefficients.

A square matrix B is called skew-symmetrizable if there exists a positive integer diagonal matrix D such that DB is skew-symmetric.

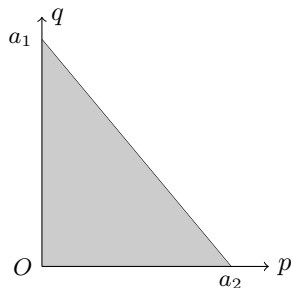


FIGURE 1. Support of a cluster variable $x[a_1, a_2]$ of a rank 2 cluster algebra

Let n be a positive integer. Let \mathbb{T} denote the n -regular tree whose edges are labeled by integers in $\{1, \dots, n\}$ so that each vertex is incident on n edges with distinct labels. The notation $t \overset{k}{-} t'$ means that the edge joining t and t' is labeled by k .

Denote by \mathcal{F} the field of rational functions $\mathbb{Q}(x_1, \dots, x_{2n})$. To distinguish between mutable variables x_1, \dots, x_n and coefficient variables x_{n+1}, \dots, x_{2n} , we also use the notation $y_i = x_{n+i}$, for $i = 1, \dots, n$. For $\mathbf{p} = (p_1, \dots, p_n) \in \mathbb{Z}^n$, let $x^{\mathbf{p}} = x_1^{p_1} \cdots x_n^{p_n}$, $y^{\mathbf{p}} = y_1^{p_1} \cdots y_n^{p_n}$. For $\tilde{\mathbf{p}} = (p_1, \dots, p_{2n}) \in \mathbb{Z}^{2n}$, let $x^{\tilde{\mathbf{p}}} = x_1^{p_1} \cdots x_{2n}^{p_{2n}}$.

Each vertex $t \in \mathbb{T}$ is decorated with a seed $\Sigma_t = (\mathbf{x}(t), \tilde{B}(t))$ where:

- $\tilde{B}(t) = [b_{ij}^{(t)}]$ is a $2n \times n$ integer matrix such that the submatrix $B(t)$ formed by the top n rows of $\tilde{B}(t)$ is skew-symmetrizable.
- $\mathbf{x}(t) = \{x_1(t), \dots, x_n(t)\}$ is an n -tuple of elements of \mathcal{F} .

The seeds are defined recursively by mutation as follows. Fix an initial vertex $t_0 \in \mathbb{T}$ and define the initial seed as $\Sigma_{t_0} = \{x_1, \dots, x_n\}$, $\tilde{B} = \begin{bmatrix} B \\ I \end{bmatrix}$ where B is a $n \times n$ skew-symmetrizable matrix and I is the $n \times n$ identity matrix.

For any real number a , let $[a]_+ := \max(a, 0)$. Given a seed $\Sigma_t = (\mathbf{x}(t), \tilde{B}(t))$ and an edge $t \overset{k}{-} t'$, we define the mutation of Σ_t to be $\mu_k(\Sigma_t) = \Sigma_{t'} = (\mathbf{x}(t'), \tilde{B}(t'))$, where

$$b_{ij}^{(t')} = \begin{cases} -b_{ij}^{(t)} & \text{if } i = k \text{ or } j = k, \\ b_{ij}^{(t)} + \text{sgn}(b_{ik}^{(t)})[b_{ik}^{(t)}b_{kj}^{(t)}]_+ & \text{otherwise.} \end{cases}$$

$$x_i(t') = \begin{cases} x_k(t)^{-1} \left(\prod_{j=1}^{2n} x_j(t)^{[b_{jk}^{(t)}]_+} + \prod_{j=1}^{2n} x_j(t)^{[-b_{jk}^{(t)}]_+} \right) & \text{if } i = k, \\ x_i(t) & \text{otherwise.} \end{cases}$$

Each $x_i(t)$ is called a cluster variable. The cluster algebra \mathcal{A} is the $\mathbb{Q}[x_{n+1}^\pm, \dots, x_{2n}^\pm]$ -subalgebra of \mathcal{F} generated by all cluster variables.

For each seed Σ_t , let $C(t)$ be the $n \times n$ submatrix of $\tilde{B}(t)$ formed by the bottom n rows of $\tilde{B}(t)$. Its columns, $\mathbf{c}_1(t), \dots, \mathbf{c}_n(t)$, are called c -vectors. We need the following theorem proved by Gross–Hacking–Keel–Kontsevich in [10, Corollary 5.5].

THEOREM 3.1 (Sign-coherence of c -vectors [10]). *In a skew-symmetrizable cluster algebra, every c -vector $\mathbf{c}_k^{(t)} = [b_{ik}^{(t)}]_{i=n+1}^{2n}$ is in $\mathbb{Z}_{\geq 0}^n \cup \mathbb{Z}_{\leq 0}^n$.*

We will need the following lemma. (As suggested by the referee, it follows immediately from a result of Nakanishi and Zelevinsky.)

LEMMA 3.2. *The determinant of $C(t)$ is 1 or -1 . As a consequence, the c -vectors are linearly independent, and all c -vectors are nonzero.*

Proof. By [17, Theorem 1.2], the integer matrix $C(t)$ is the inverse of another integer matrix. So the determinant of $C(t)$ is 1 or -1 . \square

We also need the following fact, which is shown for skew-symmetric cluster algebras in [6], but we could not find a reference in the skew-symmetrizable setting. A close reference is [11, Lemma 5.1] which describes a similar idea in the skew-symmetric case.

LEMMA 3.3. *The F -polynomial of every cluster variable of a skew-symmetrizable cluster algebra has constant term 1.*

Proof. We prove that the conclusion holds for cluster variables in every seed, by induction on the distance from the current seed to the initial seed in the n -regular tree \mathbb{T} .

The statement is true for the initial seed since the F -polynomials are 1.

Assume the conclusion is true for the seed t and unknown for $t' = \mu_k(t)$. The rule of change of F -polynomials under mutation is given in [8, Proposition 5.1], where the only F -polynomial that changes under mutation μ_k is:

$$F_k^{(t')} = \frac{y^{[c_k^{(t)}]_+} \prod_{i=1}^n (F_i^{(t)})^{[b_{ik}^{(t)}]_+} + y^{[-c_k^{(t)}]_+} \prod_{i=1}^n (F_i^{(t)})^{[-b_{ik}^{(t)}]_+}}{F_k^{(t)}}.$$

By sign-coherence of c -vectors (Theorem 3.1), $[c_k^{(t)}]_+ \in \mathbb{Z}_{\geq 0}^n \cup \mathbb{Z}_{\leq 0}^n$. Assuming $c_k^{(t)} \in \mathbb{Z}_{\geq 0}$ (the other case can be proved similarly), we have an equality in $\mathbb{Z}[y_1, \dots, y_n]$:

$$F_k^{(t')} F_k^{(t)} = y^{[c_k^{(t)}]_+} \prod_{i=1}^n (F_i^{(t)})^{[b_{ik}^{(t)}]_+} + \prod_{i=1}^n (F_i^{(t)})^{[-b_{ik}^{(t)}]_+}.$$

Moreover $y^{[c_k^{(t)}]_+} \neq 1$ since $c_k^{(t)} \neq \mathbf{0}$. Letting $y_1 = \dots = y_n = 0$, we immediately conclude that the constant term of $F_k^{(t')}$ is 1. So the conclusion is true for t' . \square

For convenience, we introduce simpler notations for rank 3 cluster algebras. Let

$$B = [b_{ij}] = \begin{bmatrix} 0 & a & -c' \\ -a' & 0 & b \\ c & -b' & 0 \end{bmatrix}, \quad \tilde{B} = [b_{ij}] = \begin{bmatrix} 0 & a & -c' \\ -a' & 0 & b \\ c & -b' & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}.$$

The assumption that \tilde{B} be skew-symmetrizable implies the existence of positive integers $\delta_1, \delta_2, \delta_3$ such that $\delta_i b_{ij} = -\delta_j b_{ji}$ for all i, j . So we can define

$$\bar{a} := \delta_1 a = \delta_2 a', \quad \bar{b} := \delta_2 b = \delta_3 b', \quad \bar{c} := \delta_3 c = \delta_1 c', \quad \text{thus } DB = \begin{bmatrix} 0 & \bar{a} & -\bar{c} \\ -\bar{a} & 0 & \bar{b} \\ \bar{c} & -\bar{b} & 0 \end{bmatrix}.$$

We say B is *cyclic* if a, b, c are either all strictly positive or all strictly negative, otherwise B is *acyclic*.

Note that $aa', bb', cc' \geq 0$. Denote the i -th column of B by B_i . Then

$$\bar{b}B_1 + \bar{c}B_2 + \bar{a}B_3 = \mathbf{0}.$$

In particular, the vectors $B_1, B_2, B_3 \in \mathbb{R}^3$ are coplanar, which is a fact essential for this paper.

In this paper, we assume the non-degeneracy condition that at most one of a, b, c is zero.

REMARK 3.4. In the degenerate case when at least two of a, b, c are zero, some of the proofs in this paper may not longer work. On the other hand, the degenerate case is essentially a rank 2 cluster algebra and our main theorems follows directly from [12]. Note that if the initial B -matrix satisfies the non-degeneracy condition, then all the B -matrices obtained by mutations satisfy the non-degeneracy condition.

3.2. CIRCULAR ORDER.

DEFINITION 3.5. We say a sequence of coplanar vectors v_1, \dots, v_n is in circular order if there is an \mathbb{R} -linear isomorphism ϕ from a plane containing these vectors to the complex plane \mathbb{C} such that

$$\phi(v_k) = r_k e^{\sqrt{-1}\theta_k}, \quad r_k \geq 0 \quad (1 \leq k \leq n), \quad \text{and} \quad \theta_1 \leq \theta_2 \leq \dots \leq \theta_n \leq \theta_1 + 2\pi.$$

We introduce the following notation. Given $(d_1, d_2, d_3) \in \mathbb{Z}^3$, define

$$(1) \quad \mathbf{v}_i = d_i B_i \quad (\text{for } i = 1, 2, 3) \quad \text{and} \quad \mathbf{v}_4 = -\mathbf{v}_1 - \mathbf{v}_2 - \mathbf{v}_3.$$

The following easy observation is very useful for proving the circular order condition.

LEMMA 3.6. Assume $(d_1, d_2, d_3) \in \mathbb{Z}_{\geq 0}^3 \setminus \{(0, 0, 0)\}$. Then $B_i, B_j, B_k, \mathbf{v}_4$ is in circular order if and only if the following conditions hold:

- (1) If B_1, B_2, B_3 are not in the same half plane, then $\mathbf{v}_4 = \lambda_1 B_i + \lambda_2 B_k$ for some $\lambda_1, \lambda_2 \geq 0$.
- (2) If B_1, B_2, B_3 are strictly in the same half plane (so no two are in opposite directions), then $B_j = \eta_1 B_i + \eta_2 B_k$ for some $\eta_1, \eta_2 \geq 0$. In particular, if two of B_1, B_2, B_3 are in the same direction, then one of them is B_j .
- (3) If two of B_1, B_2, B_3 are in opposite directions, then either
 - B_i, B_k are in opposite directions, or
 - B_i, B_j are in opposite directions, $d_k = 0$, and \mathbf{v}_4, B_i are in the same direction, or
 - B_j, B_k are in opposite directions, $d_i = 0$, and \mathbf{v}_4, B_k are in the same direction.

Proof. This is an easy observation using Figure 2 as reference. □

3.3. WEAKLY CONVEX QUADRILATERALS.

DEFINITION 3.7. Assume four points $P_1, P_2, P_3, P_4 \in \mathbb{R}^3$, not necessarily distinct, are coplanar. We call the polygon $\mathbf{P} = P_1 P_2 P_3 P_4$ a weakly convex quadrilateral if the four vectors $\overrightarrow{P_1 P_2}, \overrightarrow{P_2 P_3}, \overrightarrow{P_3 P_4}, \overrightarrow{P_4 P_1}$ are in circular order.

We use convention that $P_{i+4k} = P_i$ for $1 \leq i \leq 4$ and $k \in \mathbb{Z}$.

If $\mathbf{P} = P_1 P_2 P_3 P_4$ is a weakly convex quadrilateral, we denote by $|\mathbf{P}| \subset \mathbb{R}^3$ the convex hull of $\{P_1, P_2, P_3, P_4\}$.

REMARK 3.8. By definition, $|\mathbf{P}|$ is just a bounded convex subset of a real plane inside \mathbb{R}^3 , while \mathbf{P} “remembers” four points in the polygon which are not necessarily distinct and not necessarily the vertices of the polygon. Nevertheless, the set of vertices of $|\mathbf{P}|$ is a subset of $\{P_1, \dots, P_4\}$. See Figure 3 for some examples of \mathbf{P} . When we talk about the physical features of a weakly convex quadrilateral \mathbf{P} , where we do not have to pay attention to the four special points P_1, \dots, P_4 of \mathbf{P} , we would for simplicity identify \mathbf{P} with $|\mathbf{P}|$, the underlying convex set. This applies to phrases like “a point is contained in \mathbf{P} ”, “the Newton polytope of a cluster variable is \mathbf{P} ”, “ \mathbf{P} is a line segment”, “ \mathbf{P} is a triangle”, or “ $\dim \mathbf{P} = 1$ ”. If we need to use the actual coordinates of the points

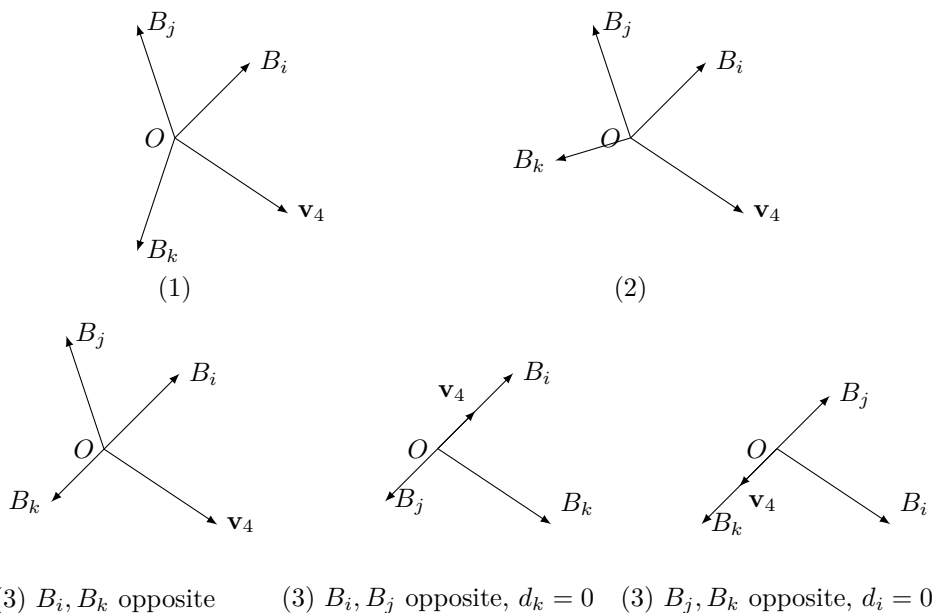


FIGURE 2. The three cases of Lemma 3.6

P_1, \dots, P_4 , then we distinguish \mathbf{P} from $|\mathbf{P}|$. This includes Lemma 3.9, Lemma 3.11, and Section 6.3.

In the following, we shall give a more explicit description of weakly convex quadrilaterals. Recall that \mathbf{P} is a (usual) convex quadrilateral if the four vectors $\overrightarrow{P_1P_2}$, $\overrightarrow{P_2P_3}$, $\overrightarrow{P_3P_4}$, $\overrightarrow{P_4P_1}$ are in circular order, all nonzero, and that no two are in the same direction. In terms of complex numbers, that is to say: if there is an \mathbb{R} -linear isomorphism ϕ such that

$$\phi(\overrightarrow{P_kP_{k+1}}) = r_k e^{\sqrt{-1}\theta_k}, \quad r_k > 0 \quad (1 \leq k \leq 4), \quad \text{and} \quad \theta_1 < \theta_2 < \theta_3 < \theta_4 < \theta_1 + 2\pi.$$

LEMMA 3.9. Let $\mathbf{P} = P_1P_2P_3P_4$. The following are equivalent:

- (1) \mathbf{P} is weakly convex.
- (2) \mathbf{P} is the limit of a sequence of convex quadrilaterals.
- (3) \mathbf{P} is one of the following:
 - $\dim \mathbf{P} = 0$ (that is, $P_1 = P_2 = P_3 = P_4$, so \mathbf{P} degenerates to a point);
 - $\dim \mathbf{P} = 1$, \mathbf{P} is a line segment P_iP_{i+3} and $P_i, P_{i+1}, P_{i+2}, P_{i+3}$ are arranged in order, for some $1 \leq i \leq 4$;
 - $\dim \mathbf{P} = 1$, \mathbf{P} is a line segment P_iP_{i+2} which contains P_{i+1} and P_{i+3} , for some $1 \leq i \leq 4$;
 - $\dim \mathbf{P} = 2$, \mathbf{P} is a triangle $P_iP_{i+1}P_{i+2}$ whose side $P_{i+2}P_i$ contains the point P_{i+3} , for some $1 \leq i \leq 4$;
 - $\dim \mathbf{P} = 2$, $P_1P_2P_3P_4$ is a (usual) convex quadrilateral.

Proof. (1) \Leftrightarrow (2): Assume the sequence of $\mathbf{P}^{(j)} = P_1^{(j)}P_2^{(j)}P_3^{(j)}P_4^{(j)}$ has limit \mathbf{P} and

$$\phi(\overrightarrow{P_k^{(j)}P_{k+1}^{(j)}}) = r_k^{(j)} e^{\sqrt{-1}\theta_k^{(j)}}, \quad r_k^{(j)} > 0 \quad (1 \leq k \leq 4),$$

and

$$\theta_1^{(j)} < \theta_2^{(j)} < \theta_3^{(j)} < \theta_4^{(j)} < \theta_1^{(j)} + 2\pi.$$

By choosing appropriate angles $\theta_k^{(j)}$ and replace the sequence by a subsequence if necessary, we can assume

$$\lim_{j \rightarrow \infty} r_k^{(j)} = r_k, \quad \lim_{j \rightarrow \infty} \theta_k^{(j)} = \theta_k.$$

Then by the property of limits we conclude that $r_k \geq 0$ and $\theta_1 \leq \theta_2 \leq \theta_3 \leq \theta_4 \leq \theta_1 + 2\pi$. So $\overrightarrow{P_1 P_2}, \dots, \overrightarrow{P_4 P_1}$ are in circular order.

(2) \Leftarrow (3): Obvious from the Figure 3.

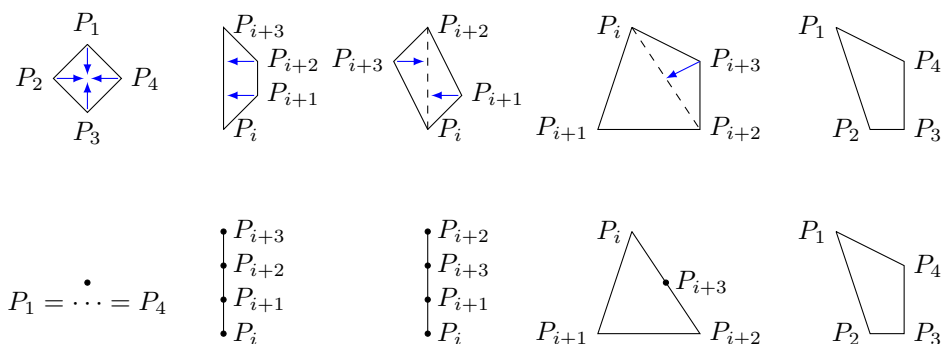


FIGURE 3. Bottom figures are the limit of the corresponding top convex quadrilaterals for the five cases in Lemma 3.9 (3)

(1) \Rightarrow (3): we show the contrapositive. If \mathbf{P} is not listed in (3), then it must be one of those listed below, all of which are obviously not weakly convex (see Figure 4).

- $\dim \mathbf{P} = 1$, \mathbf{P} is a line segment $P_i P_{i+3}$ and $P_i, P_{i+2}, P_{i+1}, P_{i+3}$ are arranged in order, for some $1 \leq i \leq 4$;
- $\dim \mathbf{P} = 2$, \mathbf{P} is a triangle $P_i P_{i+1} P_{i+2}$ for some $1 \leq i \leq 4$, and the line segment $P_{i+2} P_i$ does not contain the point P_{i+3} . \square

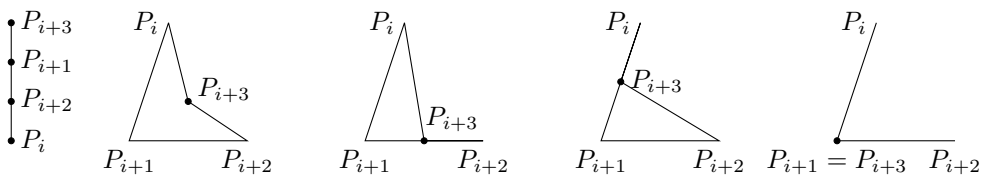


FIGURE 4. Quadrilaterals that are not weakly convex

DEFINITION 3.10. Given r vectors P_1, P_2, \dots, P_r in \mathbb{R}^3 with coordinates $P_i = \begin{bmatrix} p_{i,1} \\ p_{i,2} \\ p_{i,3} \end{bmatrix}$, define their minimum vector by

$$\overrightarrow{\min}(P_1, \dots, P_r) = \begin{bmatrix} m_1 \\ m_2 \\ m_3 \end{bmatrix}, \quad \text{with } m_i = \min(p_{1,i}, p_{2,i}, \dots, p_{r,i}).$$

For example, if $P_1 = \begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix}$ and $P_2 = \begin{bmatrix} 3 \\ 4 \\ 0 \end{bmatrix}$, then $\overrightarrow{\min}(P_1, P_2) = \begin{bmatrix} 1 \\ 2 \\ 0 \end{bmatrix}$.

3.4. WEAKLY CONVEX QUADRILATERALS IN RANK 3 CLUSTER ALGEBRAS. We are going to construct a weakly convex quadrilateral $\mathbf{P}_{\mathbf{d}}$ for all positive integer vectors $\mathbf{d} \in \mathbb{Z}^3$. Later the vector \mathbf{d} will be the denominator vector of a cluster variable. For the initial denominator vectors $\mathbf{d} \in \left\{ \begin{bmatrix} -1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ -1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ -1 \end{bmatrix} \right\}$, we let $\mathbf{P}_{\mathbf{d}}$ be the degenerate quadrilateral consisting of the point \mathbf{d} . For all other \mathbf{d} we have the following lemma. Recall that $\mathbf{v}_i = \mathbf{d}_i B_i$.

LEMMA 3.11. *For all $\mathbf{d} \in \mathbb{Z}_{\geq 0}^3 \setminus (0, 0, 0)$ there exists a weakly convex quadrilateral $\mathbf{P}_{\mathbf{d}} = \mathbf{P}_{\mathbf{d}}^B = P_1 P_2 P_3 P_4$ (We use $\mathbf{P}_{\mathbf{d}}$ when there is no risk of confusion of which matrix B we refer to.)*

such that

- (1) *There is a permutation (i, j, k) of $(1, 2, 3)$ such that*
 - (1a) $b_{ij} \geq 0, b_{jk} \geq 0$.
 - (1b) $\overrightarrow{P_1 P_2} = \mathbf{v}_i, \overrightarrow{P_2 P_3} = \mathbf{v}_j, \overrightarrow{P_3 P_4} = \mathbf{v}_k$.
 - (1c) *the four vectors $B_i, B_j, B_k, \mathbf{v}_4 = -\mathbf{v}_i - \mathbf{v}_j - \mathbf{v}_k$ are in circular order.*
- (2) $\overrightarrow{\min}(P_1, P_2, P_3, P_4) = -\mathbf{d}$.

Moreover, even though $\mathbf{P}_{\mathbf{d}}$ may not be uniquely determined by the above condition (because P_1, \dots, P_4 may vary), the convex hull $|\mathbf{P}_{\mathbf{d}}|$ is unique.

Proof. We define $\mathbf{P}_{\mathbf{d}} = P_1 P_2 P_3 P_4$ as follows. For an illustration see Example 7.1. First define a quadrilateral $\tilde{\mathbf{P}}$ by the vertices $\tilde{P}_1 = (0, 0, 0)$, $\tilde{P}_2 = \tilde{P}_1 + \mathbf{v}_i$, $\tilde{P}_3 = \tilde{P}_2 + \mathbf{v}_j$, and $\tilde{P}_4 = \tilde{P}_3 + \mathbf{v}_k$. Clearly this quadrilateral satisfies condition (1b), but it does not necessarily satisfy condition (2). Let $\tilde{\mathbf{d}}' = -\overrightarrow{\min}(\tilde{P}_1, \tilde{P}_2, \tilde{P}_3, \tilde{P}_4)$. Then define the quadrilateral $\mathbf{P}_{\mathbf{d}}$ as the translation of the quadrilateral $\tilde{\mathbf{P}}$ by $\mathbf{d}' - \mathbf{d}$. Then $\mathbf{P}_{\mathbf{d}}$ satisfies conditions (1b) and (2).

Also note that condition (1c) implies the weaker condition that the vectors $\overrightarrow{P_1 P_2} = \mathbf{v}_i, \overrightarrow{P_2 P_3} = \mathbf{v}_j, \overrightarrow{P_3 P_4} = \mathbf{v}_k, \overrightarrow{P_4 P_1} = \mathbf{v}_4$ are in circular order. Thus (1c) implies that the quadrilateral is weakly convex.

Thus it remains to show conditions (1a) and (1c). We prove these in five separate cases. See Figures 5–9.

CASE 1. Suppose Q is acyclic and $abc \neq 0$. We may assume without loss of generality that $a, b > 0$, and $c < 0$. Let $(i, j, k) = (1, 2, 3)$. Thus condition (1a) holds. Furthermore, in this case, $B_2 = (-b/c)B_1 + (-a/c)B_3$ is a positive linear combination of B_1 and B_3 . Hence all three vectors B_1, B_2, B_3 lie in the same half plane. Thus Lemma 3.6 (2) implies that $B_1, B_2, B_3, \mathbf{v}_4$ are in circular order. This proves (1c).

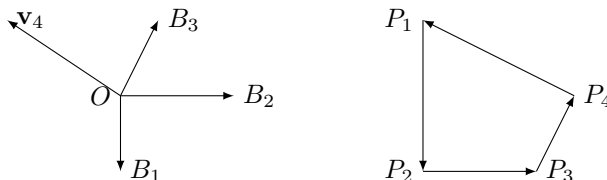


FIGURE 5. (Case 1)

CASE 2. Suppose Q is acyclic, one of a, b, c is zero and the other two have the same sign. That is, Q has two arrows forming a length-2 directed path. Without loss of generality, we may assume that Q is $1 \rightarrow 2 \rightarrow 3$, that is, $a, b > 0$ and $c = 0$. The vectors B_1 and B_3 are in opposite directions.

If $d_2 > 0$, we let $(i, j, k) = (1, 2, 3)$. Then condition (1a) holds and condition (1c) holds by Lemma 3.6 (3). Note that condition (1a) would also hold for $(i, j, k) = (3, 1, 2)$, or $(2, 3, 1)$; however, condition (1c) would fail for both. Thus the permutation of (i, j, k) , and hence the quadrilateral \mathbf{P}_d , are unique in this case.

If $d_2 = 0$, conditions (1a) and (1c) hold for $(i, j, k) = (1, 2, 3)$ and $(3, 1, 2)$. In both cases, the quadrilateral degenerates to a line segment in the direction of B_1 . of length $\max(|\mathbf{v}_1|, |\mathbf{v}_3|)$. The case $(1, 2, 3)$ is illustrated in the second picture of Figure 6. In particular, even though \mathbf{P}_d may not be uniquely determined by the conditions of the lemma, the convex hull $|\mathbf{P}_d|$ is unique.

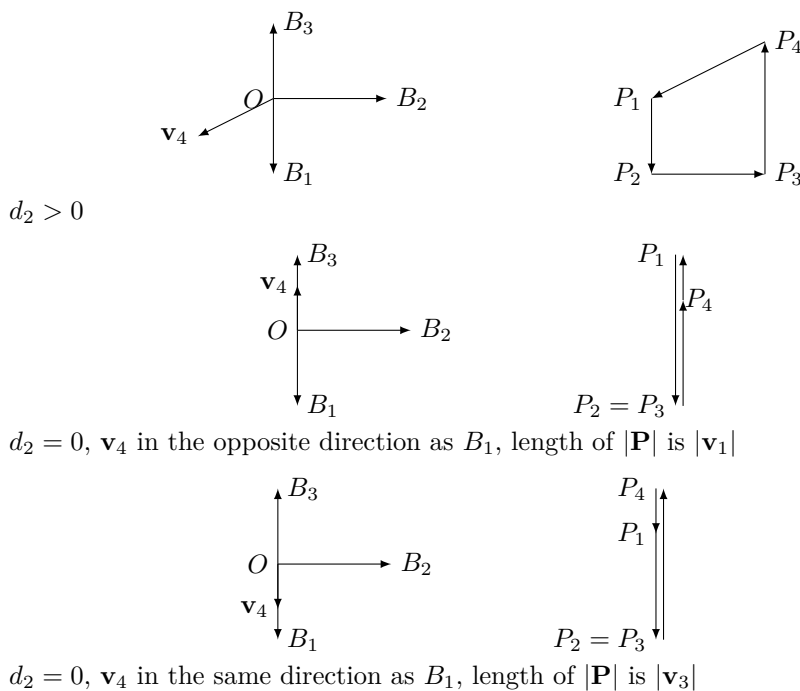


FIGURE 6. (Case 2)

CASE 3. Suppose Q is acyclic, one of a, b, c is zero and the other two have the opposite sign. Then exactly one vertex is adjacent to both the other two, and this vertex is either a sink or a source.

CASE 3A. If this vertex is a sink. Without loss of generality, assume Q is $1 \rightarrow 3 \leftarrow 2$, that is, $a = 0, b > 0 > c$. The vectors B_1 and B_2 are in the same direction. Condition (1a) is satisfied for the two permutations $(i, j, k) = (1, 2, 3)$ or $(2, 1, 3)$, and both satisfy condition (1c) by Lemma 3.6 (1). Both cases give the same $|\mathbf{P}_d|$, which is triangle with edges $\mathbf{v}_1 + \mathbf{v}_2, \mathbf{v}_3, \mathbf{v}_4$, in that order.

CASE 3B. If this vertex is a source. Without loss of generality, assume Q is $2 \leftarrow 1 \rightarrow 3$, that is, $b = 0, a > 0 > c$. The vectors B_2 and B_3 are in the same direction. Condition (1a) is satisfied for the two permutations $(i, j, k) = (1, 2, 3)$ or $(1, 3, 2)$, and both satisfy condition (1c) by Lemma 3.6 (1). Both cases give the same $|\mathbf{P}_d|$, which is a triangle with edges $\mathbf{v}_1, \mathbf{v}_2 + \mathbf{v}_3, \mathbf{v}_4$, in that order.

CASE 4. Suppose Q is cyclic. Without loss of generality we may assume $a, b, c > 0$. Condition (1a) narrows down the choices of (i, j, k) to $(1, 2, 3)$, $(2, 3, 1)$, or $(3, 1, 2)$.

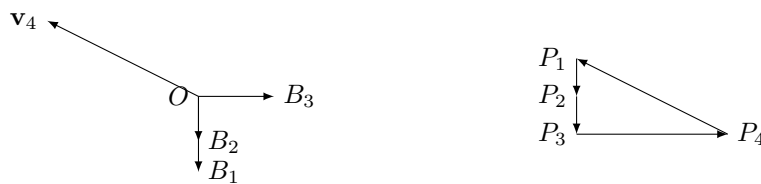


FIGURE 7. (Case 3a)

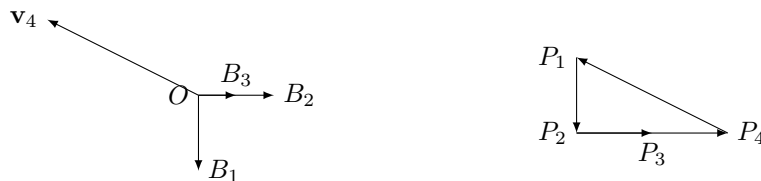


FIGURE 8. (Case 3b)

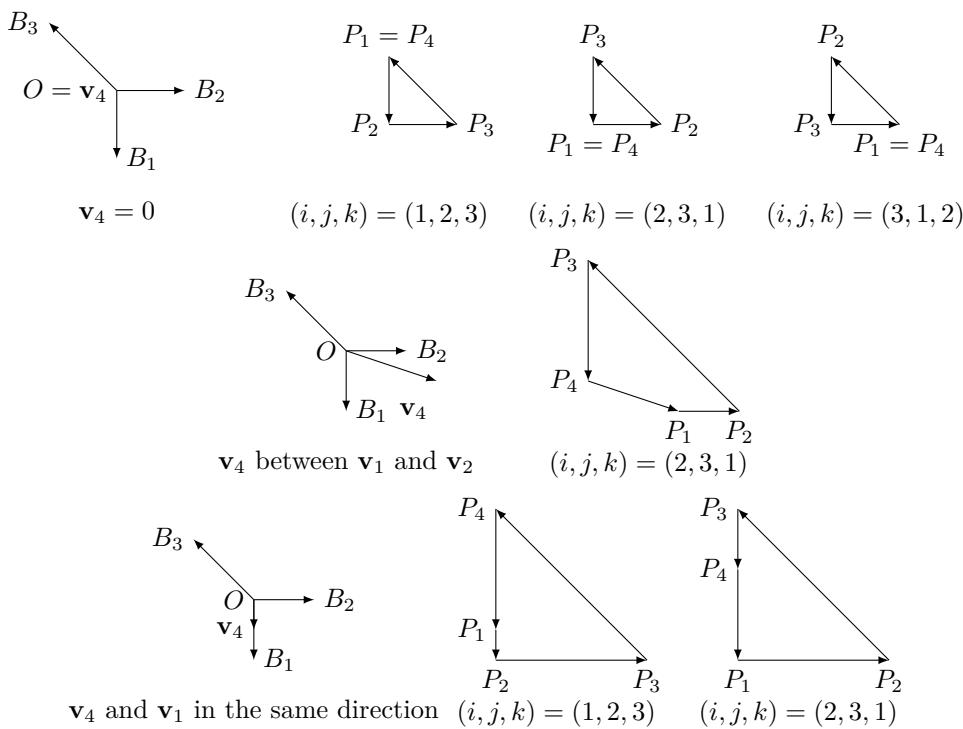


FIGURE 9. (Case 4)

If $\mathbf{v}_4 = 0$, then for all of the above three choices of (i, j, k) , we get the same $|\mathbf{P}|$ which is a triangle with edges $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3$, in that order.

In the following we assume $\mathbf{v}_4 \neq 0$. If \mathbf{v}_4 is strictly between \mathbf{v}_1 and \mathbf{v}_2 (respectively, \mathbf{v}_2 and \mathbf{v}_3 , \mathbf{v}_3 and \mathbf{v}_1), then the circular order condition implies the unique choice $(i, j, k) = (2, 3, 1)$ (resp. $(3, 1, 2)$, $(1, 2, 3)$). If \mathbf{v}_4 is in the same direction as \mathbf{v}_1 , then $(i, j, k) = (1, 2, 3)$ or $(2, 3, 1)$. But they give the same quadrilateral which degenerates

to a (possibly degenerated) triangle with edges $\mathbf{v}_1 + \mathbf{v}_4, \mathbf{v}_2, \mathbf{v}_3$, in that order. Similar argument holds for \mathbf{v}_4 being in the same direction as \mathbf{v}_2 or \mathbf{v}_3 . \square

3.5. A SUBSTITUTION LEMMA. In the following lemma, we describe the effect of replacing the variable x_i by its mutation x'_i . We use the following notation.

$$\alpha_1 = \begin{bmatrix} -1 & 0 & 0 \\ [a']_+ & 1 & 0 \\ [-c]_+ & 0 & 1 \end{bmatrix} \quad \alpha_2 = \begin{bmatrix} 1 & [-a]_+ & 0 \\ 0 & -1 & 0 \\ 0 & [b']_+ & 1 \end{bmatrix} \quad \alpha_3 = \begin{bmatrix} 1 & 0 & [c']_+ \\ 0 & 1 & [-b]_+ \\ 0 & 0 & -1 \end{bmatrix}$$

$$\beta_1 = \begin{bmatrix} -1 & 0 & 0 \\ [-a']_+ & 1 & 0 \\ [c]_+ & 0 & 1 \end{bmatrix} \quad \beta_2 = \begin{bmatrix} 1 & [a]_+ & 0 \\ 0 & -1 & 0 \\ 0 & [-b']_+ & 1 \end{bmatrix} \quad \beta_3 = \begin{bmatrix} 1 & 0 & [-c']_+ \\ 0 & 1 & [b]_+ \\ 0 & 0 & -1 \end{bmatrix}$$

Recall that a semifield $\mathbb{P} = (\mathbb{P}, \oplus, \cdot)$ is an abelian multiplicative group endowed with an auxiliary addition $\oplus : \mathbb{P} \times \mathbb{P} \rightarrow \mathbb{P}$ which is associative, commutative, and $a(b \oplus c) = ab \oplus ac$ for every $a, b, c \in \mathbb{P}$. Let $\mathbb{Z}\mathbb{P}$ be the group ring of \mathbb{P} , and $\mathbb{Q}\mathbb{P}$ the field of fractions of $\mathbb{Z}\mathbb{P}$.

LEMMA 3.12. Let $\mathbf{p} = (p_1, p_2, p_3)$ and $r \geq 0$. For $i = 1, 2, 3$ let f_i be a Laurent polynomial of the form

$$f_i = a_0 x^{\mathbf{p} + b_0 B_i} + a_1 x^{\mathbf{p} + b_1 B_i} + \dots + a_n x^{\mathbf{p} + b_n B_i} = \sum_{j=0}^n a_j x^{\mathbf{p} + b_j B_i},$$

where $a_0, \dots, a_n \in \mathbb{Q}\mathbb{P}$, $0 = b_0 < b_1 < \dots < b_n = r$, so that the exponents in f_i are points on the line segment from \mathbf{p} to $\mathbf{p} + r B_i =: \mathbf{q}$.

Let g_i be the rational function obtained from f_i by substituting

$$\begin{aligned} x_1 &\text{ by } (p^- x_2^{[a']_+} x_3^{[-c]_+} + p^+ x_2^{[-a']_+} x_3^{[c]_+}) / x_1 & \text{if } i = 1; \\ x_2 &\text{ by } (p^- x_3^{[b']_+} x_1^{[-a]_+} + p^+ x_3^{[-b']_+} x_1^{[a]_+}) / x_2 & \text{if } i = 2; \\ x_3 &\text{ by } (p^- x_1^{[c]_+} x_2^{[-b]_+} + p^+ x_1^{[-c]_+} x_2^{[b]_+}) / x_3 & \text{if } i = 3. \end{aligned}$$

where $p^-, p^+ \in \mathbb{P}$.

If g_i is a Laurent polynomial, then

$$g_i = a'_0 x^{\mathbf{p}' + b'_0 B_i} + a'_1 x^{\mathbf{p}' + b'_1 B_i} + \dots + a'_n x^{\mathbf{p}' + b'_n B_i} = \sum_{j=0}^n a'_j x^{\mathbf{p}' + b'_j B_i},$$

where $0 = b'_0 < b'_1 < \dots < b'_n = r'$, so that the exponents in g_i are points on the line segment from \mathbf{p}' to $\mathbf{p}' + r' B_i =: \mathbf{q}'$.

Moreover $r' = r + p_i$, $a'_0 = (p^+)^{p_i} a_0$, $a'_n = (p^-)^{p_i} a_n$, and

$$\mathbf{p}' = \alpha_i(\mathbf{p}), \quad \mathbf{q}' = \beta_i(\mathbf{q}).$$

Proof. By symmetry, it suffices to show the case $i = 1$. We simply write f, g instead of f_1, g_1 . First assume $a \geq 0$ and $c \leq 0$. Then

$$f = \sum_{j=0}^n a_j x^{\mathbf{p} + b_j B_1} = x^{\mathbf{p}} \sum_{j=0}^n a_j x^{b_j B_1}$$

Note that the last sum does not depend on x_1 since $B_1 = \begin{bmatrix} 0 \\ -a' \\ c \end{bmatrix}$. Therefore

$$\begin{aligned} g &= [x_1^{-1} x_2^{a'} x_3^{-c} (p^- + p^+ x^{B_1})]^{p_1} x_2^{p_2} x_3^{p_3} \sum_{j=0}^n a_j x^{b_j B_1} \\ &= x_1^{-p_1} x_2^{p_2 + a p_1} x_3^{p_3 - c p_1} (p^- + p^+ x^{B_1})^{p_1} \sum_{j=0}^n a_j x^{b_j B_1} \\ &= x_1^{-p_1} x_2^{p_2 + a p_1} x_3^{p_3 - c p_1} ((p^-)^{p_1} a_0 + \dots \text{ terms of intermediate degree } \dots \\ &\quad + (p^+)^{p_1} a_n x^{(p_1+r)B_1}). \end{aligned}$$

So $r' = r + p_1$, $a'_0 = (p^-)^{p_1} a_0$, $a'_{n'} = (p^+)^{p_1} a_n$, and $\mathbf{p}' = (-p_1, p_2 + a' p_1, p_3 - c p_1) = \alpha_1(\mathbf{p})$. Moreover, since $\mathbf{q} = \mathbf{p} + r B_1$, we have $\mathbf{q}' = (-p_1, p_2 + a' p_1, p_3 - c p_1) + (p_1 + r)(0, -a', c) = (-p_1, p_2 - a' r, p_3 + c r) = (-q_1, q_2, q_3) = \beta_1(\mathbf{q})$. This completes the proof in the case $a \geq 0$ and $c \leq 0$.

The remaining cases “ $c \geq 0, a \leq 0$ ”, “ $a, c \leq 0$ ”, “ $a, c \geq 0$ ” are proved similarly. \square

3.6. A NEWTON POLYTOPE CHANGE LEMMA. The following lemma describes how the Newton polytopes change under mutation. It will be used in the proof of the support condition in our main result Theorem 5.1.

LEMMA 3.13. Assume that F is a Laurent polynomial in x_1, x_2, x_3 , and after substituting

$$(2) \quad x_1 \mapsto \left(p^+ x_2^{[a']_+} x_3^{[-c]_+} + p^- x_2^{[-a']_+} x_3^{[c]_+} \right) / x'_1$$

in F , we get a Laurent polynomial G in x'_1, x_2, x_3 . Assume the Newton polytope R of a Laurent polynomial F lies in a plane S parallel to the plane $\text{span}(B_1, B_2, B_3)$, and R satisfies the following condition:

R is bounded by two (possibly length zero) line segments $\mathbf{q}_1 \mathbf{q}_2, \mathbf{q}_3 \mathbf{q}_4$, as well as an “inflow” boundary T_{in} joining \mathbf{q}_1 and \mathbf{q}_3 and an “outflow” boundary T_{out} joining \mathbf{q}_2 and \mathbf{q}_4 . Each line ℓ parallel to B_1 intersects at most once with T_{in} and at most once with T_{out} , and ℓ intersects T_{in} if and only if it intersects T_{out} . So it induces a bijection $\phi : T_{\text{in}} \rightarrow T_{\text{out}}$. Moreover, $\phi(\mathbf{q}_1) = \mathbf{q}_2, \phi(\mathbf{q}_3) = \mathbf{q}_4$, and for all $t \in T_{\text{in}}, \phi(t) \in t + \mathbb{R}_{\geq 0} B_1$.

Now define

$$\begin{aligned} \mathbf{q}'_1 &= \beta_1(\mathbf{q}_2), & \mathbf{q}'_2 &= \alpha_1(\mathbf{q}_1), & \mathbf{q}'_3 &= \beta_1(\mathbf{q}_4), & \mathbf{q}'_4 &= \alpha_1(\mathbf{q}_3), \\ T'_{\text{out}} &= \alpha_1(T_{\text{in}}), & T'_{\text{in}} &= \beta_1(T_{\text{out}}). \end{aligned}$$

Then the convex hull of the support of G is the region $R' \subset S'$, where S' is a plane parallel to $\text{span}(B'_1, B'_2, B'_3)$, such that the following condition holds:

R' is bounded by two line segments $\mathbf{q}'_1 \mathbf{q}'_2, \mathbf{q}'_3 \mathbf{q}'_4$, as well as an “inflow” boundary T'_{in} joining \mathbf{q}'_1 and \mathbf{q}'_3 and an “outflow” boundary T'_{out} joining \mathbf{q}'_2 and \mathbf{q}'_4 . Each line ℓ parallel to B'_1 intersect at most once with T'_{in} and at most once with T'_{out} , and it intersects T'_{in} if and only if it intersects T'_{out} . So it induces a bijection $\phi' : T'_{\text{in}} \rightarrow T'_{\text{out}}$. Moreover, $\phi'(\mathbf{q}'_1) = \mathbf{q}'_2, \phi'(\mathbf{q}'_3) = \mathbf{q}'_4$, and for all $t \in T'_{\text{in}}, \phi'(t) \in t + \mathbb{R}_{\geq 0} B'_1$.

Proof. First note that by the linearity of α_1 and β_1 , R is convex if and only if R' is convex.

Denote $F = \sum e(p_1, p_2, p_3) x_1^{p_1} x_2^{p_2} x_3^{p_3}$. For a fixed integer t , let

$$F_t = \sum e(t, p_2, p_3) x_1^t x_2^{p_2} x_3^{p_3} = a_0 x^{\mathbf{p}} + \dots + a_n x^{\mathbf{q}}, \quad \mathbf{q} = \mathbf{p} + r B_1$$

and let

$$G_{-t} = a'_0 x^{\mathbf{p}'} + \dots + a_{n'} x^{\mathbf{q}'}, \quad \mathbf{q}' = \mathbf{p}' + r' B_1$$

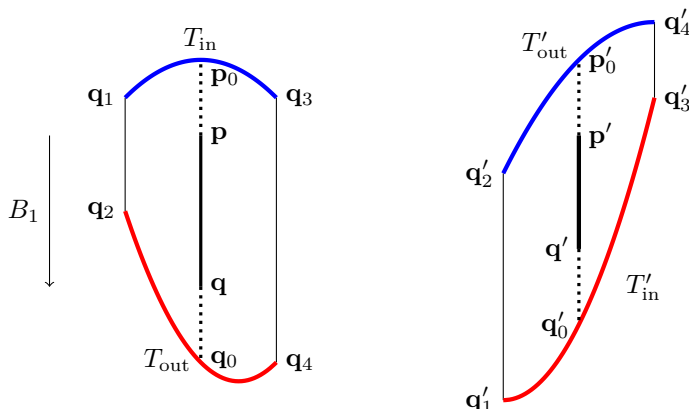


FIGURE 10. R and R'

be obtained from F_t by substitution (2). It suffices to show that if the support of F_t (which is the segment $\mathbf{p}\mathbf{q}$) is in R if and only if the support of G_{-t} (which is the segment $\mathbf{p}'\mathbf{q}'$) is in R' . See Figure 10.

Assume the line through \mathbf{p} parallel to B_1 intersects with T_{in} and T_{out} at \mathbf{p}_0 and \mathbf{q}_0 , respectively. Assume the line through \mathbf{p}' parallel to B_1 intersects with T'_{out} and T'_{in} at \mathbf{p}'_0 and \mathbf{q}'_0 , respectively. Then

$$\alpha_1(\mathbf{p}) = \mathbf{p}', \alpha_1(\mathbf{p}_0) = \mathbf{p}'_0, \beta_1(\mathbf{q}) = \mathbf{q}', \beta_1(\mathbf{q}_0) = \mathbf{q}'_0.$$

It suffices to show

- (i) $\mathbf{p} \in \mathbf{p}_0 + \mathbb{R}_{\geq 0}B_1$ if and only if $\mathbf{p}' \in \mathbf{p}'_0 + \mathbb{R}_{\geq 0}B_1$.
- (ii) $\mathbf{q} \in \mathbf{q}_0 + \mathbb{R}_{\leq 0}B_1$ if and only if $\mathbf{q}' \in \mathbf{q}'_0 + \mathbb{R}_{\leq 0}B_1$.

Indeed, for (i): since α_1 is linear and fixes B_1 , and $\mathbf{p}' - \mathbf{p}'_0 \in \mathbb{R}B_1$, we see that $\mathbf{p}' - \mathbf{p}'_0 = \alpha_1(\mathbf{p} - \mathbf{p}_0) = \mathbf{p} - \mathbf{p}_0$. This implies (i). And (ii) can be proved similarly. \square

4. DENOMINATOR VECTORS OF NON-INITIAL CLUSTER VARIABLES ARE NON-NEGATIVE

If f is an element of the ambient field we shall use the notation $f|_t$ for the expansion of f in the variables in the seed Σ_t . For $t = t_0$, we simply denote $f|_{t_0}$ by f .

Recall that the d -vector of a cluster variable z is $\mathbf{d} \in \mathbb{Z}^n$ such that

$$z = \frac{N(x_1, \dots, x_n)}{x^{\mathbf{d}}}$$

where $N(x_1, \dots, x_n)$ is a polynomial with coefficients in $\mathbb{Z}[y_i^{\pm}]$ which is not divisible by any cluster variable x_i ($1 \leq i \leq n$). Equivalently, we can describe \mathbf{d} as follows. Write z as a sum of Laurent monomials as $z = \sum_{\mathbf{p} \in \mathbb{Z}^n} e(\mathbf{p})x^{\mathbf{p}}$, and define the support of z as the set

$$\text{supp}(z) = \{\mathbf{p} \mid e(\mathbf{p}) \neq 0\}.$$

Let P_1, \dots, P_m be the vertices of the convex hull of $\text{supp}(z)$. Then

$$(3) \quad \mathbf{d} = -\overrightarrow{\min}\{\mathbf{p} \mid \mathbf{p} \in \text{supp}(z)\} = -\overrightarrow{\min}(P_1, \dots, P_m)$$

It was conjectured in [9, Conjecture 7.4 (1)] that the d -vector of any non-initial cluster variable is nonnegative, and this conjecture was proved recently in [3] using positivity. Below, we give an alternative short proof by an elementary argument.

THEOREM 4.1. *Let \mathcal{A} be a skew-symmetrizable cluster algebra of arbitrary rank. The d -vector of any non-initial cluster variable is nonnegative.*

For the proof of the theorem we need the following lemma, where we assume principal coefficients. Note that the proof of the lemma does not rely on the positivity of cluster variables.

LEMMA 4.2. *Let \mathcal{A} be a skew-symmetrizable cluster algebra with n mutable variables and $m - n$ frozen variables, and assume that it has principal coefficients at the initial seed (that is $m = 2n$ and the lower half of the extended exchange matrix \tilde{B} at the initial seed is the $n \times n$ identity matrix). If a cluster variable is a Laurent monomial, that is, of the form $cx^{\tilde{\mathbf{a}}}$, where $c \in \mathbb{Q} \setminus \{0\}$ and $\tilde{\mathbf{a}} = (a_1, \dots, a_m)$, then*

- (1) a_1, \dots, a_n are all nonnegative.
- (2) $c = 1$ and $\tilde{\mathbf{a}} = e_i = (0, \dots, 0, 1, 0, \dots, 0)$ (with 1 at the i -th coordinate) for some $1 \leq i \leq n$.

As a conclusion, the Laurent expansion (in $\mathbb{Z}[x_1^\pm, \dots, x_m^\pm]$) of a non-initial cluster variable has more than one term.

Proof. (1) If false, we assume without loss of generality that $a_1 < 0$. Then expanding $cx^{\tilde{\mathbf{a}}}$ in the seed $\mu_1(\Sigma_{t_0})$, we get $x_1'^{-a_1} cx^{(0, a_2, \dots, a_m)} / (M_1 + M_2)^{-a_1}$, for some monomials $M_1 \neq M_2$ (note that the inequality follows from the fact that \tilde{B} does not have any zero column since its lower half is an identity matrix), and this cannot be a Laurent polynomial.

(2) Apparently c is a nonzero integer, by the Laurent phenomenon [8]. Since all cluster variables can be written as subtraction-free expressions, by specializing the initial variables $x_1 = \dots = x_m = 1$, we see that c is positive. Next, choose any seed Σ_t that contains the cluster variable $cx^{\tilde{\mathbf{a}}}$; denote the cluster of this seed by $\{x_1' (= cx^{\tilde{\mathbf{a}}}), x_2', \dots, x_n'\}$. For $i = 1, \dots, n$, let f_i be the Laurent expansion of x_i in $\{x_1' (= cx^{\tilde{\mathbf{a}}}), x_2', \dots, x_n', x_{n+1}, \dots, x_m\}$ (so $f_i = x_i$, only written in the Laurent expansion form to remind us). Then

$$(4) \quad x_1' = cx^{\tilde{\mathbf{a}}} = cf_1^{a_1} \dots f_n^{a_n} x_{n+1}^{a_{n+1}} \dots x_m^{a_m}.$$

This has the following two consequences.

- (a) $c = 1$. Indeed, substituting $x_1' = \dots = x_n' = x_{n+1} = \dots = x_m = 1$ in (4), we get $1 = c \prod_{i=1}^n f_i^{a_i}(x_1' = \dots = x_n' = x_{n+1} = \dots = x_m = 1)$. Since all factors in the right hand side are positive integers, we must have $c = 1$, and $f_i(x_1' = \dots = x_n' = x_{n+1} = \dots = x_m = 1) = 1$ for every $1 \leq i \leq n$ with $a_i > 0$.
- (b) For every $1 \leq i \leq n$ such that $a_i > 0$, the Laurent expansion f_i must be a Laurent monomial in $\mathbb{Z}[(x_1')^\pm, \dots, (x_n')^\pm, x_{n+1}^\pm, \dots, x_m^\pm]$ with coefficient 1. To see this, first observe that this Laurent expansion cannot have more than one term, otherwise the right hand side of (4) must have more than one term, so cannot equal to x_1' , a contradiction. So we can write $f_i = ux'^{\tilde{\mathbf{b}}^{(i)}}$ for some $u \in \mathbb{Z}$ and $\tilde{\mathbf{b}}^{(i)} \in \mathbb{Z}^m$. Next, since $f_i(x_1' = \dots = x_n' = x_{n+1} = \dots = x_m = 1) = 1$ as in the proof of (a), we must have $u = 1$. This proves (b).

Now combine (b) and part (1), we see that for every $1 \leq i \leq n$ such that $a_i > 0$, we must have $f_i = x'^{\tilde{\mathbf{b}}^{(i)}}$, where $\tilde{\mathbf{b}}^{(i)} \in \mathbb{Z}_{\geq 0}^n \times \mathbb{Z}^{n-m}$. Thus

$$(5) \quad x_1' = f_1^{a_1} \dots f_n^{a_n} x_{n+1}^{a_{n+1}} \dots x_m^{a_m} = \left(\prod_{\substack{1 \leq i \leq n \\ a_i > 0}} x'^{(a_i \tilde{\mathbf{b}}^{(i)})} \right) x_{n+1}^{a_{n+1}} \dots x_m^{a_m}.$$

Since $x'_1, \dots, x'_n, x_{n+1}, \dots, x_m$ are algebraically independent, the exponents on both sides of (5) must match, that is,

$$(1, 0, \dots, 0) = \left(\sum_{\substack{1 \leq i \leq n \\ a_i > 0}} a_i \tilde{\mathbf{b}}^{(i)} \right) + (0, \dots, 0, a_{n+1}, \dots, a_m).$$

Only looking at the first n coordinates of the above equality, and letting $\mathbf{b}^{(i)} \in \mathbb{Z}_{\geq 0}^n$ be the first n coordinates of $\tilde{\mathbf{b}}^{(i)}$, we have

$$(6) \quad (1, 0, \dots, 0) = \left(\sum_{\substack{1 \leq i \leq n \\ a_i > 0}} a_i \mathbf{b}^{(i)} \right).$$

Next we observe that $\mathbf{b}^{(i)} \neq (0, \dots, 0)$, since otherwise, $x_i = f_i \in \mathbb{Z}[x_{n+1}^\pm, \dots, x_m^\pm]$, which contradicts the assumption that x_1, \dots, x_m are algebraically independent. This observation together with (6) implies that there is exactly one $1 \leq i \leq n$ such that $a_i > 0$, and for this i we have $a_i = 1$ and $\mathbf{b}^{(i)} = (1, 0, \dots, 0)$. Therefore

$$x'_1 = cx^{\tilde{\mathbf{a}}} = x_i x_{n+1}^{a_{n+1}} \cdots x_m^{a_m}.$$

Now we use the assumption that the cluster algebra has principal coefficients at the initial seed. Under this assumption, the F -polynomial of x'_1 is $x_{n+1}^{a_{n+1}} \cdots x_m^{a_m}$. On the other hand, by [9, Proposition 5.2], the F -polynomial is not divisible by any of x_{n+1}, \dots, x_m . This forces $a_{n+1} = \dots = a_m = 0$, therefore $x'_1 = x_i$ is indeed an initial cluster variable, and $\tilde{\mathbf{a}} = e_i$. □

REMARK 4.3. (1) For skew-symmetric cluster algebras, this lemma was proved in [4, Lemma 3.7]. (Although the lemma in that paper is stated only for coefficient-free case, the statement extends to arbitrary coefficients by their Corollary 5.3, which states that a skew-symmetric cluster algebra with arbitrary coefficients has the proper Laurent monomial property.)

(2) In fact, Lemma 4.2 holds for any skew-symmetrizable cluster algebras with arbitrary coefficients of geometric type (so m can be any integer at least n), provided that the extended exchange matrix \tilde{B} does not have any zero column. (If the k -th column is zero, then $\mu_k(x_k) = 2/x_k$ is not an initial cluster variable but is a Laurent monomial, thus the lemma would fail.)

We can prove this generalization using positivity: the proof of Lemma 4.2 still holds except the last paragraph. (Note that to prove Lemma 4.2(1) in the general setting we need the assumption that \tilde{B} does not have any zero column.) Then by the separation formula [9, Theorem 3.7], we can write

$$(7) \quad x_i x_{n+1}^{a_{n+1}} \cdots x_m^{a_m} = x'_1 = \frac{X'_1 |_{\mathcal{F}}(x_1, \dots, x_n; y_1, \dots, y_n)}{F_{\mathbb{P}}(y_1, \dots, y_n)}$$

where $y_1, \dots, y_n \in \mathbb{P}$, \mathbb{P} is the tropical semifield generated by x_{n+1}, \dots, x_m , $F_{\mathbb{P}}$ is the F -polynomial evaluated in \mathbb{P} , and X'_1 is the cluster variable corresponding to x'_1 , but computed using principal coefficients. Since $x'_1 = x_i x_{n+1}^{a_{n+1}} \cdots x_m^{a_m}$ is a Laurent monomial with coefficient 1, X'_1 must also be a monomial with coefficient 1 (indeed, substituting $x_1 = \dots = x_m = 1$ in (7), we get $X'_1(x_1 = \dots = x_n = y_1 = \dots = y_n = 1) = 1$; by the positivity of cluster variables proved by [15, 10], X'_1 is a monomial with coefficient 1). Thus the F -polynomial of X'_1 is a monomial with coefficient 1. We can then apply [9, Proposition 5.2] to conclude that this F -polynomial is 1. Now letting

$x_1 = \dots = x_n = 1$ in (7), we get $a_{n+1} = \dots = a_m = 0$. So $x'_1 = x_i$ is an initial cluster variable.

Proof of Theorem 4.1. By [9, (7.7)], d -vectors do not depend on the coefficients. So we assume the cluster algebra \mathcal{A} has principal coefficients, with rank n .

Assume that $x[\mathbf{d}]$ is a non-initial cluster variable of \mathcal{A} , and $d_k < 0$ for some $1 \leq k \leq n$. Write

$$(8) \quad x[\mathbf{d}] = x_k^{-d_k} f.$$

Throughout the proof we use the notation $f|_{\mu_i(t_0)}$ for the expansion of f in the cluster obtained from the initial cluster by mutation in direction i . In other words, $f|_{\mu_i(t_0)}$ is obtained from f by replacing x_i by an expression of the form $(M_1 + M_2)/x_i$, where M_1, M_2 are monomials. We claim that, f and $f|_{\mu_i(t_0)}$ (for every $i = 1, \dots, n$) are Laurent polynomials; that is, f is in the upper bound \mathcal{U} of \mathcal{A} associated with the initial seed (see [1, Definition 1.1]). Indeed, $f = x_k^{d_k} \cdot x[\mathbf{d}]$ is Laurent because it is a product of two Laurent polynomials. For the same reason, $f|_{\mu_i(t_0)} = x_k^{d_k} (x[\mathbf{d}]|_{\mu_i(t_0)})$ is also Laurent for $i \neq k$, and $f|_{\mu_k(t_0)}$ is Laurent because f does not contain negative powers of x_k , that is, $f = \sum_{d \geq 0} x_k^d h_d$ where h_d is a Laurent polynomial in $x_1, \dots, x_{k-1}, x_{k+1}, \dots, x_n$, thus substituting x_k by (binomial)/(monomial) still gives a Laurent polynomial.

Since our cluster algebra has principal coefficients, the matrix \tilde{B} is of full rank, and therefore [1, Corollary 1.9] implies that the upper bound \mathcal{U} is equal to the upper cluster algebra $\bar{\mathcal{A}}$.

Let $\Sigma_t = (x'_1, \dots, x'_n, \tilde{B}')$ be a seed that contains $x[\mathbf{d}] = x'_\ell$ with $1 \leq \ell \leq n$. Rewriting (8) using Σ_t as the initial seed, we get

$$(9) \quad x'_\ell = (x_k|_t)^{-d_k} (f|_t)$$

Since f is in the upper cluster algebra $\bar{\mathcal{A}}$, $f|_t$ is Laurent in $x'_1, \dots, x'_n, x_{n+1}, \dots, x_{2n}$.

We assert that $x_k|_t$ is equal to some x'_i . Otherwise, Lemma 4.2 implies that the Laurent expansion of $x_k|_t$ in the seed Σ_t must have more than one term, then the right hand side of (9) must have more than one term, so cannot be equal to the left hand side, which leads to a contradiction.

If $i \neq \ell$, then (9) gives $f|_t = x'_\ell / (x'_i)^{-d_k}$. But this cannot be in the upper cluster algebra. In fact, even x'_ℓ / x'_i is not in the upper cluster algebra, because if we rewrite it using the seed $\mu_i(\Sigma_t)$, then x'_i is replaced by $(M_1 + M_2)/x''_i$ whose numerator is some binomial, and it is obvious that $x'_\ell x''_i / (M_1 + M_2)$ is not a Laurent polynomial in $\mu_i(t)$. So we get a contradiction.

Therefore $i = \ell$ and (9) gives $f|_t = (x'_\ell)^{1+d_k}$, where $d_k < 0$. If $d_k \leq -2$, then a similar argument as above gives a contradiction. So $d_k = -1$, and $f = 1$, thus $x[\mathbf{d}] = x_k$ is an initial cluster variable, contradicting the assumption. \square

5. MAIN THEOREM

In this section we state our main result. It gives a characterization of the cluster variables of an arbitrary rank 3 cluster algebra in terms of support, normalization and divisibility conditions.

THEOREM 5.1. *Let \mathcal{A} be a cluster algebra of rank 3 with principal coefficients and let $x[\mathbf{d}]$ be a cluster variable of \mathcal{A} with d -vector \mathbf{d} . Let $\mathbf{P}_\mathbf{d}$ be a weakly convex quadrilateral constructed in Lemma 3.11. Then*

$$x[\mathbf{d}] = \sum_{\mathbf{p} \in \mathbb{Z}^3} e(\mathbf{p}) x^\mathbf{p} = \sum_{p_1, p_2, p_3} e(p_1, p_2, p_3) x_1^{p_1} x_2^{p_2} x_3^{p_3}$$

where $e(\mathbf{p}) \in \mathbb{Z}[y_1, y_2, y_3]$ is uniquely characterized by the following conditions.

- (SC) (Support condition) The coefficient $e(\mathbf{p}) = 0$ unless $\mathbf{p} \in \mathbf{P}_{\mathbf{d}}$. Equivalently, the Newton polytope of $x[\mathbf{d}]$ is contained in $\mathbf{P}_{\mathbf{d}}$.
- (NC) (Normalization condition) There is precisely one $e(\mathbf{p})$ that has a nonzero constant term, which must be 1. Moreover, the greatest common divisor of all $e(\mathbf{p})$ is 1.
- (DC) (Divisibility condition) For each $k = 1, 2, 3$ and $m < 0$,

$$\left(\prod_{i=1}^3 x_i^{[-b_{ik}]_+} + y_k \prod_{i=1}^3 x_i^{[b_{ik}]_+} \right)^{-m} \text{ divides } \sum_{\mathbf{p} \in \mathbb{Z}^3: p_k = m} e(\mathbf{p})x^{\mathbf{p}},$$

in the sense that the quotient is in $\mathbb{Z}[x_1^{\pm}, x_2^{\pm}, x_3^{\pm}, y_k]$.

Moreover, (NC) can be replaced by

- (NC') There exists a $\mathbf{p} \in \mathbb{Z}^3$ such that $e(\mathbf{p})$ has a nonzero constant term. Moreover for each vertex \mathbf{p} of the convex hull $|\mathbf{P}_{\mathbf{d}}|$, $e(\mathbf{p})$ is a monomial $y_1^{\alpha_1} y_2^{\alpha_2} y_3^{\alpha_3}$ for some $\alpha_i \in \mathbb{Z}_{\geq 0}$.

And (SC) can be replaced by the following stronger condition.

- (SC') The Newton polytope of $x[\mathbf{d}]$ (which, by definition, is the convex hull of the set $\{\mathbf{p} \mid e(\mathbf{p}) \neq 0\}$) is $\mathbf{P}_{\mathbf{d}}$.

The proof of the theorem is given in the next section. For an example see Section 7. The theorem has the following consequence on the support of F -polynomials:

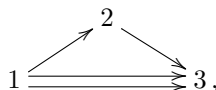
COROLLARY 5.2. Using the same notation as in Theorem 5.1, let \mathbf{g} be the g -vector of $x[\mathbf{d}]$. The support of the F -polynomial is contained in the following (possibly unbounded) polyhedron:

$$\mathbf{F}_{\mathbf{d}} := \mathbb{R}_{\geq 0}^3 \cap \varphi_B^{-1}(\{\mathbf{p} - \mathbf{g} \mid \mathbf{p} \in \mathbf{P}_{\mathbf{d}}\})$$

where $\varphi_B : \mathbb{R}^3 \rightarrow \mathbb{R}^3$ is the linear map $\mathbf{q} \mapsto B\mathbf{q}$, and φ_B^{-1} sends a set to its preimage.

Proof. The support is in $\mathbb{R}_{\geq 0}^3$ because the F -polynomial is in $\mathbb{Z}[y_1, y_2, y_3]$. Next, by equation (10) (and using the notation therein), $e(\mathbf{p}) \neq 0$ if and only if $f_{ijk} \neq 0$ for some $\mathbf{q} = (i, j, k)$ satisfying $B\mathbf{q} + \mathbf{g} = \mathbf{p}$, that is $B\mathbf{q} = \mathbf{p} - \mathbf{g}$. This implies that the support of the F -polynomial is in $\varphi_B^{-1}(\{\mathbf{p} - \mathbf{g} \mid \mathbf{p} \in \mathbf{P}_{\mathbf{d}}\})$. \square

REMARK 5.3. (1) It has been conjectured that the support of the F -polynomial of a cluster variable is always saturated, which is proved in [7] for acyclic skew-symmetric cluster algebras. We say that a non-initial cluster variable z is saturated if there are nonnegative integers d_1, \dots, d_n and a convex polytope $\mathbf{T} \subset \mathbb{R}^n$ such that $\text{supp}(z)$ is obtained by translating $\mathbf{T} \cap \{(\sum_j [b_{1,j}]_+ e_j + [-b_{1,j}]_+(d_j - e_j), \dots, \sum_j [b_{n,j}]_+ e_j + [-b_{n,j}]_+(d_j - e_j)) : 0 \leq e_j \leq d_j \text{ for all } j\}$. When the initial exchange matrix B , which is the top $n \times n$ submatrix of \tilde{B} , is of full rank, it is easy to see that a cluster variable is saturated if and only if the support of the corresponding F -polynomial is saturated. When B is not of full rank, a cluster variable is not necessarily saturated even if the support of the corresponding F -polynomial is saturated. Such an example appears in the cluster algebra associated to the following acyclic quiver



where the cluster variable obtained by mutating at 1,2,3,1,2,3 is not saturated.

(2) As computed at the end of §7, the convex polyhedron $\mathbf{F}_{\mathbf{d}}$ is often not equal to the Newton polytope of the F -polynomial. In fact, $\varphi_B^{-1}(\{\mathbf{p} - \mathbf{g} \mid \mathbf{p} \in \mathbf{P}_{\mathbf{d}}\})$ is a union of parallel lines in the direction of $(\bar{b}, \bar{a}, \bar{c})$ (the vector that spans the kernel

of φ_B). If the intersection of such a line with $\mathbb{R}_{\geq 0}^3$ is nonempty, then the intersection is unbounded if and only if $\bar{a}, \bar{b}, \bar{c}$ are either all in $\mathbb{R}_{\geq 0}$ or all in $\mathbb{R}_{\leq 0}$. As a consequence, $\mathbf{F}_{\mathbf{d}}$ is unbounded for non-acyclic cluster algebras, so in general it only gives a rough upper bound of the Newton polytope of the F -polynomial.

6. PROOF OF THEOREM 5.1

We first show uniqueness. Thus we prove that, given $\mathbf{d} \in \mathbb{Z}_{\geq 0}^3 \setminus \{(0, 0, 0)\}$ which is a d -vector of a non-initial cluster variable, then there is only one Laurent polynomial satisfying the condition (SC) + (NC) + (DC) (respectively (SC) + (NC') + (DC)).

Indeed, let f be such a Laurent polynomial. Then the Newton polytope of f and $x[\mathbf{d}]$ are both contained in $\mathbf{P}_{\mathbf{d}}$. After changing the initial seed to some seed Σ_t that contains $x[\mathbf{d}]$ as a cluster variable (for simplicity, assume it to be $x_1(t)$), we see that the Newton polytope of $f|_t$ is equal to the Newton polytope of $x[\mathbf{d}]|_t$, which is a one point set $\mathbf{P}_{\mathbf{d}} = \{(1, 0, 0)\}$. But then there is obviously only one Laurent polynomial satisfying (SC) + (NC) + (DC) (resp. (SC) + (NC') + (DC)), namely $x_1(t)$. Thus $f|_t = x_1(t) = x[\mathbf{d}]|_t$, which implies $f = x[\mathbf{d}]$.

It remains to show that a cluster variable $x[\mathbf{d}]$ satisfies all the conditions given in the theorem. This is obviously true for initial cluster variables. So by Lemma 4.1 we can assume that it is non-initial, i.e. $\mathbf{d} \in \mathbb{Z}_{\geq 0}^3$. We show each condition in a separate subsection.

6.1. PROOF OF (DC). To prove (DC), we use the universal Laurent phenomenon. We only show (DC) for $k = 1$ because the other cases are similar. Define $h(x_2, x_3) = x_1 x'_1$. Then

$$\begin{aligned} h(x_2, x_3) &= \prod x_i^{[-b_{ik}]_+} + y_k \prod x_i^{[b_{ik}]_+} = x_2^{[a']_+} x_3^{[-c]_+} + x_2^{[-a']_+} x_3^{[c]_+} y_1 \\ &= x_2^{[-a']_+} x_3^{[-c]_+} (x_2^{a'} + x_3^c y_1), \end{aligned}$$

where the last identity holds because $[m]_+ = m + [-m]_+$.

Denote the Laurent expansion of $x[\mathbf{d}]|_{\mu_1(t_0)}$ by

$$x[\mathbf{d}]|_{\mu_1(t_0)} = \sum_{p'_1, p'_2, p'_3} e'(p'_1, p'_2, p'_3) (x'_1)^{p'_1} x_2^{p'_2} x_3^{p'_3}, \quad \text{where } e'(p'_1, p'_2, p'_3) \in \mathbb{Z}[y'_1, y'_2, y'_3]$$

and for each $j = 1, 2, 3$, $y'_j = \prod_{i=4}^6 x_i^{b'_{ij}}$ is a Laurent polynomial in $x_4 = y_1$, $x_5 = y_2$, $x_6 = y_3$, where we denote by $B' = [b'_{ij}]$ the B-matrix of the seed $\mu_1(\Sigma_{t_0})$. Then we have

$$\begin{aligned} \sum_{p_1, p_2, p_3} e(p_1, p_2, p_3) x_1^{p_1} x_2^{p_2} x_3^{p_3} &= \sum_{p'_1, p'_2, p'_3} e'(p'_1, p'_2, p'_3) (x'_1)^{p'_1} x_2^{p'_2} x_3^{p'_3} \\ &= \sum_{p'_1, p'_2, p'_3} e'(p'_1, p'_2, p'_3) \left(\frac{h(x_2, x_3)}{x_1} \right)^{p'_1} x_2^{p'_2} x_3^{p'_3} \\ &= \sum_{p'_1, p'_2, p'_3} e'(p'_1, p'_2, p'_3) x_1^{-p'_1} \left(h(x_2, x_3)^{p'_1} x_2^{p'_2} x_3^{p'_3} \right). \end{aligned}$$

Regard the above as a Laurent polynomial in $\mathbb{Z}[x_2^{\pm}, x_3^{\pm}][x_1^{\pm}]$, that is, as a one-variable Laurent polynomial in x_1 ; then, for a fixed $p_1 < 0$, take the coefficient of $x_1^{p_1}$ on both ends of the above equalities (so we should take $p'_1 = -p_1$ on the right hand side). We then get an equality

$$\sum_{p_2, p_3} e(p_1, p_2, p_3) x_2^{p_2} x_3^{p_3} = h(x_2, x_3)^{-p_1} \sum_{p'_2, p'_3} e'(-p_1, p'_2, p'_3) x_2^{p'_2} x_3^{p'_3}.$$

Thus the left hand side is divisible by $h(x_2, x_3)^{-p_1}$, which is equivalent to the condition (DC) for $k = 1$.

From the proof we can also conclude that, if a Laurent polynomial satisfies (DC), then it is in the upper bound/upper cluster algebra $\mathcal{U} = \overline{\mathcal{A}}$. Indeed, (DC) implies that, $x[\mathbf{d}]$ is in the upper bound $U(\Sigma_{t_0})$, where Σ_{t_0} is the initial seed. Since we assume B is skew-symmetrizable, Σ_{t_0} is totally mutable. Moreover, Σ_{t_0} is coprime because \tilde{B} is full rank [1, Proposition 1.8]. Therefore [1, Corollary 1.7] implies that $U(\Sigma_{t_0})$ is equal to the upper cluster algebra $\tilde{\mathcal{A}}(\Sigma_{t_0})$.

6.2. PROOF OF (NC). It is shown in [9] that $x[\mathbf{d}]$ can be expressed by its F -polynomial $F(y_1, y_2, y_3) = \sum_{i,j,k \geq 0} f_{ijk} y_1^i y_2^j y_3^k$ as follows (where $\mathbf{g} = (g_1, g_2, g_3)$ is its \mathbf{g} -vector)

$$\begin{aligned} x[\mathbf{d}] &= x^{\mathbf{g}} F(y_1 x_2^{-a'} x_3^c, y_2 x_1^a x_3^{-b'}, y_3 x_1^{-c'} x_2^b) \\ &= x_1^{g_1} x_2^{g_2} x_3^{g_3} \sum_{i,j,k \geq 0} f_{ijk} (y_1 x_2^{-a'} x_3^c)^i (y_2 x_1^a x_3^{-b'})^j (y_3 x_1^{-c'} x_2^b)^k. \end{aligned}$$

So

$$(10) \quad e(\mathbf{p}) = \sum f_{ijk} y_1^i y_2^j y_3^k, \quad \text{where } i, j, k \geq 0 \text{ satisfy } B \begin{bmatrix} i \\ j \\ k \end{bmatrix} + \mathbf{g} = \mathbf{p}.$$

Since the constant term of the F -polynomial of any cluster variable is 1 (Lemma 3.3), there is only one $e(\mathbf{p})$ which has a nonzero constant term, which must be 1.

Now assume the greatest common divisor of all $e(\mathbf{p})$, which exists uniquely up to sign, is $h \in \mathbb{Z}[y_1, y_2, y_3]$ and $h \neq \pm 1$. Since one of $e(\mathbf{p})$ has constant term 1, we can choose h to have constant term 1. Thus h has at least two terms. Define

$$X = x[\mathbf{d}]/h.$$

We observe that X still satisfies (DC), thus is in the upper cluster algebra $\overline{\mathcal{A}}$. Indeed, for each $m < 0$, denote

$$Y = \left(\sum_{\mathbf{p} \in \mathbb{Z}^3: p_k = m} e(\mathbf{p}) x^{\mathbf{p}} \right) / \left(\prod_{i=1}^3 x_i^{[-b_{ik}]_+} + y_k \prod_{i=1}^3 x_i^{[b_{ik}]_+} \right)^{-m}.$$

Then $Y \in \mathbb{Z}[x_1^{\pm}, x_2^{\pm}, x_3^{\pm}, y_k]$ since $x[\mathbf{d}]$ satisfies (DC). We need to show that Y/h is also in $\mathbb{Z}[x_1^{\pm}, x_2^{\pm}, x_3^{\pm}, y_k]$. Since $\mathbb{Z}[x_1^{\pm}, x_2^{\pm}, x_3^{\pm}, y_k]$ is a UFD and h divides the numerator of Y , it suffices to show that h is relatively prime to the denominator of Y , or equivalently, show that h is relatively prime to $\prod_{i=1}^3 x_i^{[-b_{ik}]_+} + y_k \prod_{i=1}^3 x_i^{[b_{ik}]_+}$. This is false only if $h = 1 + y_k$ and $b_{1k} = b_{2k} = b_{3k} = 0$, which will not happen because we assume the B -matrix is non-degenerate (see Remark 3.4).

Similar to the proof of Lemma 4.1, let $\Sigma_t = (x'_1, \dots, x'_n, y'_1, \dots, y'_n, B')$ be a seed that contains $x[\mathbf{d}] = x'_\ell$. Then

$$(11) \quad x'_\ell = (X|_t)(h|_t).$$

We claim that $h|_t$, written as a Laurent polynomial in y'_1, y'_2, y'_3 , has the same number of terms as h written as a Laurent polynomial in y_1, y_2, y_3 . Indeed, $y'_i = y^{c_i}$ where \mathbf{c}_i are the c -vectors. By Lemma 3.2, the c -vectors $\mathbf{c}_1, \mathbf{c}_2, \mathbf{c}_3 \in \mathbb{Z}^3$ are linearly independent, so distinct monomials in y'_1, y'_2, y'_3 convert to distinct monomials in y_1, y_2, y_3 .

By the above claim, $h|_t$ is a Laurent polynomial with at least two terms. So the right hand side of (11) has at least two terms, but the left hand side has only one term, a contradiction. Therefore the greatest common divisor of all $e(\mathbf{p})$ is 1.

6.3. PROOF OF (SC'). Proving (SC') also proves (SC). Let $x = x[\mathbf{d}]$ be a cluster variable, expressed as a Laurent polynomial in the initial seed Σ_{t_0} . Assume that $\Sigma_{t_0}, \Sigma_1, \Sigma_2, \dots, \Sigma_m$ is a sequence of mutations of seeds, and that x is a cluster variable in Σ_m . We want to show that the condition (SC') holds for x over the seed Σ_{t_0} . We use induction on m .

If $m = 0$ then x is an initial cluster variable and (SC') holds by definition of $\mathbf{P}_{\mathbf{d}}$. (Recall that as exceptional cases, $\mathbf{P}_{\mathbf{d}}$ is defined for $\mathbf{d} = (-1, 0, 0), (0, -1, 0), (0, 0, 1)$ at the beginning of § 3.4). For the induction step, we need to show that the quadrilateral $\mathbf{P}_{\mathbf{d}}$ is compatible with the mutation. This is the longest part of the proof, consisting of a case-by-case computation of the boundary of the quadrilaterals. Without loss of generality, we only need to discuss the cases described in Lemma 3.11.

6.3.1. Proof of (SC') Case 1. Assume $a, b > 0$ and $c < 0$. Using Lemma 3.11 we obtain the quadrilateral $\mathbf{P}_{\mathbf{d}}$ having the following vertices:

$$\begin{aligned} P_1 &= (-d_1, -d_2 + a'd_1, -d_3 - cd_1 + b'd_2), \\ P_2 &= (-d_1, -d_2, -d_3 + b'd_2), \\ P_3 &= (-d_1 + ad_2, -d_2, -d_3), \\ P_4 &= (-d_1 + ad_2 - c'd_3, -d_2 + bd_3, -d_3). \end{aligned}$$

We show that the quadrilateral changes as expected under the mutation μ_1 : More precisely, by changing the initial seed from t_0 to $\mu_1(t_0)$, we substitute x_1 by $(p_1^+ x_2^a x_3^{-c} + p_1^-)/x_1$ in $x[\mathbf{d}]$, and get a cluster variable $x'[\mathbf{d}'] = \sum e'(\mathbf{p})x'p = \sum e'(p_1, p_2, p_3)(x_1^{p_1} x_2^{p_2} x_3^{p_3})$ with d -vector \mathbf{d}' . Then we need to show that the convex hull of the set $\{\mathbf{p} | e'(\mathbf{p}) \neq 0\}$ is $|\mathbf{P}_{\mathbf{d}' }^{B'}|$, where B' is as follows (note that $a, a' > 0$ and $c, c' < 0$ by assumption):

$$B' := \mu_1(B) = \begin{bmatrix} 0 & -a & c' \\ a' & 0 & b + \operatorname{sgn}(a')[(-a')(-c')]_+ \\ -c - b' + \operatorname{sgn}(c)[ca]_+ & & 0 \end{bmatrix} = \begin{bmatrix} 0 & -a & c' \\ a' & 0 & b \\ -c - b' & 0 & 0 \end{bmatrix}.$$

First, we use Lemma 3.13 to determine the convex hull of $\{\mathbf{p} | e'(\mathbf{p}) \neq 0\}$. Let T_{in} be the segment P_1P_4 , T_{out} be the polygonal chain⁽¹⁾ $P_2P_3P_4$, and define points P'_1, \dots, P'_4 to satisfy $\alpha_1(P_1P_4) = P'_1P'_4$ and $\beta_1(P_2P_3P_4) = P'_1P'_2P'_3$, that is,

$$\begin{aligned} P'_1 &= \alpha_1(P_1) = \beta_1(P_2) = (d_1, -d_2, -d_3 + b'd_2), \\ P'_2 &= \beta_1(P_3) = (d_1 - ad_2, -d_2, -d_3), \\ P'_3 &= \beta_1(P_4) = (d_1 - ad_2 + c'd_3, -d_2 + bd_3, -d_3), \\ P'_4 &= \alpha_1(P_4) = (d_1 - ad_2 + c'd_3, -a'd_1 + (aa' - 1)d_2 + (b - a'c')d_3, \\ &\hspace{15em} cd_1 - acd_2 + (cc' - 1)d_3). \end{aligned}$$

Then Lemma 3.13 guarantees that convex hull of the set $\{\mathbf{p} | e'(\mathbf{p}) \neq 0\}$ is $|P'_1P'_2P'_3P'_4|$. (See Figure 11.)

Next, we explicitly determine \mathbf{d}' . By (3), \mathbf{d}' is equal to the $-\overrightarrow{\min}$ of the vertices of the convex hull $|P'_1P'_2P'_3P'_4|$, therefore

$$(12) \quad \mathbf{d}' = -\overrightarrow{\min}(P'_1, \dots, P'_4).$$

⁽¹⁾A polygonal chain $P_1P_2 \dots P_n$ is a curve consisting of line segments connecting the consecutive vertices P_i and P_{i+1} for $i = 1, \dots, n - 1$.

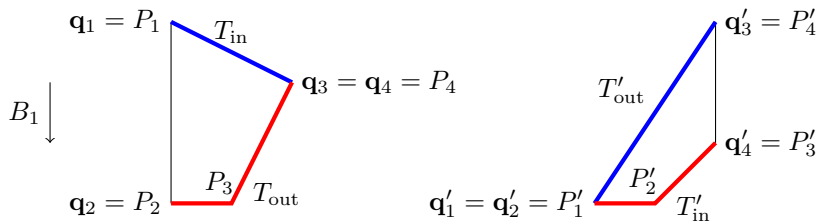


FIGURE 11. (SC'), Case 1, μ_1 . (Left: projection to xy -plane. Right: projection to xy -plane followed by reflection about y -axis.)

Thus

$$\begin{aligned} d'_1 &= -\min(d_1, d_1 - ad_2, d_1 - ad_2 + c'd_3) = ad_2 - c'd_3 - d_1, \\ d'_2 &= -\min(-d_2, -d_2 + bd_3, -a'd_1 + (aa' - 1)d_2 + (b - a'c')d_3) \\ &= -\min(-d_2, -d_2 + bd_3, -d_2 + a'd_1 + bd_3) = d_2, \\ d'_3 &= -\min(-d_3 + b'd_2, -d_3, cd_1 - acd_2 + (cc' - 1)d_3) \\ &= -\min(-d_3 + b'd_2, -d_3, -d_3 - cd'_1) = d_3. \end{aligned}$$

Lastly, we show that $x'[\mathbf{d}']$ satisfies (SC'), that is, the convex hull of the set $\{\mathbf{p}|e'(\mathbf{p}) \neq 0\}$ is equal to $|\mathbf{P}_{\mathbf{d}'}^{B'}|$, or equivalently,

$$|P'_1P'_2P'_3P'_4| = |\mathbf{P}_{\mathbf{d}'}^{B'}|.$$

If $d'_1 < 0$, then by Lemma 4.1, $\mathbf{d}' = (-1, 0, 0)$, and (SC') is trivially true. So in the following we assume $d'_1 \geq 0$.

We shall show that we can actually take $\mathbf{P}_{\mathbf{d}'}^{B'} = P'_1P'_2P'_3P'_4$, that is, P'_1, \dots, P'_4 satisfy the two conditions in Lemma 3.11 (recall that $\mathbf{P}_{\mathbf{d}'}^{B'}$ may not be unique but its convex hull $|\mathbf{P}_{\mathbf{d}'}^{B'}|$ is).

The condition (2) follows from (12).

The condition (1) holds for $(i, j, k) = (2, 3, 1)$. Indeed:

For (1a), we have $b'_{23} = b \geq 0$ and $b'_{31} = -c \geq 0$.

For (1b), we have $\mathbf{v}'_i = d'_i B'_i$ for $i = 1, 2, 3$, $\mathbf{v}'_4 = -\mathbf{v}'_1 - \mathbf{v}'_2 - \mathbf{v}'_3 = (ad_2 - c'd_3, a'd_1 - aa'd_2 + (a'c' - b)d_3, -cd_1 + (b' + ac)d_2 - cc'd_3)$. It is straightforward to check $P'_1P'_2 = \mathbf{v}'_2$, $P'_2P'_3 = \mathbf{v}'_3$, $P'_3P'_4 = \mathbf{v}'_1$.

For (1c), we have $B'_2, B'_3, B'_1, \mathbf{v}'_4$ are in circular order because B'_2, B'_3, B'_1 are strictly in the same half plane, and

$$DB' \begin{bmatrix} -\bar{b} \\ \bar{c} \\ \bar{a} \end{bmatrix} = \begin{bmatrix} 0 & -\bar{a} & \bar{c} \\ \bar{a} & 0 & \bar{b} \\ -\bar{c} & -\bar{b} & 0 \end{bmatrix} \begin{bmatrix} -\bar{b} \\ \bar{c} \\ \bar{a} \end{bmatrix} = \mathbf{0} \Rightarrow B' \begin{bmatrix} -\bar{b} \\ \bar{c} \\ \bar{a} \end{bmatrix} = \mathbf{0} \Rightarrow -\bar{b}B'_1 + \bar{c}B'_2 + \bar{a}B'_3 = \mathbf{0}$$

implies that $B'_3 = (-\bar{c}/\bar{a})B'_2 + (\bar{b}/\bar{a})B'_1$ where both coefficients are positive. So (1c) follows from Lemma 3.6.

This completes the proof that the quadrilateral changes as expected under the mutation μ_1 .

The rest of the proof is similar to the above discussion of the quadrilateral change after μ_1 . For this reason we simply point out the difference.

To show that the quadrilateral changes as expected under the mutation μ_2 : Substitute x_2 by $(p_2^+ x_3^b + p_2^- x_1^{a'})/x_2$ in $x[\mathbf{d}]$, and get $x'[\mathbf{d}']$. Define

$$B' := \mu_2(B) = \begin{bmatrix} 0 & -a & ab - c' \\ a' & 0 & -b \\ c - a'b' & b' & 0 \end{bmatrix}.$$

Note that

$$DB' \begin{bmatrix} \bar{a}\bar{b}/\delta_2 - \bar{c} \\ \bar{a} \end{bmatrix} = \begin{bmatrix} 0 & -\bar{a} \bar{a}\bar{b}/\delta_2 - \bar{c} \\ \bar{a} & 0 & -\bar{b} \\ \bar{c} - \bar{a}\bar{b}/\delta_2 & \bar{b} & 0 \end{bmatrix} \begin{bmatrix} \bar{b} \\ \bar{a}\bar{b}/\delta_2 - \bar{c} \\ \bar{a} \end{bmatrix} = \mathbf{0}$$

implies $\bar{b}B'_1 + (\bar{a}\bar{b}/\delta_2 - \bar{c})B'_2 + \bar{a}B'_3 = \mathbf{0}$.

The y -coordinate of P_1 is $-d_2 + ad_1$ and the y -coordinate of P_4 is $-d_2 + bd_3$; thus their difference is $-a'd_1 + bd_3$. We will distinguish three cases according to the sign of this difference.

- (i) Suppose $-a'd_1 + bd_3 < 0$. Geometrically, it means that P_4 is strictly lower than P_1 after projection to xy -plane; see Figure 12. Since T_{in} and T_{out} are taken relative to B_2 in this case, we have $T_{\text{in}} = P_1P_2$ and $T_{\text{out}} = P_1P_4P_3$. Thus in the notation of Lemma 3.13, we have $\mathbf{q}_1 = P_1$, $\mathbf{q}_2 = P_1$, $\mathbf{q}_3 = P_2$, $\mathbf{q}_4 = P_3$, $T'_{\text{out}} = \alpha_2(T_{\text{in}})$, $T'_{\text{in}} = \beta_2(T_{\text{out}})$. Therefore Lemma 3.13 implies

$$\begin{aligned} P'_1 &= \beta_2(P_1) = ((aa' - 1)d_1 - ad_2, d_2 - a'd_1, -d_3 - cd_1 + b'd_2), \\ P'_2 &= \alpha_2(P_1) = (-d_1, d_2 - a'd_1, -d_3 + (a'b' - c)d_1), \\ P'_3 &= \alpha_2(P_2) = \beta_2(P_3) = (-d_1, d_2, -d_3), \\ P'_4 &= \beta_2(P_4) = (-d_1 + (ab - c')d_3, d_2 - bd_3, -d_3). \end{aligned}$$

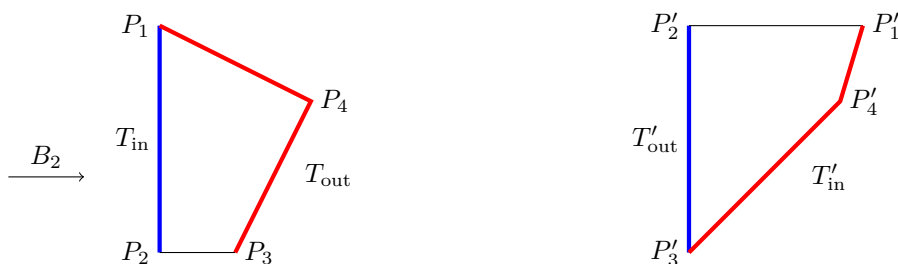


FIGURE 12. (SC'), Case 1, μ_2 , (i). (Left: projection to xy -plane. Right: projection to xy -plane followed by reflection about x -axis.)

We claim that $x'[\mathbf{d}']$ also satisfies (SC'). If $d'_2 < 0$, then $\mathbf{d}' = (0, -1, 0)$, and (SC') is trivially true. So we assume $d'_2 \geq 0$. The condition (2) of Lemma 3.11 determines the vector $\mathbf{d}' = (d'_1, d'_2, d'_3)$:

$$\begin{aligned} d'_2 &= -\min(d_2 - a'd_1, d_2, d_2 - bd_3) \\ &= a'd_1 - d_2, \text{ (because of the assumption } -a'd_1 + bd_3 < 0) \\ d'_3 &= -\min(-d_3 - cd_1 + b'd_2, -d_3 + (a'b' - c)d_1, -d_3) = d_3, \\ d'_1 &= -\min((aa' - 1)d_1 - ad_2, -d_1, -d_1 + (ab - c')d_3) \\ &= -\min(-d_1 + ad'_2, -d_1, -d_1 + (ab - c')d_3) = d_1. \end{aligned}$$

We show that the three conditions in Lemma 3.11 (1) hold for $(i, j, k) = (2, 1, 3)$:

- (1a) $b'_{21} = a' \geq 0$ and $b'_{13} = ab - c' \geq 0$.
- (1b) Use $\mathbf{v}'_4 = (aa'd_1 - ad_2 - (ab - c')d_3, -a'd_1 + bd_3, -cd_1 + b'd_2)$.
- (1c) B'_2, B'_1, B'_3 are not strictly in the same half plane, and $\mathbf{v}'_4 = \lambda_2 B'_2 + \lambda_3 B'_3$ with $\lambda_2 = (-cd_1 + b'd_2)/b' \geq 0$, $\lambda_3 = (-a'd_1 + bd_3)/(-b) > 0$. So $B'_2, B'_1, B'_3, \mathbf{v}'_4$ are in circular order by Lemma 3.6.

- (ii) Suppose $-a'd_1 + bd_3 > 0$. Geometrically, it means that P_4 is strictly higher than P_1 after projection to xy -plane; see Figure 13. So $T_{\text{in}} = P_4P_1P_2$ and $T_{\text{out}} = P_3P_4$. Therefore Lemma 3.13 implies

$$\begin{aligned} P'_1 &= \alpha_2(P_1) = (-d_1, d_2 - a'd_1, -d_3 + (a'b' - c)d_1), \\ P'_2 &= \alpha_2(P_2) = \beta_2(P_3) = (-d_1, d_2, -d_3), \\ P'_3 &= \beta_2(P_4) = (-d_1 + (ab - c')d_3, d_2 - bd_3, -d_3), \\ P'_4 &= \alpha_2(P_4) = (-d_1 + ad_2 - c'd_3, d_2 - bd_3, -b'd_2 + (bb' - 1)d_3). \end{aligned}$$



FIGURE 13. (SC'), Case 1, μ_2 , (ii). (Left: projection to xy -plane. Right: projection to xy -plane followed by reflection about x -axis.)

We claim that $x'[\mathbf{d}']$ also satisfies (SC'). Like before, assume $d'_2 \geq 0$. The condition (2) of Lemma 3.11 determines the vector $\mathbf{d}' = (d'_1, d'_2, d'_3)$:

$$\begin{aligned} d'_2 &= -\min(d_2 - a'd_1, d_2, d_2 - bd_3) = bd_3 - d_2, \text{ (because of the assumption } -a'd_1 + bd_3 > 0) \\ d'_1 &= -\min(-d_1, -d_1 + (ab - c')d_3, -d_1 + ad_2 - c'd_3) = d_1, \\ d'_3 &= -\min(-d_3 + (a'b' - c)d_1, -d_3, -b'd_2 + (bb' - 1)d_3) = -\min(-d_3 + (a'b' - c)d_1, -d_3, -d_3 + b'd'_2) = d_3. \end{aligned}$$

We show that the three conditions in Lemma 3.11 (1) hold for $(i, j, k) = (1, 3, 2)$:

- (1a) $b'_{13} = ab - c' \geq 0$ and $b'_{32} = b' \geq 0$.
- (1b) Use $\mathbf{v}'_4 = (-ad_2 + c'd_3, bd_3 - a'd_1, (a'b' - c)d_1 + b'd_2 - bb'd_3)$.
- (1c) B'_1, B'_3, B'_2 are not in the same half plane, and $\mathbf{v}'_4 = \lambda_1 B'_1 + \lambda_2 B'_2$ with $\lambda_1 = (bd_3 - a'd_1)/a' > 0$, $\lambda_2 = (-ad_2 + c'd_3)/(-a) \geq 0$. So $B'_1, B'_3, B'_2, \mathbf{v}'_4$ are in circular order by Lemma 3.6.

- (iii) Suppose $-a'd_1 + bd_3 = 0$. Geometrically, it means that P_4 is at the same height at P_1 after projection to xy -plane; see Figure 14. So $T_{\text{in}} = P_1P_2$ and $T_{\text{out}} = P_3P_4$.

The Newton polytope of $x'[\mathbf{d}']$ is in a triangle $P'_1P'_2P'_3$, determined by $\alpha_2(P_1P_2) = P'_1P'_2$ and $\beta_2(P_3P_4) = P'_2P'_3$. We can view this as a degenerate case of either (i) or (ii), and the proof of (SC') still works. Note that these two $\mathbf{P}_{\mathbf{d}}$ gives the same triangle convex hull $|\mathbf{P}_{\mathbf{d}}|$.

To show that the quadrilateral changes as expected under the mutation μ_3 : Substitute x_3 by $(p_3^+ + p_1^- x_2^b x_1^{-c'})/x_3$ in $x[\mathbf{d}]$, and get $x'[\mathbf{d}']$.

$$B' := \mu_3(B) = [b'_{ij}] = \begin{bmatrix} 0 & a & c' \\ -a' & 0 & -b \\ -c & b' & 0 \end{bmatrix}.$$

Applying Lemma 3.12 to $T_{\text{in}} = P_1P_2P_3$, $T_{\text{out}} = P_1P_4$, we obtain the quadrilateral $P'_1P'_2P'_3P'_4$, determined by $\alpha_3(P_1P_2P_3) = P'_2P'_3P'_4$ and $\beta_3(P_1P_4) =$

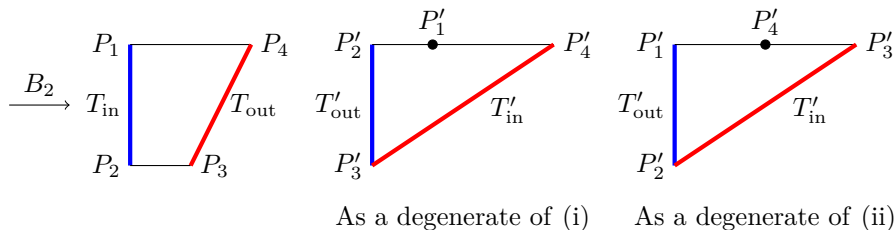


FIGURE 14. (SC'), Case 1, μ_2 , (iii). (Left: projection to xy -plane. Middle and Right: projection to xy -plane followed by reflection about x -axis.)

$P'_1P'_4$. See Figure 15. Thus

$$\begin{aligned}
 P'_1 &= \beta_3(P_1) = (-d_1 - c'(-cd_1 + b'd_2 - d_3), -d_2 + a'd_1 + b(-d_3 - cd_1 + b'd_2), \\
 &\quad d_3 + cd_1 - b'd_2), \\
 P'_2 &= \alpha_3(P_1) = (-d_1, -d_2 + a'd_1, d_3 + cd_1 - b'd_2), \\
 P'_3 &= \alpha_3(P_2) = (-d_1, -d_2, d_3 - b'd_2), \\
 P'_4 &= \alpha_3(P_3) = \beta_3(P_4) = (-d_1 + ad_2, -d_2, d_3).
 \end{aligned}$$



FIGURE 15. (SC'), Case 1, μ_3 . (Left: projection to yz -plane. Right: projection to yz -plane followed by reflection about y -axis.)

To show $x'[\mathbf{d}']$ satisfies (SC'). We can assume $d'_3 \geq 0$ as before. Then $d'_1 = d_1, d'_2 = d_2, d'_3 = -cd_1 + b'd_2 - d_3, \mathbf{v}'_4 = (-c'(-cd_1 + b'd_2 - d_3) - ad_2, a'd_1 + b(-d_3 - cd_1 + b'd_2), cd_1 - b'd_2)$. We claim the conditions in Lemma 3.11 (1) hold for $(i, j, k) = (3, 1, 2)$. Indeed:

For (1a): $b'_{31} = -c \geq 0, b'_{12} = a > 0$.

For (1a): straightforward check.

For (1a): B'_3, B'_1, B'_2 are strictly in the same half-plane, and $B'_1 = (a'/b)B'_3 + (-c/b')B'_2$ where both coefficients are nonnegative.

6.3.2. *Proof of (SC') Case 2.* Assume Q is of the form $1 \rightarrow 2 \rightarrow 3$, that is, $a, b > 0$ and $c = 0$.

This is a degenerated case of Case 1. We shall only explain the difference in the argument.

For μ_1 : assume $d'_1 \geq 0$. The vectors B_1 and B_3 are in opposite direction, so P_1P_2 is parallel to P_3P_4 . The point P'_3 is on the line segment $P'_2P'_4$, so $|\mathbf{P}_d|$ is the triangle $P'_1P'_2P'_4$. The proof is same as Case 1; the circular order condition (1c) trivially holds (where $(i, j, k) = (2, 3, 1)$) because B'_1 and B'_3 are in the same direction. See Figure 16.

For μ_2 : same argument as in Case 1.

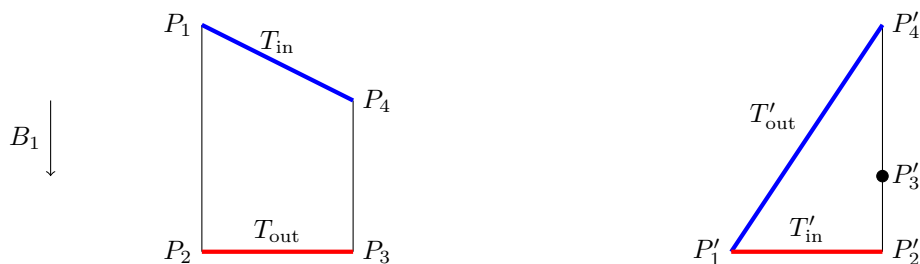


FIGURE 16. (SC'), Case 2, μ_1 . (Left: projection to xy -plane. Right: projection to xy -plane followed by reflection about y -axis.)

For μ_3 : assume $d'_3 \geq 0$. The point P'_2 is on the line segment $P'_1P'_3$, so $|\mathbf{P}_d|$ is the triangle $P'_1P'_3P'_4$. The proof is same as Case 1; the circular order condition trivially holds (where $(i, j, k) = (3, 1, 2)$) because B'_1 and B'_3 are in the same direction. See Figure 17.



FIGURE 17. (SC'), Case 2, μ_3 . (Left: projection to yz -plane. Right: projection to yz -plane followed by reflection about y -axis.)

6.3.3. Proof of (SC') Case 3.

- (a) Assume Q is of the form $1 \rightarrow 3 \leftarrow 2$, that is, $a = 0, b > 0 > c$. Thus B_1 and B_2 are in the same direction.

This is degenerated from Case 1. We shall explain the difference.

For μ_1 : Note $B'_1 = (0, 0, -c)$, $B'_2 = (0, 0, -b')$, $B'_3 = (c', b, 0)$, $(i, j, k) = (2, 3, 1)$. To show that $B'_2, B'_3, B'_1, \mathbf{v}'_4$ are in circular order, we use the fact that B'_2 and B'_1 are in opposite directions. See Figure 18.

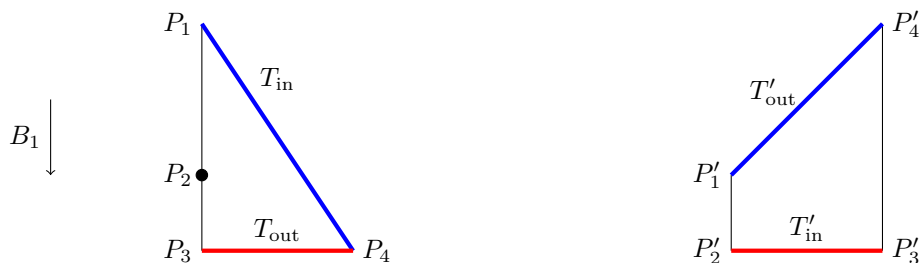


FIGURE 18. (SC'), Case 3, μ_1 or μ_2 . (Left: projection to xz -plane. Right: projection to xz -plane followed by reflection about z -axis.)

For μ_2 : Note $B'_1 = (0, 0, c)$, $B'_2 = (0, 0, b')$, $B'_3 = (-c', -b, 0)$. The three cases described in (Case 1, μ_2) degenerate to:

- (i): If $bd_3 < 0$. Impossible since we assume $d_3 \geq 0$.
- (ii) and (iii): If $bd_3 \geq 0$. Take $(i, j, k) = (1, 3, 2)$. Vectors $B'_1, B'_3, B'_2, \mathbf{v}'_4$ are in circular order because B'_1, B'_2 are in opposite directions. Also see Figure 18.
- For μ_3 : This is the same argument as Case 1.
- (b) Assume Q is of the form $2 \leftarrow 1 \rightarrow 3$, that is, $a > 0, b = 0, c < 0$. Then B_2 and B_3 are in the same direction.
 - This case is also degenerated from Case 1. We shall explain the difference.
 - For μ_1 : This is the same argument as in Case 1.
 - For μ_2 : As before, assume $d'_2 = bd_3 - d_2 = -d_2 \geq 0$. Since we assume $d_2 \geq 0$, we must have $d'_2 = d_2 = 0$. Note $B'_1 = (0, a', c), B'_2 = (-a, 0, 0), B'_3 = (-c', 0, 0)$. The three cases described in (Case 1, μ_2) degenerate to:
 - (i) and (iii): If $-a'd_1 \leq 0$. Then take $(i, j, k) = (2, 1, 3)$. Vectors $B'_2, B'_1, B'_3, \mathbf{v}'_4$ are in circular order because B'_2, B'_3 are in opposite directions.
 - (ii): If $-a'd_1 > 0$. Then $d_1 < 0, \mathbf{d} = (-1, 0, 0)$ and $x[\mathbf{d}] = x_1$, which is a trivial case.

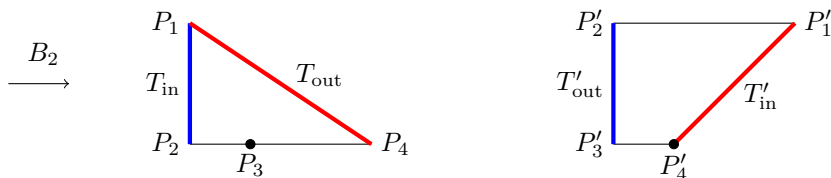


FIGURE 19. (SC') , Case 4, μ_2 or μ_3 . (Left: projection to xz -plane. Right: projection to xz -plane followed by reflection about z -axis.)

For μ_3 : Take $(i, j, k) = (3, 1, 2)$. Vectors $B'_3, B'_1, B'_2, \mathbf{v}'_4$ are in circular order because $B'_3 = (c', 0, 0)$ and $B'_2 = (a, 0, 0)$ are in opposite directions.

6.3.4. *Proof of (SC') , Case 4.* Suppose $a, b, c > 0$. Renumbering vertices $(1, 2, 3)$ as $(2, 3, 1)$ or $(3, 1, 2)$ if necessary, we can assume that $B_1, B_2, B_3, \mathbf{v}_4$ are in circular order (and still satisfy $b_{12}, b_{23}, b_{31} > 0$); thus

$$\begin{aligned}
 (13) \quad (-ad_2 + c'd_3, a'd_1 - bd_3, -cd_1 + b'd_2) &= \mathbf{v}_4 \\
 &= \lambda_1 B_1 + \lambda_3 B_3 = (-c'\lambda_3, -a'\lambda_1 + b\lambda_3, c\lambda_1)
 \end{aligned}$$

for some real numbers $\lambda_1, \lambda_3 \geq 0$. So by Lemma 3.11, we get the same expression for P_1, \dots, P_4 as in Case 1:

$$\begin{aligned}
 P_1 &= (-d_1, -d_2 + a'd_1, -d_3 - cd_1 + b'd_2), \\
 P_2 &= (-d_1, -d_2, -d_3 + b'd_2), \\
 P_3 &= (-d_1 + ad_2, -d_2, -d_3), \\
 P_4 &= (-d_1 + ad_2 - c'd_3, -d_2 + bd_3, -d_3).
 \end{aligned}$$

It is easy to check that $\mathbf{d} = -\overrightarrow{\min}(P_1, \dots, P_4)$ by observing $ad_2 - c'd_3 = c'\lambda_3 \geq 0$ and $-cd_1 + b'd_2 = c\lambda_1 \geq 0$.

To show that the quadrilateral changes as expected under the mutation μ_1 : If $\mu_1(Q)$ is acyclic, then we can apply the previous argument for $\mu_1(Q)$ to conclude that the quadrilateral is compatible with the mutation. So in below we assume that $\mu_1(Q)$ is still cyclic, i.e. $ac - b' > 0$, or equivalently, $a'c' - b > 0$.

By changing the initial seed from Σ_{t_0} to $\mu_1(\Sigma_{t_0})$, we substitute x_1 by $(p_1^+ x_2^{a'} + p_1^- x_3^c)/x_1$ in $x[\mathbf{d}]$, and get $x'[\mathbf{d}']$. Using Lemma 3.12, we obtain that the support of $x'[\mathbf{d}']$ lies in the quadrilateral $P'_1 P'_2 P'_3 P'_4$, determined by $\alpha_1(P_1 P_4 P_3) = P'_1 P'_4 P'_3$ and $\beta_1(P_2 P_3) = P'_1 P'_2$. Thus $P'_1 = \alpha_1(P_1) = \beta_1(P_2)$, $P'_2 = \beta_1(P_3)$, $P'_3 = \alpha_1(P_3)$, $P'_4 = \alpha_1(P_4)$, and more explicitly

$$\begin{aligned} P'_1 &= (d_1, & -d_2, & -d_3 + b'd_2 - cd_1), \\ P'_2 &= (d_1 - ad_2, & -d_2, & -d_3 - cd_1 + acd_2), \\ P'_3 &= (d_1 - ad_2, & (aa' - 1)d_2 - a'd_1, & -d_3), \\ P'_4 &= (d_1 - ad_2 + c'd_3, & -a'd_1 + (aa' - 1)d_2 + (b - a'c')d_3, & -d_3). \end{aligned}$$

See Figure 20.

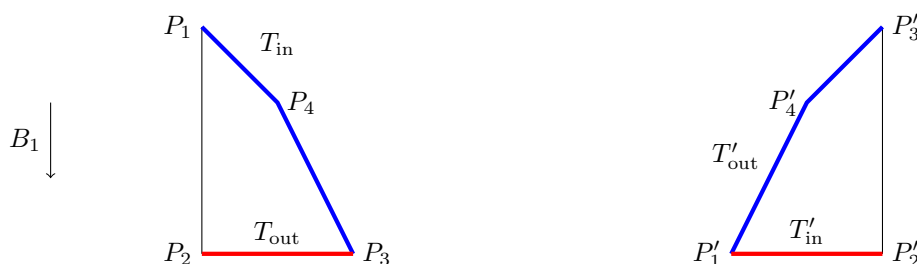


FIGURE 20. (SC'), Case 4, μ_1 . (Left: projection to xy -plane. Right: projection to xy -plane followed by reflection about y -axis.)

We claim that this $x'[\mathbf{d}']$ also satisfies (SC). If $d'_1 < 0$, it is trivially true. So we assume $d'_1 \geq 0$. Denote

$$B' = \mu_1(B) = \begin{bmatrix} 0 & -a & c' \\ a' & 0 & b - a'c' \\ -c & ac - b' & 0 \end{bmatrix}.$$

By (3), we have $\mathbf{d} = \overrightarrow{\min}(P'_1, P'_2, P'_3, P'_4)$, thus $d'_1 = ad_2 - d_1$, $d'_2 = d_2$, $d'_3 = d_3$, because $d'_1 = ad_2 = d_1 \geq 0$. The vector \mathbf{v}'_4 is equal to $\mathbf{v}'_4 = -\mathbf{v}'_1 - \mathbf{v}'_2 - \mathbf{v}'_3 = (ad_2 - c'd_3, a'd_1 - aa'd_2 + (a'c' - b)d_3, b'd_2 - cd_1)$.

We show that the conditions in Lemma 3.11 (1) are all satisfied for $(i, j, k) = (2, 1, 3)$:

For (1a): We have $b'_{21} = a' \geq 0$ and $b'_{13} = c' \geq 0$.

For (1b): This is straightforward.

For (1c): We have $B'_2, B'_1, B'_3, \mathbf{v}'_4$ are in circular order because B'_2, B'_1, B'_3 are not in the same half plane, and $\mathbf{v}'_4 = (b'd_2 - cd_1)/(ac - b')B'_2 - (a'd_1 - aa'd_2 + (a'c' - b)d_3)/(a'c' - b)B'_3$ where the first coefficient $= c\lambda_1/(ac - b') \geq 0$, the second coefficient $= (a'\lambda_1 + (a'c' - b)\lambda_3)/(a'c' - b) \geq 0$.

To show that the quadrilateral changes as expected under the mutation μ_2 : Like above, we can assume $\mu_2(Q)$ is cyclic, i.e. $ab - c' > 0$, $a'b' - c > 0$. Define

$$B' = [b'_{ij}] = \begin{bmatrix} 0 & -a & ab - c' \\ a' & 0 & -b \\ c - a'b' & b' & 0 \end{bmatrix}.$$

There are three cases to consider:

- (i) Suppose $-a'd_1 + bd_3 < 0$. See Figure 21.

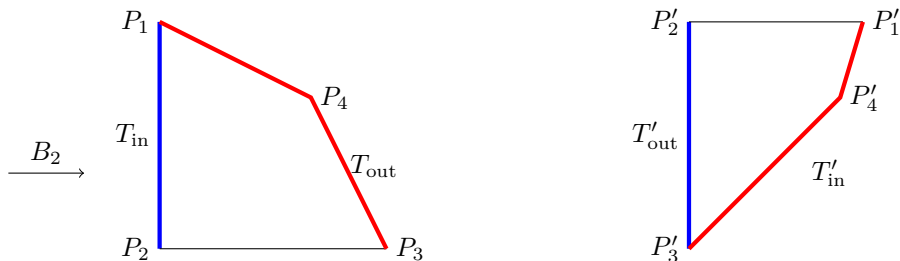


FIGURE 21. (SC'), Case 4, μ_2 , (i). (Left: projection to xy -plane. Right: projection to xy -plane followed by reflection about x -axis.)

The quadrilateral \mathbf{P}_d is determined by $\alpha_2(P_1P_2) = P'_2P'_3$ and $\beta_2(P_1P_4P_3) = P'_1P'_4P'_3$. Thus

$$\begin{aligned} P'_1 &= \beta_2(P_1) = ((aa' - 1)d_1 - ad_2, d_2 - a'd_1, -d_3 - cd_1 + b'd_2), \\ P'_2 &= \alpha_2(P_1) = (-d_1, d_2 - a'd_1, -d_3 + (a'b' - c)d_1), \\ P'_3 &= \alpha_2(P_2) = \beta_2(P_3) = (-d_1, d_2, -d_3), \\ P'_4 &= \beta_2(P_4) = (-d_1 + (ab - c')d_3, d_2 - bd_3, -d_3). \end{aligned}$$

We claim that $x'[\mathbf{d}']$ also satisfies (SC'). Like before, assume $d'_2 \geq 0$. First compute:

$$\begin{aligned} d'_1 &= d_1, \\ d'_2 &= a'd_1 - d_2 \text{ (because of the assumption } -a'd_1 + bd_3 < 0), \\ d'_3 &= d_3 \text{ (recall } -cd_1 + b'd_2 = c\lambda_1 \geq 0), \\ \mathbf{v}'_4 &= (aa'd_1 - ad_2 - (ab - c')d_3, -a'd_1 + bd_3, -cd_1 + b'd_2). \end{aligned}$$

Then show that the conditions in Lemma 3.11 (1) hold for $(i, j, k) = (2, 1, 3)$:

(1a) We have $b'_{21} = a \geq 0$ and $b'_{13} = ab - c \geq 0$.

(1b) This is straightforward.

(1c) We have B'_2, B'_1, B'_3 are not strictly in the same half plane, and $\mathbf{v}'_4 = \lambda'_2 B'_2 + \lambda'_3 B'_3$ with $\lambda'_2 = (-cd_1 + b'd_2)/b' = c\lambda_1/b \geq 0$, $\lambda'_3 = (a'd_1 - bd_3)/b > 0$, so $B'_2, B'_1, B'_3, \mathbf{v}'_4$ are in circular order.

(ii) Suppose $-a'd_1 + bd_3 > 0$. See Figure 22.

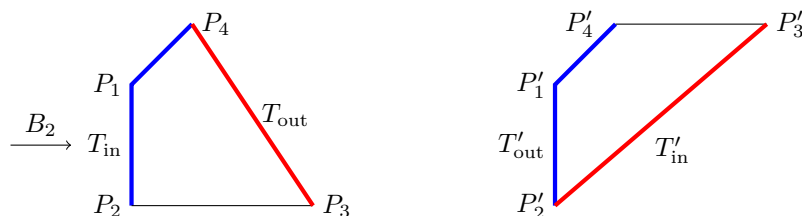


FIGURE 22. (SC'), Case 4, μ_2 , (ii). (Left: projection to xy -plane. Right: projection to xy -plane followed by reflection about x -axis.)

The quadrilateral \mathbf{P}_d is determined by $\alpha_2(P_4P_1P_2) = P'_4P'_1P'_2$ and $\beta_2(P_3P_4) = P'_2P'_3$. Thus

$$\begin{aligned} P'_1 &= \alpha_2(P_1) = (-d_1, d_2 - a'd_1, -d_3 + (a'b' - c)d_1), \\ P'_2 &= \alpha_2(P_2) = \beta_2(P_3) = (-d_1, d_2, -d_3), \\ P'_3 &= \beta_2(P_4) = (-d_1 + (ab - c')d_3, d_2 - bd_3, -d_3), \\ P'_4 &= \alpha_2(P_4) = (-d_1 + ad_2 - c'd_3, d_2 - bd_3, -b'd_2 + (bb' - 1)d_3). \end{aligned}$$

We claim that this $x'[\mathbf{d}']$ also satisfies (SC). Like before, assume $d'_2 \geq 0$. We have

$$\begin{aligned} d'_1 &= -\min(-d_1, -d_1 + (ab - c')d_3, -d_1 + ad_2 - c'd_3) \\ &= d_1 \quad \text{because } ab - c' > 0 \text{ and } ad_2 - c'd_3 = c'\lambda_3 \geq 0, \\ d'_2 &= -\min(d_2 - a'd_1, d_2, d_2 - bd_3) = bd_3 - d_2 \quad \text{because } a'd_1 < bd_3, \\ d'_3 &= -\min(-d_3 + (a'b' - c)d_1, -d_3, -b'd_2 + (bb' - 1)d_3) \\ &= -\min(-d_3 + (a'b' - c)d_1, -d_3, b'd'_2 - d_3) \\ &= d_3 \quad \text{because } a'b' - c > 0 \text{ and } d'_2 \geq 0, \\ \mathbf{v}'_4 &= (-ad_2 + c'd_3, bd_3 - a'd_1, (a'b' - c)d_1 + b'd_2 - bb'd_3). \end{aligned}$$

To show that the conditions in Lemma 3.11 (1) hold for $(i, j, k) = (1, 3, 2)$, the only nontrivial condition is (1c) $B'_1, B'_3, B'_2, \mathbf{v}'_4$ are in circular order. To see this, note that B'_1, B'_3, B'_2 are not in the same half plane, and $\mathbf{v}'_4 = \lambda'_1 B'_1 + \lambda'_2 B'_2$ with $\lambda'_1 = (bd_3 - a'd_1)/a' > 0$, $\lambda'_2 = (ad_2 - c'd_3)/a' = c'\lambda_3/a' \geq 0$.

- (iii) Suppose $-a'd_1 + bd_3 = 0$. See Figure 23. The Newton polytope of $x'[\mathbf{d}']$ is a triangle. We can view this as a degenerate case of either (i) or (ii), and the proof of (SC') therein still holds.

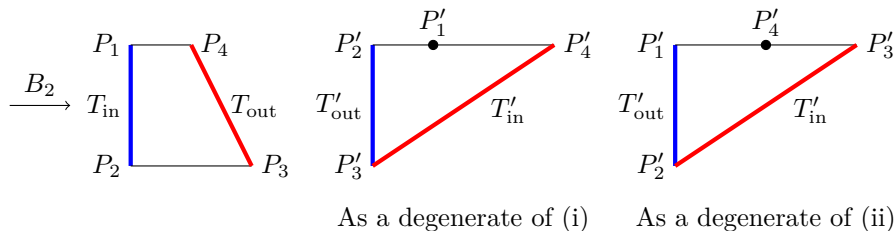


FIGURE 23. (SC'), Case 4, μ_2 , (iii). (Left: projection to xy -plane. Middle and Right: projection to xy -plane followed by reflection about x -axis.)

To show that the quadrilateral changes as expected under the mutation μ_3 : Assume $\mu_3(Q)$ is cyclic, i.e. $bc - a' > 0$, $b'c' - a > 0$. See Figure 24. We have

$$B' := \mu_3(B) = \begin{bmatrix} 0 & a - b'c' & c' \\ bc - a' & 0 & -b \\ -c & b' & 0 \end{bmatrix}.$$

The quadrilateral \mathbf{P}_d is determined by $\alpha_3(P_2P_3) = P'_2P'_3$ and $\beta_3(P_2P_1P_4) = P'_1P'_4P'_3$. See Figure 24. Thus

$$\begin{aligned} P'_1 &= \beta_3(P_1) = (-d_1, (a' - bc)d_1 + (bb' - 1)d_2 - bd_3, cd_1 - b'd_2 + d_3), \\ P'_2 &= \beta_3(P_2) = (-d_1, (bb' - 1)d_2 - bd_3, -b'd_2 + d_3), \\ P'_3 &= \alpha_3(P_2) = (-d_1 + b'c'd_2 - c'd_3, -d_2, -b'd_2 + d_3), \\ P'_4 &= \alpha_3(P_3) = \beta_3(P_4) = (-d_1 + ad_2 - c'd_3, -d_2, d_3). \end{aligned}$$



FIGURE 24. (SC'), Case 4, μ_3 . (Left: projection to yz -plane. Right: projection to yz -plane followed by reflection about y -axis.)

To show $x'[\mathbf{d}']$ satisfies (SC'). We can assume $d'_3 \geq 0$ as before. Compute

$$\begin{aligned} d'_3 &= -\min(cd_1 - b'd_2 + d_3, -b'd_2 + d_3, d_3) = b'd_2 - d_3, \\ d'_2 &= -\min((a' - bc)d_1 + (bb' - 1)d_2 - bd_3, (bb' - 1)d_2 - bd_3, -d_2) \\ &= -\min(-d_2 + (bc - a')\lambda_1 + b\lambda_3, bd'_3 - d_2, -d_2) = d_2, \\ d'_1 &= -\min(-d_1, -d_1 + b'c'd_2 - c'd_3, -d_1 + ad_2 - c'd_3) \\ &= -\min(-d_1, -d_1 + c'd'_3, -d_1 + c'\lambda_3) = d_1, \\ \mathbf{v}'_4 &= (-ad_2 + c'd_3, (a' - bc)d_1 + bb'd_2 - bd_3, cd_1 - b'd_2). \end{aligned}$$

We claim the conditions in Lemma 3.11 (1) holds for $(i, j, k) = (1, 3, 2)$. Indeed:

For (1a): We have $b'_{13} = c' \geq 0$, $b'_{32} = b' > 0$.

For (1b): This is a straightforward check.

For (1c): We have B'_1, B'_3, B'_2 are not in the same half-plane, and $\mathbf{v}'_4 = \lambda'_1 B'_1 + \lambda'_2 B'_2$ with coefficients $\lambda'_1 = ((a' - bc)d_1 + bb'd_2 - bd_3)/(bc - a') = ((bc - a')\lambda_1 + b\lambda_3)/(bc - a') \geq 0$, $\lambda'_2 = (-ad_2 + c'd_3)/(a - b'c') = c'\lambda_3/(b'c' - a) \geq 0$.

This completes the proof of (SC') for all four cases.

6.4. PROOF OF (NC'). The existence of $e(\mathbf{p})$ with nonzero constant term follows from (NC). To show the second part of (NC'), it suffices to show that the property that $e(\mathbf{p})$ is a monomial for each vertex of $\mathbf{P}_{\mathbf{d}}$ is invariant under mutation. Suppose therefore that $\mathbf{p} \in \mathbb{Z}^3$ is a vertex of the weakly convex quadrilateral $\mathbf{P}_{\mathbf{d}}$ of the cluster variable $x[\mathbf{d}]$ with respect to the initial seed Σ_{t_0} , and suppose that $e(\mathbf{p}) = y_1^{r_1} y_2^{r_2} y_3^{r_3}$. Let \mathbf{P}' be the weakly convex quadrilateral of the same cluster variable but with respect to the seed $\Sigma_{\mu_1(t_0)}$. Thus \mathbf{P}' is obtained from \mathbf{P} by substituting x_1 by $(M_1 + M_2)/x_1$ (substituting x_2, x_3 can be argued similarly). By Lemma 3.13, the vertices of $|\mathbf{P}'|$ are obtained as either

- (a) $\alpha_1(\mathbf{p})$, where \mathbf{p} is a vertex of $|\mathbf{P}_{\mathbf{d}}|$, the intersection of the line $\mathbf{p} + \mathbb{R}B_1$ with the quadrilateral $\mathbf{P}_{\mathbf{d}}$ is a line segment $|\mathbf{pq}|$ with $\mathbf{q} = \mathbf{p} + rB_1$ ($r \geq 0$), or
- (b) $\beta_1(\mathbf{q})$, where \mathbf{q} is a vertex of $|\mathbf{P}_{\mathbf{d}}|$, the intersection of the line $\mathbf{q} + \mathbb{R}B_1$ with the quadrilateral $\mathbf{P}_{\mathbf{d}}$ is a line segment $|\mathbf{pq}|$ with $\mathbf{p} = \mathbf{q} - rB_1$ ($r \geq 0$).

We only need to consider (a) because (b) can be argued similarly. We use Lemma 3.12 with $f = \sum e(\mathbf{p})x^{\mathbf{p}}$ the Laurent expansion of $x[\mathbf{d}]$ in the seed Σ_{t_0} and g its Laurent expansion in the seed $\Sigma_{\mu_1(t_0)}$. The vertex \mathbf{p} corresponds to the term $a_0x^{\mathbf{p}}$ (with $b_0 = 0$) in the lemma and it transforms to the new vertex \mathbf{p}' yielding the term $a'_0x^{\mathbf{p}'}$ (with $b'_0 = 0$) in g . The lemma implies $a'_0 = (p^+)^{p_1} a_0$ and thus

$$e'(\mathbf{p}') = (p^+)^{p_1} e(\mathbf{p}) = \left(\prod_i y_i^{[e_i]_+} \right)^{p_1} e(\mathbf{p}) = \prod_i y_i^{r_i + p_1[e_i]_+}$$

which is a Laurent monomial in y_1, y_2, y_3 , so it is also a Laurent monomial in y'_1, y'_2, y'_3 . By induction on the number of mutations it follows that $e(\mathbf{p})$ is a monomial for all vertices \mathbf{p} of all $|\mathbf{P}_d|$. This completes the proof of condition (NC') and of Theorem 5.1.

7. EXAMPLE

EXAMPLE 7.1. Consider $a = b = -c = 2$:

$$Q = \begin{array}{ccc} & 2 & \\ \nearrow 2 & & \searrow 2 \\ 1 & \xrightarrow{2} & 3 \end{array} \qquad B = \begin{bmatrix} 0 & 2 & 2 \\ -2 & 0 & 2 \\ -2 & -2 & 0 \end{bmatrix}$$

Consider the cluster variable $x[6, 2, 1]$, obtained by the mutation sequence $\{1, 2, 3\}$. The quadrilateral \mathbf{P}_d is computed as in Lemma 3.11 as follows. Start with vertices

$$\begin{aligned} \tilde{P}_1 &= (0, 0, 0) & \tilde{P}_2 &= d_1 B_1 = (0, -12, -12) \\ \tilde{P}_3 &= \tilde{P}_1 + d_2 B_2 = (4, -12, -16) & \tilde{P}_4 &= \tilde{P}_3 + d_3 B_3 = (6, -10, -16) \end{aligned}$$

and then shift by the vector

$$-\overrightarrow{\min}(\tilde{P}_1, \tilde{P}_2, \tilde{P}_3, \tilde{P}_4) - \mathbf{d} = -(0, -12, -16) - (6, 2, 1) = (-6, 10, 15)$$

to obtain the vertices of P_d as follows

$$P_1 = (-6, 10, 15), P_2 = (-6, -2, 3), P_3 = (-2, -2, -1), P_4 = (0, 0, -1).$$

On the other hand, the cluster has the following Laurent expansion.

$$\begin{aligned} x[6, 2, 1] &= x_1^{-6} x_2^{-2} x_3^{-1} (x_2^{12} x_3^{16} + 6x_2^{10} x_3^{14} y_1 + 2x_1^2 x_2^8 x_3^{10} y_1^2 y_2 + 15x_2^8 x_3^{12} y_1^2 \\ &\quad + 8x_1^2 x_2^6 x_3^8 y_1^3 y_2 + 20x_2^6 x_3^{10} y_1^3 + x_1^4 x_2^4 x_3^4 y_1^4 y_2^2 + 12x_1^2 x_2^4 x_3^6 y_1^4 y_2 \\ &\quad + x_1^6 x_2^2 y_1^6 y_2^2 y_3 + 15x_2^4 x_3^8 y_1^4 + 2x_1^4 x_2^2 x_3^2 y_1^5 y_2^2 + 8x_1^2 x_2^2 x_3^4 y_1^5 y_2 + 6x_2^2 x_3^6 y_1^5 \\ &\quad + x_1^4 y_1^6 y_2^2 + 2x_1^2 x_3^2 y_1^6 y_2 + x_3^4 y_1^6). \end{aligned}$$

We project the support to 2nd and 3rd exponents of x (that is, draw a point of coordinate (i, j) if $x_2^i x_3^j$ appears in $x[6, 2, 1]$). We obtain the picture in Figure 25.

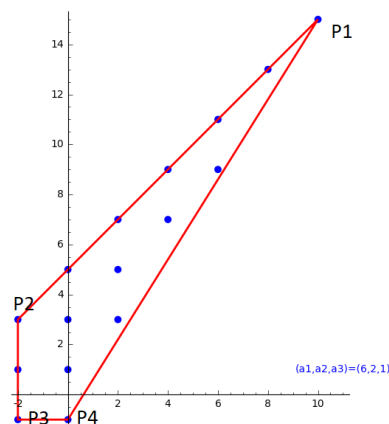


FIGURE 25. Support of $x[6, 2, 1]$. The blue dots are the support, the red polytope is the Newton polytope.

The monomials in corresponding positions are:

$$\left[\begin{array}{cccccccc} & & & & & & & x_1^{-6}x_2^{10}x_3^{15} \\ & & & & & & 6x_1^{-6}x_2^8x_3^{13}y_1 & \\ & & & & & 15x_1^{-6}x_2^6x_3^{11}y_1^2 & & \\ & & & & 20x_1^{-6}x_2^4x_3^9y_1^3 & 2x_1^{-4}x_2^6x_3^9y_1^2y_2 & & \\ & & & 15x_1^{-6}x_2^2x_3^7y_1^4 & 8x_1^{-4}x_2^4x_3^7y_1^3y_2 & & & \\ & & 6x_1^{-6}x_2^5y_1^5 & 12x_1^{-4}x_2^2x_3^5y_1^4y_2 & & & & \\ & x_1^{-6}x_2^{-2}x_3^3y_1^6 & 8x_1^{-4}x_2^3y_1^5y_2 & x_1^{-2}x_2^2x_3^3y_1^4y_2 & & & & \\ 2x_1^{-4}x_2^{-2}x_3y_1^6y_2 & 2x_1^{-2}x_3y_1^5y_2^2 & & & & & & \\ x_1^{-2}x_2^{-2}x_3^{-1}y_1^6y_2^2 & x_3^{-1}y_1^6y_2^2y_3 & & & & & & \end{array} \right].$$

Let us consider what happens if we substitute x_1 by its mutation $(p_1^+x_2^ax_3^{-c} + p_1^-)/x_1 = (x_2^2x_3^2 + y_1)/x_1$ in $x[6, 2, 1]$ (because $p_1^+ = 1, p_1^- = y_1$). The 7 terms with x_1^{-6} (that is, the line segment $|P_1P_2|$) add up to be

$$f = x_1^{-6}x_2^{10}x_3^{15} + 6x_1^{-6}x_2^8x_3^{13}y_1 + \dots + x_1^{-6}x_2^{-2}x_3^3y_1^6 = x_2^{-2}x_3^3 \left(\frac{x_2^2x_3^2 + y_1}{x_1} \right)^6.$$

So after the substitution, we get $x_1^6x_2^{-2}x_3^3$, which also follows in general using Lemma 3.12 (1), with $\mathbf{p} = (-6, 10, 15), \mathbf{q} = (-6, -2, 3) = \mathbf{p} + 6(0, -2, -2)$: since the first and last term of g in that lemma will have exponents $\mathbf{p}' = \alpha_1(\mathbf{p}) = \mathbf{q}' = \beta_1(\mathbf{q}) = (6, -2, 3)$, which is P'_1 .

In general, let f be the sum of the terms containing $x_1^{-constant}$. Then after substitution the two endpoints are mapped by α_1 and β_1 , both being linear maps. Consider the line segments parallel to line P_1P_2 and in the quadrilateral $P_1P_2P_3P_4$. The two ends of each line segment are mapped by maps α_1 and β_1 . So the segment P_1P_4 (i.e. the set of right endpoints) is mapped by α_1 , $P_2P_3P_4$ (i.e. the set of left endpoints) is mapped by β_1 . Their image encloses a new quadrilateral $\mathbf{P}_d' = P'_1P'_2P'_3P'_4$ (which actually degenerates to a triangle) with

$$P'_1 = (6, -2, 3), \quad P'_2 = (2, -2, -1), \quad P'_3 = (0, 0, -1), \quad P'_4 = (0, 0, -1).$$

REMARK 7.2. Note that the F -polynomial of $x[6, 2, 1]$ is $1 + 6y_1 + 2y_1^2y_2 + 15y_1^2 + 8y_1^3y_2 + 20y_1^3 + y_1^4y_2^2 + 12y_1^4y_2 + y_1^6y_2^2y_3 + 15y_1^4 + 2y_1^5y_2^2 + 8y_1^5y_2 + 6y_1^5 + y_1^6y_2^2 + 2y_1^6y_2 + y_1^6$. Its Newton polytope has vertices $(0, 0, 0), (6, 2, 1), (6, 2, 0), (6, 0, 0), (4, 2, 0)$. In contrast, the region \mathbf{F}_d as defined in Corollary 5.2 is a convex polyhedron with vertices $(0, 0, 0), (6, 0, 0), (8, 0, 2), (6, 2, 0), (5, 3, 0), (8, 0, 3)$, which contains the Newton polytope of the F -polynomial as a proper subset.

8. QUANTUM ANALOGUE

In this section, we prove that Theorem 5.1 generalizes to the quantum cluster algebras introduced in [2]. We consider here only principal coefficients. The statement with non-principal coefficients should follow easily from this.

First we fix some notation. For a nonzero integer δ , define $[n]_\delta = (v^{\delta n} - v^{-\delta n}) / (v^\delta - v^{-\delta})$ (note that $[n]_\delta = [n]_{-\delta}$) and define the quantum binomial coefficient (where $k, n \in \mathbb{Z}, k \geq 0$)

$$\binom{n}{k}_\delta = \frac{[n]_\delta [n-1]_\delta \cdots [n-k+1]_\delta}{[k]_\delta [k-1]_\delta \cdots [1]_\delta}.$$

Define

$$(x + y)_\delta^n = \sum_{k \geq 0} \binom{n}{k}_\delta x^k y^{n-k}.$$

For example,

$$(x + y)_5^3 = y^3 + (v^5 + 1 + v^{-5})xy^2 + (v^5 + 1 + v^{-5})x^2y + y^3.$$

REMARK 8.1. To see the motivation of the above definition: consider two quasi-commuting variables X, Y with $YX = v^{2\delta}XY$. Denote $X^{(i,j)} := v^{ij\delta}X^iY^j$. Then the above quantum binomial coefficients satisfy

$$(X + Y)^n = \sum_{k \geq 0} \begin{bmatrix} n \\ k \end{bmatrix}_\delta v^{k(n-k)\delta} X^k Y^{n-k} = \sum_{k \geq 0} \begin{bmatrix} n \\ k \end{bmatrix}_\delta X^{(k,n-k)}.$$

Let B be a skew-symmetrizable matrix, D a positive diagonal matrix such that DB is skew-symmetric. Let

$$\Lambda = \begin{bmatrix} 0 & -D \\ D & -DB \end{bmatrix}, \quad \tilde{B} = \begin{bmatrix} B \\ I \end{bmatrix}.$$

Recall that the based quantum torus $\mathcal{T}(\Lambda)$ is the $\mathbb{Z}[v^\pm]$ -algebra with a distinguished $\mathbb{Z}[v^\pm]$ -basis $\{X^e : e \in \mathbb{Z}^{2n}\}$ and the multiplication is given by

$$X^e X^f = v^{\Lambda(e,f)} X^{e+f} \quad (e, f \in \mathbb{Z}^{2n})$$

where $\Lambda(e, f) = e^T \Lambda f$.

We introduce the following convention to represent a quantum Laurent polynomial using a commutative Laurent polynomial: namely, we define a function

$$\begin{aligned} \varphi : \mathbb{Z}[v^\pm][x_1, \dots, x_6] &\rightarrow \mathcal{T}(\Lambda) \\ \sum a_{i_1, \dots, i_6} x_1^{i_1} \cdots x_6^{i_6} &\mapsto \sum a_{i_1, \dots, i_6} X^{(i_1, \dots, i_6)}. \end{aligned}$$

Recall that we denote $y_1 = x_4, y_2 = x_5, y_3 = x_6$.

We have the following generalization of our main result.

THEOREM 8.2. A quantum cluster variable $x[\mathbf{d}]$ with d -vector \mathbf{d} can be written as

$$x[\mathbf{d}] = \varphi \sum_{\mathbf{p} \in \mathbb{Z}^3} e(\mathbf{p}) x^{\mathbf{p}} = \varphi \sum_{p_1, p_2, p_3} e(p_1, p_2, p_3) x_1^{p_1} x_2^{p_2} x_3^{p_3}$$

where $e(\mathbf{p}) \in \mathbb{Z}[v^\pm][y_1, y_2, y_3]$ is uniquely characterized by the following conditions:

- (SC) (Support condition) The coefficient $e(\mathbf{p}) = 0$ unless $\mathbf{p} \in \mathbf{P}_{\mathbf{d}}$. Equivalently, the Newton polytope of $x[\mathbf{d}]$ is contained in $\mathbf{P}_{\mathbf{d}}$.
- (NC) (Normalization condition) There is only one $e(\mathbf{p})$ which has a nonzero constant term, which must be 1. Moreover, the greatest common divisor of all $e(\mathbf{p})$ is 1.
- (DC) (Divisibility condition) For each $k = 1, 2, 3$, if $p_k < 0$, then

$$\left(\prod_{i=1}^3 x_i^{[-b_{ik}]_+} + y_k \prod_{i=1}^3 x_i^{[b_{ik}]_+} \right)_{\delta_k}^{-p_k} \text{ divides } \sum_{p_1, \dots, \widehat{p_k}, \dots, p_3} e(p_1, p_2, p_3) x_1^{p_1} x_2^{p_2} x_3^{p_3}$$

where the notation $\widehat{p_k}$ under the sum means that we have p_k fixed and the other two p_i run over all integers.

Moreover, (NC) can be replaced by:

- (NC') There is a coefficient $e(\mathbf{p})$ with nonzero constant term, and for each vertex \mathbf{p} of the convex hull $|\mathbf{P}_{\mathbf{d}}|$, $e(\mathbf{p})$ is a monomial in y_1, y_2, y_3 .

And (SC) can be replaced by a stronger condition:

- (SC') The Newton polytope of $x[\mathbf{d}]$ is equal to $\mathbf{P}_{\mathbf{d}}$.

Proof. The proof is similar to Theorem 5.1. The main difference is the quantum version of the divisibility condition (DC), which follows easily from Lemma 8.3 below. \square

LEMMA 8.3. Fix $1 \leq k \leq 3$ and fix $p_k \in \mathbb{Z}$. Let f be a Laurent polynomial

$$f = \sum_{p_1, \dots, p_k, \dots, p_3} e(p_1, p_2, p_3) x_1^{p_1} x_2^{p_2} x_3^{p_3}$$

where $e(p_1, p_2, p_3) \in \mathbb{Z}[v^\pm][y_1, y_2, y_3]$. Then $\varphi(f) \in \mathcal{T}(\Lambda)$ is a Laurent polynomial in

$$\mathbb{Z}[v^\pm][X_1^\pm, \dots, (X'_k)^\pm, \dots, X_3^\pm, X_4^\pm, X_5^\pm, X_6^\pm]$$

if and only if

$$\left(\prod x_i^{[-b_{ik}]_+} + y_k \prod x_i^{[b_{ik}]_+} \right)_{\delta_k}^{[-p_k]_+} \text{ divides } f.$$

Proof. Without loss of generality assume $k = 1$. So p_1 is fixed throughout the proof.

Let $\tilde{B}' = \mu_1(\tilde{B})$. By definition of mutation of quantum cluster variables,

$$X_1 = X'^{-e_1 + [\tilde{B}'_1]_+} + X'^{-e_1 + [-\tilde{B}'_1]_+} = X'^{-e_1 + [-\tilde{B}_1]_+} + X'^{-e_1 + [\tilde{B}_1]_+},$$

where the second equality holds because $\tilde{B}'_1 = -\tilde{B}_1$. We introduce the following notation: for $p, q \in \mathbb{Z}^3$, let

$$X^{[p]} = X^{(p_1, p_2, p_3, q_1, q_2, q_3)}, \quad X'^{[q]} = X'^{(p_1, p_2, p_3, q_1, q_2, q_3)}.$$

We denote the Laurent expansion of $\varphi(f)$ in the original cluster (respectively in the cluster $\{X'_1, X_2, X_3, X_4, X_5, X_6\}$) as follows

$$\varphi(f) = \sum_{p_2, p_3, \mathbf{q}} e_{\mathbf{p}\mathbf{q}} X^{[p]} \quad \left(\text{respectively } \varphi(f) = \sum_{p'_2, p'_3, \mathbf{q}'} e'_{\mathbf{p}'\mathbf{q}'} X'^{[p']} \right),$$

where $\mathbf{p} = (p_1, p_2, p_3)$ and $\mathbf{p}' = (p'_1, p'_2, p'_3)$ and $p'_1 = -p_1$. So $e(p_1, p_2, p_3) = \sum_{\mathbf{q}} e_{\mathbf{p}\mathbf{q}} X^{[p]}$. For convenience of notation, we let $\bar{\mathbf{p}} = (0, p_2, p_3)$, and similar for $\bar{\mathbf{p}}'$. Then for fixed p_1 ,

$$\sum_{\mathbf{p}, \mathbf{q}} e_{\mathbf{p}\mathbf{q}} v^{-\Lambda([\bar{\mathbf{p}}], p_1 e_1)} X^{[\bar{\mathbf{p}}]} X_1^{p_1} = \sum_{\mathbf{p}', \mathbf{q}'} e'_{\mathbf{p}'\mathbf{q}'} X'^{[\bar{\mathbf{p}}']}$$

Now we prove the lemma in two cases: $p_1 < 0$ and $p_1 \geq 0$.

CASE 1 $p_1 < 0$. We shall show that

$$(14) \quad e_{\mathbf{p}\mathbf{q}} = \sum_k e'_{\mathbf{p}'\mathbf{q}'} \left[\begin{matrix} -p_1 \\ k \end{matrix} \right]_{\delta_1}.$$

Indeed,

$$\begin{aligned}
 & \sum_{\mathbf{p}, \mathbf{q}} e_{\mathbf{p}\mathbf{q}} v^{-\Lambda([\mathbf{p}], p_1 e_1)} X^{[\mathbf{p}]} \\
 &= \sum_{\mathbf{p}', \mathbf{q}'} e'_{\mathbf{p}'\mathbf{q}'} X'^{[\mathbf{q}']} X_1^{-p_1} \\
 &= \sum_{\mathbf{p}', \mathbf{q}'} e'_{\mathbf{p}'\mathbf{q}'} X'^{[\mathbf{q}']} (X'^{-e_1 + [-\tilde{B}_1]_+} + X'^{-e_1 + [\tilde{B}_1]_+})^{-p_1} \\
 &\stackrel{*}{=} \sum_{\mathbf{p}', \mathbf{q}'} e'_{\mathbf{p}'\mathbf{q}'} X'^{[\mathbf{q}']} \sum_k \begin{bmatrix} -p_1 \\ k \end{bmatrix}_{\delta_1} X'^{p_1 e_1 + k[-\tilde{B}_1]_+ + (-p_1 - k)[\tilde{B}_1]_+} \\
 &= \sum_{\mathbf{p}', \mathbf{q}', k} e'_{\mathbf{p}'\mathbf{q}'} \begin{bmatrix} -p_1 \\ k \end{bmatrix}_{\delta_1} v^{\Lambda'([\mathbf{p}], p_1 e_1 + k[-\tilde{B}_1]_+ + (-p_1 - k)[\tilde{B}_1]_+)} X'^{[\mathbf{q}'] + p_1 e_1 + k[-\tilde{B}_1]_+ + (-p_1 - k)[\tilde{B}_1]_+} \\
 &\stackrel{**}{=} \sum_{\mathbf{p}', \mathbf{q}', k} e'_{\mathbf{p}'\mathbf{q}'} \begin{bmatrix} -p_1 \\ k \end{bmatrix}_{\delta_1} v^{\Lambda'([\mathbf{p}], p_1 e_1 + k[-\tilde{B}_1]_+ + (-p_1 - k)[\tilde{B}_1]_+)} X^{[\mathbf{q}'] + k[-\tilde{B}_1]_+ + (-p_1 - k)[\tilde{B}_1]_+}.
 \end{aligned}$$

(The equality “ $\stackrel{*}{=}$ ” is because

$$X'^{-e_1 + [-\tilde{B}_1]_+} X'^{-e_1 + [\tilde{B}_1]_+} = v^{2\Lambda'(-e_1 + [-\tilde{B}_1]_+, -e_1 + [\tilde{B}_1]_+)} X'^{-e_1 + [\tilde{B}_1]_+} X'^{-e_1 + [-\tilde{B}_1]_+}$$

where $\Lambda'(-e_1 + [-\tilde{B}_1]_+, -e_1 + [\tilde{B}_1]_+) = \Lambda'(-e_1 + [-\tilde{B}_1]_+, \tilde{B}_1) = \Lambda(e_1, \tilde{B}_1) = -\delta_1$. The equality “ $\stackrel{**}{=}$ ” is because the exponent of X' has zero in the first coordinate, so we can replace X' by X). Now comparing the coefficients of $X^{[\mathbf{p}]}$ on both sides, we get

$$(15) \quad e_{\mathbf{p}\mathbf{q}} v^{-\Lambda([\mathbf{p}], p_1 e_1)} = \sum_k e'_{\mathbf{p}'\mathbf{q}'} \begin{bmatrix} -p_1 \\ k \end{bmatrix}_{\delta_1} v^{\Lambda'([\mathbf{p}], p_1 e_1 + k[-\tilde{B}_1]_+ + (-p_1 - k)[\tilde{B}_1]_+)}$$

where \mathbf{p}' and \mathbf{q}' are determined by

$$\begin{bmatrix} \bar{\mathbf{p}}' \\ \bar{\mathbf{q}}' \end{bmatrix} + k[-\tilde{B}_1]_+ + (-p_1 - k)[\tilde{B}_1]_+ = \begin{bmatrix} \bar{\mathbf{p}} \\ \bar{\mathbf{q}} \end{bmatrix}$$

which can be rewritten as

$$(16) \quad \begin{bmatrix} \bar{\mathbf{p}}' \\ \bar{\mathbf{q}}' \end{bmatrix} + (-p_1)[- \tilde{B}_1]_+ + (-p_1 - k)\tilde{B}_1 = \begin{bmatrix} \bar{\mathbf{p}} \\ \bar{\mathbf{q}} \end{bmatrix}.$$

We claim that the exponents of v on both sides of (15) are equal. Indeed,

$$\begin{aligned}
 & \Lambda' \left(\begin{bmatrix} \bar{\mathbf{p}} \\ \bar{\mathbf{q}} \end{bmatrix}, p_1 e_1 + k[-\tilde{B}_1]_+ + (-p_1 - k)[\tilde{B}_1]_+ \right) \\
 &= \Lambda \left(\begin{bmatrix} \bar{\mathbf{p}} \\ \bar{\mathbf{q}} \end{bmatrix}, -p_1 e_1 + p_1[\tilde{B}_1]_+ + k[-\tilde{B}_1]_+ + (-p_1 - k)[\tilde{B}_1]_+ \right) \\
 &= \Lambda \left(\begin{bmatrix} \bar{\mathbf{p}} \\ \bar{\mathbf{q}} \end{bmatrix}, -p_1 e_1 + k[-\tilde{B}_1]_+ - k[\tilde{B}_1]_+ \right) = -\Lambda \left(\begin{bmatrix} \bar{\mathbf{p}} \\ \bar{\mathbf{q}} \end{bmatrix}, p_1 e_1 \right) - k\Lambda \left(\begin{bmatrix} \bar{\mathbf{p}} \\ \bar{\mathbf{q}} \end{bmatrix}, \tilde{B}_1 \right).
 \end{aligned}$$

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