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Regularity of powers of edge ideals: from local properties to global bounds

Arindam Banerjee, Selvi Kara Beyarslan & Huy Tài Hà

ABSTRACT Let I = I(G) be the edge ideal of a graph G. We give various general upper bounds for the regularity function reg I^s , for $s \ge 1$, addressing a conjecture made by the authors and Alilooee. When G is a gap-free graph and locally of regularity 2, we show that reg $I^s = 2s$ for all $s \ge 2$. This is a weaker version of a conjecture of Nevo and Peeva. Our method is to investigate the regularity function reg I^s , for $s \ge 1$, via local information of I.

1. INTRODUCTION

During the last few decades, studying the regularity of powers of homogeneous ideals has evolved to be a central research topic in combinatorial commutative algebra. This research program began with a celebrated theorem, proved independently by Cutkosky–Herzog–Trung [10] and Kodiyalam [26], which stated that for a homogeneous ideal I in a standard graded algebra over a field, the regularity function reg I^s is asymptotically a linear function (see also [3, 35]). Though despite much effort from many researchers, this asymptotic linear function is far from being well understood. In this paper, we investigate this regularity function for edge ideals of graphs. We shall explore several classes of graphs for which this regularity function can be explicitly described or bounded in terms of combinatorial data of the graphs. This problem has been studied recently by many authors (cf. [1, 2, 4, 5, 6, 13, 14, 23, 24, 25, 29, 32]).

Our initial motivation for this paper is the general belief that global conclusions often could be derived from local information. Particularly, local conditions on an edge ideal I (i.e. conditions on reg(I:x), for $x \in V(G)$) should give a global understanding of the function reg I^s , for $s \ge 1$. Our motivation furthermore comes from the following conjectures (see [5, 30, 31]), which provide a general upper bound for the regularity function of edge ideals, and describe a special class of edge ideals whose powers (at least 2) all have linear resolutions.

CONJECTURE A (Alilooee–Banerjee–Beyarslan–Hà). Let G be a simple graph with edge ideal I. For any $s \ge 1$, we have

$$\operatorname{reg} I^s \leq 2s + \operatorname{reg} I - 2.$$

CONJECTURE B (Nevo-Peeva). Let G be a simple graph with edge ideal I. Suppose that G is gap-free and reg I = 3. Then, for all $s \ge 2$, we have

 $\operatorname{reg} I^s = 2s.$

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Our aim is to investigate Conjectures A and B using the local-global principle. Finding general upper bounds for reg $I(G)^s$ has received a special interest and generated a large number of papers during the last few years. This partly thanks to a general lower bound for reg $I(G)^s$ given in [6]; particularly, if $\nu(G)$ denotes the induced matching number of G then, for any $s \ge 1$, we have

(1)
$$\operatorname{reg} I(G)^s \ge 2s + \nu(G) - 1.$$

Our first main result gives a weaker general upper bound for reg $I(G)^s$ than that of Conjecture A. The motivation of this result comes from an upper bound for the regularity of I(G) given by Adam Van Tuyl and the last author, namely reg $I(G) \leq \beta(G) + 1$, where $\beta(G)$ denotes the matching number of G (see [16]). We prove the following theorem.

THEOREM 3.4. Let G be a graph with edge ideal I, and let $\beta(G)$ be its matching number. Then, for all $s \ge 1$, we have

$$\operatorname{reg} I^s \leq 2s + \beta(G) - 1.$$

As a consequence of Theorem 3.4, for the class of Cameron–Walker graphs, where $\nu(G) = \beta(G)$, we have, for all $s \ge 1$,

$$\operatorname{reg} I^s = 2s + \nu(G) - 1.$$

A graph G is said to be *locally of regularity at most* r - 1 if $\operatorname{reg}(I(G) : x) \leq r - 1$ for all vertex x in G. Note that, by [9, Proposition 4.9], if G is locally of regularity at most r - 1 then $\operatorname{reg} I(G) \leq r$. In the local-global spirit, we reformulate Conjecture A to a slightly weaker conjecture as follows.

CONJECTURE A'. Let G be a simple graph with edge ideal I. Suppose that G is locally of regularity at most r - 1, for some $r \ge 2$. Then, for any $s \ge 1$, we have

$$\operatorname{reg} I^s \leq 2s + r - 2$$

Our next main result proves Conjecture A' for gap-free graphs.

THEOREM 4.2. Let G be a simple graph with edge ideal I. Suppose that G is gap-free and locally of regularity at most r - 1, for some $r \ge 2$. Then, for any $s \ge 1$, we have

$$\operatorname{reg} I^s \leqslant 2s + r - 2$$

It is an easy observation from (1) that if $I(G)^s$ has a linear resolution for some $s \ge 1$ then G must be gap-free. Conjecture B serves as a converse statement to this observation, and has remained intractable. By applying the local-global principle, we prove a weaker statement, in which the condition reg I = 3 is replaced by the condition that G is locally linear (i.e. locally of regularity at most 2). Our main result toward Conjecture B is stated as follows.

THEOREM 4.5. Let G be a simple graph with edge ideal I. Suppose that G is gap-free and locally linear. Then for all $s \ge 2$, we have

$$\operatorname{reg} I^s = 2s.$$

As a consequence of Theorem 4.5, we quickly recover a result of Banerjee, which showed that if G is gap-free and cricket-free then $I(G)^s$ has a linear resolution for all $s \ge 2$ (see Corollary 4.6).

We end the paper by exhibiting an evidence for Conjecture A' at the first nontrivial value of s, i.e. s = 2, for all graphs.

THEOREM 5.1. Let G be a graph with edge ideal I. Suppose that G is locally of regularity at most r - 1. Then, for any edge $e \in E(G)$, $\operatorname{reg}(I^2 : e) \leq r$. Particularly, this implies that $\operatorname{reg}(I^2) \leq r + 2$. Our paper is structured as follows. In the next section we give necessary notation and terminology. The reader who is familiar with previous work in this research area may want to proceed directly to Section 3. In Section 3, we discuss general upper bound for the regularity function, aiming toward Conjecture A. Theorem 3.4 is proved in this section. In Section 4, we focus further on gap-free graphs, investigating both Conjectures A' and B using the local-global principle. Theorems 4.2 and 4.5 are proved in this section. We end the paper with Section 5, proving Theorem 5.1 and discussing briefly how an effective bound on the regularity of $I(G)^2$ may give us information on the regularity of the second symbolic power $I(G)^{(2)}$. This gives a glimpse into future work on the regularity function of symbolic powers of edge ideals.

2. Preliminaries

In this section, we collect notations and terminology used in the paper. For unexplained notions, we refer the reader to standard texts [7, 12, 17, 19, 28, 33, 36].

2.1. GRAPH THEORY. Throughout the paper, G shall denote a finite simple graph with vertex set V(G) and edge set E(G). A subgraph G' of G is called *induced* if for any two vertices u, v in $G', uv \in E(G') \Leftrightarrow uv \in E(G)$. For a subset $W \subseteq V(G)$, we shall denote by G_W the induced subgraph of G over the vertices in W, and denote by G - W the induced subgraph of G on $V(G) \setminus W$. When $W = \{w\}$ consists of a single vertex, we also write G - w for $G - \{w\}$. The *complement* of a graph G, denoted by G^c , is the graph on the same vertex set V(G) in which $uv \in E(G^c) \Leftrightarrow uv \notin E(G)$.

DEFINITION 2.1. Let G be a graph.

- (1) A walk in G is a sequence of (not necessarily distinct) vertices x_1, x_2, \ldots, x_n such that $x_i x_{i+1}$ is an edge for all $i = 1, 2, \ldots, n-1$.
- (2) A path in G is a walk whose vertices are distinct (except possibly the first and the last vertices).
- (3) A cycle in G is a closed path. A cycle consisting of n distinct vertices is called an n-cycle and often denoted by C_n.
- (4) An anticycle is the complement of a cycle.

A graph in which there is no induced cycle of length greater than 3 is called a *chordal* graph. A graph whose complement is chordal is called a *co-chordal* graph.

DEFINITION 2.2. Let G be a graph.

- A matching in G is a collection of disjoint edges. The matching number of G, denoted by β(G) is the maximum size of a matching in G.
- (2) An induced matching in G is a matching C such that the induced subgraph of G over the vertices in C does not contain any edge other than those already in C. The induced matching number of G, denoted by ν(G), is the maximum size of an induced matching in G.
- (3) A vertex cover of G is a collection of vertices in G that contains at least one endpoint of every edge in G.

DEFINITION 2.3. Let G be a graph.

- (1) Two disjoint edges uv and xy are said to form a gap in G if G does not have an edge with one endpoint in $\{u, v\}$ and the other in $\{x, y\}$.
- (2) If G has no gaps then G is called gap-free. Equivalently, G is gap-free if and only if $\nu(G) = 1$ (i.e. G^c contains no induced C_4).

For any integer n, K_n denotes the *complete* graph over n vertices (i.e. there is an edge connecting any pair of vertices). For any pair of integers m and n, $K_{m,n}$ denotes

the complete bipartite graph; that is, a graph with a bipartition (U, V) of the vertices such that |U| = m, |V| = n and $E(K_{m,n}) = \{uv \mid u \in U, v \in V\}$.

Definition 2.4.

- (1) A graph isomorphic to $K_{1,3}$ is called a claw. A graph without any induced claw is called a claw-free graph.
- (2) A graph isomorphic to the graph with vertex set {w1, w2, w3, w4, w5} and edge set {w1w3, w2w3, w3w4, w3w5, w4w5} is called a cricket. A graph without any induced cricket is called a cricket-free graph.

REMARK 2.5. A claw-free graph is cricket-free.

NOTATION 2.6. Let G be a graph, let $u, v \in V(G)$, and let $e = xy \in E(G)$.

- (1) The set of vertices incident to u, the *neighborhood* of u, is denoted by $N_G(u)$. Set $N_G[u] = N_G(u) \cup \{u\}$.
- (2) The set of vertices which are not in e and incident to an endpoint of e, the *neighborhood* of e, is denoted by $N_G(e)$. Set $N_G[e] = N_G(e) \cup e$.
- (3) The degree of u is $\deg_G(u) = |N_G(u)|$. An edge is called a *leaf* or a *whisker* if any of its vertices has degree exactly 1.

We can naturally extend these notions to get $N_G(W)$, $N_G[W]$, $N_G(\mathcal{E})$ and $N_G[\mathcal{E}]$ for a subset of the vertices $W \subseteq V(G)$ or a subset of the edges $\mathcal{E} \subseteq E(G)$. Particularly, $N_G(W)$ denotes the set of vertices which are not in W and incident to a vertex in W, $N_G[W] = N_G(W) \cup W$, and $N_G(\mathcal{E})$ is the set of vertices which are not in any of the edges in \mathcal{E} and incident to an endpoint of an edge in \mathcal{E} , $N_G[\mathcal{E}] = N_G(\mathcal{E}) \cup (\cup_{e \in \mathcal{E}} e)$.

2.2. COMMUTATIVE ALGEBRA. Let G be a graph over the vertices $V(G) = \{x_1, \ldots, x_n\}$. By abusing notation, we shall identify the vertices of G with the variables in a polynomial ring $S = k[x_1, \ldots, x_n]$, where k is field. Particularly, we shall use uv to denote both the edge uv in G and the monomial uv in S (the choice would be obvious from the context).

DEFINITION 2.7. Let G be a graph over the vertices $V(G) = \{x_1, \ldots, x_n\}$. The edge ideal of G is defined to be

$$I(G) = (xy \mid xy \in E(G)) \subseteq S.$$

Castelnuovo–Mumford regularity is *the* invariant being investigated in this paper. We shall give a definition most suitable for our context (see, for example, [7, p. 168]).

DEFINITION 2.8. Let S be a standard graded polynomial ring over a field k. The regularity of a finitely generated graded S-module M, written as reg M, is given by

$$\operatorname{reg} M := \max\{j - i | \operatorname{Tor}_i(M, k)_j \neq 0\}.$$

The following simple bound is often used without references; it follows immediately from Hochster's formula [22, Theorem 5.1].

LEMMA 2.9. Let G be a graph and let H be an induced subgraph of G. Then

 $\operatorname{reg} I(H) \leq \operatorname{reg} I(G).$

Particularly, for any vertex $v \in V(G)$, we have that reg $I(G - v) \leq \operatorname{reg} I(G)$.

A standard use of short exact sequences yields the following result, which we shall also often use (see [11, Lemma 2.10] and [4, Lemma 2.11]).

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LEMMA 2.10. Let $I \subseteq S$ be a monomial ideal, and let m be a monomial of degree d. Then

$$\operatorname{reg} I \leq \max\{\operatorname{reg}(I:m) + d, \operatorname{reg}(I,m)\}.$$

Moreover, if m is a variable appearing in I, then reg I is equal to one of the right-hand-side terms.

DEFINITION 2.11. Let $r \in \mathbb{N}$. A graph G is said to be locally of regularity $\leq r$ if for every vertex $x \in V(G)$, we have $\operatorname{reg}(I(G) : x) \leq r$. A graph which is locally of regularity ≤ 2 is called locally linear.

2.3. AUXILIARY RESULTS. We next recall a few results that are useful for our purpose.

We shall make use of the following characterization for edge ideals of graphs with linear resolutions. This characterization was first given in topological language by Wegner [37] and later, independently, by Lyubeznik [27] and Fröberg [15] in monomial ideals language.

THEOREM 2.12 (See [15, Theorem 1]). Let G be a graph. Then $\operatorname{reg} I(G) = 2$ if and only if G is a co-chordal graph.

In the study of powers of edge ideals, Banerjee developed the notion of evenconnection and gave an important inductive inequality in [4]. This inductive method has proved to be quite powerful, which we shall make use of often.

THEOREM 2.13. For any graph G and any $s \ge 1$, let the set of minimal monomial generators of $I(G)^s$ be $\{m_1, ..., m_k\}$, then

 $\operatorname{reg} I(G)^{s+1} \leq \max\{\operatorname{reg}(I(G)^{s+1}:m_l) + 2s, 1 \leq l \leq k, \operatorname{reg} I(G)^s\}.$

REMARK 2.14. Any minimal generator of $I(G)^s$ is the product of s edges in G and, vice-versa, for any s edges e_1, \ldots, e_s of G the s-fold product $e_1 \cdots e_s$ is a minimal generator of $I(G)^s$. Note that a minimal generator of $I(G)^s$ may come from different choices of s edges in G.

The ideal $(I(G)^{s+1}:m)$ in Theorem 2.13 and its generators are understood via the following notion of even-connection.

DEFINITION 2.15. Let G = (V, E) be a graph. Two vertices u and v (u may be the same as v) are said to be even-connected with respect to an s-fold product $e_1 \cdots e_s$ where e_i 's are edges of G, not necessarily distinct, if there is a path $p_0, p_1, \ldots, p_{2k+1}$, $k \ge 1$, in G such that:

- (1) $p_0 = u, p_{2k+1} = v.$
- (2) For all $0 \leq l \leq k 1$, $p_{2l+1}p_{2l+2} = e_i$ for some *i*.
- (3) For all i, $|\{l \ge 0 \mid p_{2l+1}p_{2l+2} = e_i\}| \le |\{j \mid e_j = e_i\}|.$

It turns out that $(I(G)^{s+1}:m)$ is generated by monomials in degree 2.

THEOREM 2.16 ([4, Theorem 6.1 and Theorem 6.7]). Let G be a graph with edge ideal I, and let $s \ge 1$ be an integer. Let m be a minimal generator of I^s . Then $(I^{s+1} : m)$ is minimally generated by monomials of degree 2, and uv (u and v may be the same) is a minimal generator of $(I^{s+1} : m)$ if and only if either $\{u, v\} \in E(G)$ or u and v are even-connected with respect to m.

Thanks to Theorems 2.13 and 2.16, much of our work is to understand colon ideals of the form $I(G)^{s+1}$: m, when m is a minimal generator of I^s . Our technique is to use *polarization* to bring such an ideal to a squarefree monomial ideal and to look at its corresponding graph. Note that the regularity does not change in passing to the polarization; see, for example, [19, Corollary 1.6.3].

DEFINITION 2.17. Let $I \subseteq S = k[x_1, \ldots, x_n]$ be a monomial ideal. For each $i = 1, \ldots, n$ let a_i be the maximum power of x_i appearing in the monomial generators of I. The polarization of I, denoted by I^{pol} , is constructed as follows.

- Let $S^{\text{pol}} = k[x_{11}, \dots, x_{1a_1}, \dots, x_{n1}, \dots, x_{na_n}].$
- The ideal I^{pol} is generated by monomials in S^{pol} that are obtained from generators of I under the following substitution, for each $(\gamma_1, \ldots, \gamma_n) \leq (a_1, \ldots, a_n)$,

$$x_1^{\gamma_1}\cdots x_n^{\gamma_n}\longrightarrow x_{11}\cdots x_{1\gamma_1}\cdots x_{n1}\cdots x_{n\gamma_n}.$$

REMARK 2.18. In the polarization process, we can identify x_{11}, \ldots, x_{n1} with x_1, \ldots, x_n . Let G' be the graph corresponding to the polarization of $I^{s+1} : m$, where m is a minimal generator of I^s . By Theorem 2.16, G' is obtained from G by adding edges uv, where $u, v \in V(G)$ are even-connected with respect to m in G, and whiskers uu', where $u \in V(G)$ is even-connected to itself with respect to m in G and u' is a new vertex.

3. General Upper Bounds for Regularity Function

The aim of this section is to give a weaker general upper bound for reg $I(G)^s$ than that of Conjecture A.

The heart of many studies on regularity of powers of edge ideals is to understand the colon ideal $J = I(G)^s : e_1 \cdots e_{s-1}$ in making use of Banerjee's inductive method, Theorem 2.13. We start by examining a local property for J.

LEMMA 3.1. Let G be a graph with edge ideal I and let $s \in \mathbb{N}$. Let $e_1, \ldots, e_{s-1} \in E(G)$, $J = I^s : e_1 \cdots e_{s-1}$, and let G' be the graph associated to the polarization of J. Let $w \in V(G)$.

- (1) If e_1 is a leaf of G then $J = I^{s-1} : e_2 \cdots e_{s-1}$.
- (2) Suppose that $w \notin N_G[\{e_1, \ldots, e_{s-1}\}]$. Then

$$J: w = I(G - N_G[w])^s : e_1 \cdots e_{s-1} + (u \mid u \in N_G[w]).$$

(3) Suppose that $w \in N_G[e_1]$. Then

$$J: w = (I(G - N_{G'}[w])^t : f_1 \cdots f_{t-1}) + (u \mid u \in N_{G'}(w))$$

for some $t \leq s$, and a subcollection $\{f_1, \ldots, f_{t-1}\}$ of $\{e_2, \ldots, e_{s-1}\}$. Moreover, in this case, the graph associated to the polarization of $I(G - N_{G'}[w])^t$: $f_1 \cdots f_{t-1}$ is an induced subgraph of that associated to the polarization of $I(G - N_G[w])^t : f_1 \cdots f_{t-1}$.

Proof. (1) It follows from Theorem 2.16 that J is obtained by adding to I quadratic generators uv, where u and v are even-connected in G with respect to $e_1 \cdots e_{s-1}$. If e_1 is an isolated edge then clearly, by definition, the even-connected path between u and v does not contain e_1 . Thus, $uv \in I^{s-1} : e_2 \cdots e_{s-1}$ and (1) is proved.

(2) It can be seen that if $w \notin N_G[\{e_1, \ldots, e_{s-1}\}]$ then w is not in any evenconnected path with respect to $e_1 \cdots e_{s-1}$. Thus, even-connected paths with respect to $e_1 \cdots e_{s-1}$ between two vertices that are not in $N_G[w]$ are even-connected path with respect to $e_1 \cdots e_{s-1}$ in $G - N_G[w]$. Furthermore, any edge $uv \in J$, for which $u \in N_G[w]$ (similarly if $v \in N_G[w]$), would be divisible by $u \in J : w$ and, thus, subsumed into the ideal $(u \mid u \in N_G[w])$. Therefore, (2) follows.

(3) By the definition of even-connection, we first observe that for any subcollection $\{f_1, \ldots, f_{t-1}\}$ of $\{e_1, \ldots, e_{s-1}\}$ (for some $t \leq s$), if x and y are even-connected with

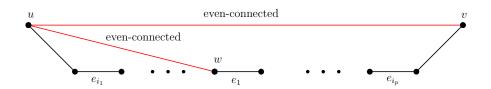


FIGURE 1. When $w \in e_1$

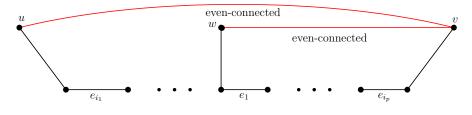


FIGURE 2. When $w \in N_G(e_1)$

respect to $f_1 \cdots f_{t-1}$ in an induced subgraph of G then x and y are also even-connected with respect to $e_1 \cdots e_{s-1}$ in G. Thus,

 $I(G - N_{G'}[w])^t : f_1 \cdots f_{t-1} \subseteq J \subseteq (J : w).$

Moreover, for any $u \in N_{G'}(w)$, u and w are even-connected with respect to $e_1 \cdots e_{s-1}$, and so $uw \in J$, i.e. $u \in (J : w)$. Thus, we have the inclusion

 $(I(G - N_{G'}[w])^t : f_1 \cdots f_{t-1}) + (u \mid u \in N_{G'}(w)) \subseteq (J : w).$

To prove the other inclusion, let us analyse the minimal generators of (J : w)more closely. Consider any $uv \in J$, where u and v are even-connected with respect to $e_1 \cdots e_{s-1}$. If $v \equiv w$ (similarly if $u \equiv w$) then $u \in N_{G'}(w)$. If $u, v \not\equiv w$, but $v \in N_{G'}(w)$ (similarly if $u \in N_{G'}(w)$), then uv is subsumed in the ideal $(u \mid u \in N_{G'}(w))$.

Suppose now that $u, v \notin N_{G'}[w]$. Then $u, v \in G - N_{G'}[w]$, which are even-connected with respect to $e_1 \cdots e_{s-1}$. Observe that if the even-connected path between u and vcontains e_1 then, by considering a subpath of this path, either u and w or v and w are even-connected with respect to $e_1 \cdots e_{s-1}$ (see Figures 1 and 2). That is, either u or v is in $N_{G'}(w)$, and so uv is again subsumed in the ideal $(u \mid u \in N_{G'}(w))$. Therefore, we may assume that u and v are even-connected with respect to a subcollection $\{f_1, \ldots, f_{t-1}\}$ of $\{e_2, \ldots, e_{s-1}\}$. That is, $uv \in I(G - N_{G'}[w])^t : f_1 \ldots f_{t-1}$.

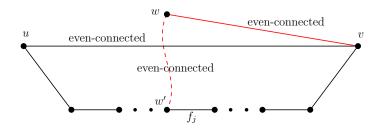


FIGURE 3. When an even-connected path u - v contains $w' \in N_{G'}[w]$

To establish the last statement, consider any two vertices u and v which are evenconnected in $G-N_G[w]$ with respect to $f_1 \cdots f_{t-1}$. If the even-connected path between u and v does not contain any vertex in $N_{G'}[w] \leq N_G[w]$ then u and v are evenconnected in $G-N_{G'}[w]$. If the even-connected path between u and v contain a vertex $w' \in N_{G'}[w] \setminus N_G[w]$ (see Figure 3) then, by combining with the even-connected path from w to w', either u and w or v and w are even-connected in G'. That is, either u or v is already in $N_{G'}[w]$ (or equivalently, not in $G - N_{G'}[w]$). Hence, the graph associated to the polarization of $I(G - N_{G'}[w])^t : f_1 \cdots f_{t-1}$ is an induced subgraph of that associated to the polarization of $I(G - N_G[w])^t : f_1 \cdots f_{t-1}$.

By understanding local properties of J in Lemma 3.1, we are able to give a general upper bound for the regularity function based on a well chosen numerical function on families of graphs. Specific interesting general bounds can be obtained by picking these numerical functions suitably.

DEFINITION 3.2. A collection \mathcal{F} of graphs is a hierarchy if for every nonempty graph $G \in \mathcal{F}$, both G - u and $G - N_G[u]$ are in \mathcal{F} for any vertex $u \in V(G)$. A nonempty graph is a graph with at least one edge.

THEOREM 3.3. Let \mathcal{F} be a hierarchy of graphs. Let $f : \mathcal{F} \longrightarrow \mathbb{N}$ be a function satisfying the following properties:

- (1) for any $G \in \mathcal{F}$, reg $I(G) \leq f(G)$; and
- (2) for any nonempty graph $G \in \mathcal{F}$ and each non-isolated vertex $w \in V(G)$,

$$f(G-w) \leq f(G)$$
 and $f(G-N_G[w]) \leq \max\{f(G)-1,2\}.$

Then, for any $G \in \mathcal{F}$ and any $s \ge 1$, we have

$$\operatorname{reg} I(G)^s \leq 2s + f(G) - 2.$$

Proof. Fix a graph $G \in \mathcal{F}$. If $f(G) \leq 2$ then I(G) has a linear resolution, and so the result is immediate from [20, Theorem 3.2]. Assume that $f(G) \geq 3$. Then the condition on $f(G - N_G[w])$ reads $f(G - N_G[w]) \leq f(G) - 1$.

By Theorem 2.13 and the hypothesis that reg $I(G) \leq f(G)$, it suffices to show that for any collection of edges e_1, \ldots, e_{s-1} in G (not necessarily distinct), we have

(2)
$$\operatorname{reg}(I^s: e_1 \cdots e_{s-1}) \leqslant f(G)$$

We shall prove (2) by induction on s and on the size of the graph G. Let $J = I^s$: $e_1 \cdots e_{s-1}$. The statement is trivial if s = 1 (whence, J = I) or if G is an empty graph (whence, J = (0)). Suppose that $s \ge 2$ and G is not an empty graph.

Let $w \in V(G)$ be any vertex in G. It follows from Lemma 3.1 that $\operatorname{reg}(J:w)$ is equal to either $\operatorname{reg}(I(G - N_G[w])^s : e_1 \cdots e_{s-1})$ or $\operatorname{reg}(I(G - N_{G'}[w])^t : f_1 \cdots f_{t-1})$ where the graph associated to the polarization of $I(G - N_{G'}[w])^t : f_1 \cdots f_{t-1}$ is an induced subgraph of that associated to the polarization of $I(G - N_G[w])^t : f_1 \cdots f_{t-1}$. If the latter is the case, then by Lemma 2.9 and the fact that polarization does not change the regularity [19, Corollary 1.6.3], we have

$$\operatorname{reg}(J:w) \leqslant \operatorname{reg}(I(G - N_G[w])^t : f_1 \cdots f_{t-1}).$$

Thus, since $G - N_G[w] \in \mathcal{F}$, by induction on the size of the graphs and our assumption, we have

(3)
$$\operatorname{reg}(J:w) \leq f(G - N_G[w]) \leq f(G) - 1 \text{ for any vertex } w \in V(G).$$

By taking, for example, a vertex cover of the graph associated to the polarization of J, we may assume that we have a collection of distinct vertices w_1, \ldots, w_l of G such that $(J, w_1, \ldots, w_l) = (w_1, \ldots, w_l)$.

Observe that for each $i = 1, \ldots, l - 1$, we have

$$(J, w_1, \dots, w_i) : w_{i+1} = (J : w_{i+1}) + (w_1, \dots, w_i).$$

Thus, by [18, Corollary 3.2] and (3), we get

$$\operatorname{reg}[(J, w_1, \dots, w_i) : w_{i+1}] \leqslant \operatorname{reg}(J : w_{i+1}) \leqslant f(G) - 1.$$

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Regularity of powers of edge ideals: from local properties to global bounds

This, by successively applying Lemma 2.10 with (J, w_1, \ldots, w_i) and w_{i+1} , implies that

$$\operatorname{reg}(J, w_1) \leq f(G).$$

The assertion now follows by utilizing Lemma 2.10 with J and w_1 .

Based on the known upper bound for reg I(G), given in [16], one can take f(G) in Theorem 3.3 to be the matching number of a graph and obtain the following interesting bound for the regularity function.

THEOREM 3.4. Let G be a graph with edge ideal I. Let $\beta(G)$ denote the matching number of G. Then, for all $s \ge 1$, we have

$$\operatorname{reg} I^s \leq 2s + \beta(G) - 1.$$

Proof. Let \mathcal{F} be the family of all graphs. Then \mathcal{F} clearly is a hierarchy. Let $f(G) = \beta(G) + 1$ for all $G \in \mathcal{F}$. It is easy to see that:

- (1) reg $I(G) \leq f(G)$ by [16, Theorem 6.7]; and
- (2) For any non-isolated vertex w in G, clearly $\beta(G w) \leq \beta(G)$, and we can always add an edge incident to w to any matching of $G N_G[w]$ to get a bigger matching, and so $f(G N_G[w]) \leq f(G) 1$.

Hence, the statement follows from Theorem 3.3.

A particular interesting application of Theorem 3.4 is for the class of Cameron–Walker graphs introduced in [8]. These are graphs for which $\nu(G) = \beta(G)$. See [21] for a further classification of Cameron–Walker graphs.

COROLLARY 3.5. Let G be a Cameron–Walker graph and let I be its edge ideal. Then, for all $s \ge 1$, we have

$$\operatorname{reg} I^s = 2s + \nu(G) - 1.$$

Proof. The conclusion is an immediate consequence of Theorem 3.4 and (1), noting that $\nu(G) = \beta(G)$ if G is a Cameron–Walker graph.

It is known, by the main theorem of [20], that if I(G) has a linear resolution then so does $I(G)^s$ for any $s \in \mathbb{N}$. Thus, the first nontrivial case of Conjecture A is for those graphs G such that G is locally linear and reg I(G) > 2. Recall that by [9, Proposition 4.9], in this case, we necessarily have reg I(G) = 3. Theorem 3.3 allows us to settle Conjecture A for this class of graphs.

THEOREM 3.6. Let G be a graph with edge ideal I. Suppose that G is locally linear. Then for all $s \ge 1$, we have

$$\operatorname{reg} I^s \leqslant 2s + \operatorname{reg} I - 2 \leqslant 2s + 1.$$

Proof. Let \mathcal{F} be the family of locally linear graphs (including those whose edge ideals have linear resolutions). Define $f : \mathcal{F} \longrightarrow \mathbb{N}$ by $f(G) = \operatorname{reg} I(G)$ for all $G \in \mathcal{F}$. By the definition and Lemma 2.9, the edge ideal of any proper induced subgraph of $G \in \mathcal{F}$ has a linear resolution. Thus, \mathcal{F} is a hierarchy and f satisfies conditions of Theorem 3.3. The conclusion now follows from that of Theorem 3.3.

EXAMPLE 3.7. Let G be a graph such that G^c is triangle-free (see, for example, Figure 4). It can be seen that for any $x \in V(G)$, $G - N_G[x]$ is a complete graph and, thus, is of regularity 2 except when $x = x_3$. In the latter case, $G - N_G[x_3]$ is a single vertex and its regularity is 1. Therefore, G is a locally linear graph.

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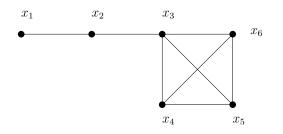


FIGURE 4. A graph whose complement is triangle-free

4. Regularity Function of Gap-free Graphs

In this section, we focus on gap-free graphs, investigating both Conjectures A' and B. We start with a stronger version of [4, Lemma 6.18]. The proof is almost the same as that given in [4, Lemma 6.18].

LEMMA 4.1. Let G be a gap-free graph with edge ideal I. Let e_1, \ldots, e_{s-1} be a collection of edges, let $J = I^s : e_1 \cdots e_{s-1}$, and let G' be the graph associated to the polarization of J. Let $W \subseteq V(G)$. Suppose that $u = p_0, \ldots, p_{2k+1} = v$ is an even-connected path in G with respect to $e_1 \cdots e_{s-1}$ satisfying:

(1) $u, v \notin W$; and

(2) this path is of the longest possible length with respect to condition (1).

Then $G' - W - N_{G'}[u]$ is obtained by adding isolated vertices to an induced subgraph of $G - N_G[u]$.

Proof. By Theorem 2.16, $uv \in G' - W$. Consider any other edge $u'v' \in G' \setminus G$ with $u', v' \notin W$. Then, there is an even-connected path $u' = q_0, \ldots, q_{2l+1} = v'$ in G with respect to $e_1 \cdots e_{s-1}$ for some $1 \leq l \leq k$.

If there exist *i* and *j* such that $p_{2i+1}p_{2i+2}$ and $q_{2j+1}q_{2j+2}$ are the same edge in *G* then by combining these two even-connected paths, either u' or v' will be evenconnected to *u*. That is, either u' or v' is in $N_{G'}[u]$. We now assume that the two even-connected paths between u, v and u', v' do not share any edge.

Consider p_1p_2 and q_1q_2 . Since these two edges do not form a gap in G, they must be connected. Let us explore different possibilities for this connection.

If $p_1 \equiv q_1$ then u and v' are even-connected with respect to $e_1 \cdots e_{s-1}$, and so $v' \in N_{G'}[u]$. If $p_1 \equiv q_2$ (similarly for the case that $p_2 \equiv q_1$) then u and u' are evenconnected with respect to $e_1 \cdots e_{s-1}$, and so $u' \in N_{G'}[u]$. If $p_2 \equiv q_2$ then u and v' are even-connected with respect to $e_1 \cdots e_{s-1}$, and so $v' \in N_{G'}[u]$.

If $p_1q_1 \in E(G)$ then combining the two even-connected paths between u, v and u', v'and the edge p_1q_1 , we get an even-connected path between v and v' that is of length > k, a contradiction. If $p_1q_2 \in E(G)$ then by combining the two even-connected paths between u, v and u', v' and the edge p_1q_2 , we have an even-connected path between u' and v that is of length > k, a contradiction.

If $p_2q_1 \in E(G)$ then combining the even-connected paths between u, v and u', v' and the edge p_2q_1 , we get an even-connected path between u and v', and so $v' \in N_{G'}[u]$. If $p_2q_2 \in E(G)$ then, similarly, we get an even-connected path between u and u', and so $u' \in N_{G'}[u]$.

Thus, in any case, either u' or v' is in $N_{G'}[u]$. That is, any edge in $G' \setminus G$ will reduce to an isolated vertex in $G' - W - N_{G'}[u]$. The statement is proved.

Our next main result establishes Conjecture A' for gap-free graphs.

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THEOREM 4.2. Let G be a graph with edge ideal I and let $r \ge 3$ be an integer. Assume that G is gap-free and locally of regularity $\le r - 1$. Then, for all $s \in \mathbb{N}$, we have

$$\operatorname{reg} I^s \leqslant 2s + r - 2$$

Proof. By Theorem 2.13, it suffices to show that for any collection of edges e_1, \ldots, e_{s-1} (not necessarily distinct) in G, we have

$$\operatorname{reg}(I^s:e_1\cdots e_{s-1})\leqslant r.$$

Let G' be the graph associated to the polarization of $J = I^s : e_1 \cdots e_{s-1}$. Observe that for any vertex $x \in G'$, $I(G') : x = I(G' - N_{G'}[x]) + (N_{G'}(x))$, and so $\operatorname{reg}(I(G') : x) = \operatorname{reg} I(G' - N_{G'}[x])$. Thus, it follows from Lemma 2.10 that

(4)
$$\operatorname{reg} I(G') \leq \max\{\operatorname{reg} I(G' - N_{G'}[x]) + 1, \operatorname{reg} I(G' - x)\}.$$

Thus, we shall show that there exists a vertex $x \in G'$ such that reg $I(G' - x) \leq r$ and reg $I(G' - N_{G'}[x]) \leq r - 1$.

Let u and v be even-connected in G with respect to $e_1 \cdots e_{s-1}$ such that the evenconnected path $u = p_0, \ldots, p_{2k_1+1} = v$ is of maximum possible length. By Lemma 4.1, $G' - N_{G'}[u]$ is obtained by adding isolated vertices to an induced subgraph of $G - N_G[u]$. Thus, by Lemma 2.9, we have reg $I(G' - N_{G'}[u]) \leq \operatorname{reg} I(G - N_G[u]) \leq r - 1$.

It remains to consider reg I(G'-u). Let u' and v' be even-connected in G with respect to $e_1 \cdots e_{s-1}$ such that $u', v' \in G'-u$ and there is an even-connected path $u' = q_0, \ldots, q_{2l+1} = v'$ in G with respect to $e_1 \cdots e_{s-1}$ such that l is the maximum possible length. By using Lemma 4.1 again, we can deduce that reg $I(G'-u-N_{G'}[u']) \leq$ reg $I(G-N_G[u']) \leq r-1$. Note that $I(G'-u) : u' = I(G'-u-N_{G'}[u']) + (N_{G'}(u'))$. Thus, by applying Lemma 2.10 to I(G'-u) and u', it suffices to show that reg $I(G'-\{u,u'\}) \leq r$.

We can continue in this fashion until all edges in $G' \setminus G$ are examined, i.e. we obtain a collection $W \subseteq V(G)$ such that I(G'-W) = I(G-W), and the problem is reduced to showing that reg $I(G'-W) = \operatorname{reg} I(G-W) \leq r$. This is obviously true by Lemma 2.9 and the fact that reg $I(G) \leq r$, by [9, Proposition 4.9]. The theorem is proved. \Box

We shall now shift our attention to Conjecture B. We begin by an improved statement of [9, Corollary 6.5].

LEMMA 4.3. Let G be a gap-free and cricket-free graph. Then G is locally linear.

Proof. We may assume that G contains no isolated vertices. Note that for any vertex x in G, $I(G) : x = I(G - N_G[x]) + (N_G(x))$. This implies that $\operatorname{reg}(I(G) : x) = \operatorname{reg} I(G - N_G[x])$. Thus, by Theorem 2.12, it suffices to show that $(G - N_G[x])^c$ is chordal for any vertex x in G. Note that since $G - N_G[x]$ is an induced subgraph of G, it is gap-free and cannot have any induced anticycle of length 4.

Suppose that $W = \{w_1, w_2, \ldots, w_n\}$ is such that G[W] is an anticycle of length $n \ge 5$ in $G - N_G[x]$. Clearly, $W \cap N_G[x] = \emptyset$. Let y be a neighbor of x. Since G is gap-free, $\{x, y\}$ and $\{w_1, w_3\}$ cannot form a gap. Thus, these edges must be connected in G. That is, either $\{y, w_1\}$ or $\{y, w_3\}$ (or both) must be an edge in G.

Suppose that $\{y, w_1\}$ and $\{y, w_3\}$ are both edges in G. Then, by considering edges $\{x, y\}$ and $\{w_2, w_n\}$ in G, either $\{y, w_2\}$ or $\{y, w_n\}$ must be an edge in G. If $\{y, w_2\}$ is an edge, then the induced subgraph on $\{x, y, w_1, w_2, w_3\}$ is a cricket in G, a contradiction. Otherwise, $\{y, w_n\} \in E(G)$. Since $\{x, y\}$ and $\{w_2, w_{n-1}\}$ cannot form a gap in G, we must have $\{y, w_{n-1}\} \in E(G)$. Thus, the induced subgraph on $\{x, y, w_1, w_{n-1}, w_n\}$ is a cricket in G, a contradiction.

If $\{y, w_1\} \in E(G)$ and $\{y, w_3\} \notin E(G)$ (similarly for the case $\{y, w_1\} \notin E(G)$ and $\{y, w_3\} \in E(G)$), then $\{y, w_n\}$ must be an edge in G; otherwise, $\{x, y\}$ and $\{w_3, w_n\}$ form a gap in G. By considering $\{x, y\}$ and $\{w_2, w_{n-1}\}$, either $\{y, w_2\}$ or $\{y, w_{n-1}\}$ must be an edge in G. If $\{y, w_2\} \in E(G)$, then the induced subgraph on $\{x, y, w_1, w_2, w_n\}$ is a cricket in G, a contradiction. Otherwise, $\{y, w_{n-1}\} \in E(G)$, and the induced subgraph on $\{x, y, w_1, w_{n-1}, w_n\}$ is a cricket in G, a contradiction. \Box

EXAMPLE 4.4. There are examples for locally linear gap-free graphs for which the regularity could be either 2 or 3 (see Figure 5).

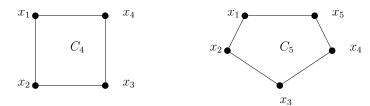


FIGURE 5. Locally linear gap-free graphs with regularity 2 and 3 (respectively)

On the other hand, note that if G is not gap-free, then $\nu(G) \ge 2 \implies \operatorname{reg} I(G) \ge 3$. 3. Thus, if, in addition, I(G) is locally linear, then we have $\operatorname{reg} I(G) = 3$ by [9, Proposition 4.9]. Figure 6 depicts such a graph.

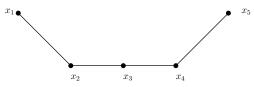


FIGURE 6. A graph that is not gap-free but locally linear with regularity 3

We are now ready to state our main result toward Conjecture B. In this result, we establish the conclusion of Conjecture B replacing the condition that reg I(G) = 3 by the condition that G is locally linear.

THEOREM 4.5. If G is a graph with edge ideal I. Suppose that G is gap-free and locally linear. Then, for all $s \ge 2$, we have

$$\operatorname{reg} I^s = 2s.$$

Proof. Again, by Theorem 2.13, it suffices to show that for any collection of edges e_1, \ldots, e_{s-1} (not necessarily distinct), we have

$$\operatorname{reg}(I^s:e_1\cdots e_{s-1}) \leqslant 2.$$

That is, the graph G' associated to the polarization of the ideal $J = I^s : e_1 \cdots e_{s-1}$ is a co-chordal graph.

By [4, Lemma 6.14], G' is also gap-free, and so G' does not contain an anticycle of length 4. Suppose that $W = \{w_1, \ldots, w_n\}$, for $n \ge 5$, is such that G'[W] is an induced anticycle of G'. It follows from [4, Lemma 6.15] that G[W] is an induced anticycle of G.

Let $e_1 = ab$. We shall consider different possibilities for the relative position of a and b with respect W.

If $a, b \in W$, say $a \equiv w_1$ and $b \equiv w_i$ (for $i \neq 1$), then since $\{w_1, w_2\}, \{w_1, w_n\} \notin E(G'), b \neq w_2, w_n$. Consider the edges $\{a, b\}$ and $\{w_2, w_n\}$. These do not form a gap (and a is not connected to neither w_2 nor w_n), and so either $\{b, w_2\} \in E(G)$ or $\{b, w_n\} \in E(G)$. If $\{b, w_2\} \in E(G)$ then w_2 and w_3 are even-connected with respect

to $e_1 = ab$, which implies that $\{w_2, w_3\} \in E(G')$, a contradiction. If $\{b, w_n\} \in E(G)$ then w_{n-1} and w_n are even-connected with respect to $e_1 = ab$, which implies that $w_{n-1}w_n \in E(G')$, also a contradiction.

If $a \in W$, say $a = w_1$, and $b \notin W$ (similar to the case where $a \notin W$ and $b \in W$) then by considering the edges $\{a, b\}$ and $\{w_2, w_n\}$ again, the same arguments as above would lead to a contradiction.

If $a, b \notin W$ and either a or b is not connected to any vertices in W, then G'[W](being also an anticycle in G) is an anticycle in either $G - N_G[a]$ or $G - N_G[b]$, which is a contradiction to the local linearity of G.

It remains to consider the case that $a, b \notin W$, and both a and b are connected to W. Assume that $aw_1 \in E(G)$. Consider the pair of edges $\{a, b\}$ and $\{w_2, w_n\}$. If either $\{b, w_2\} \in E(G)$ or $\{b, w_n\} \in E(G)$ then, as before, we would have either $\{w_2, w_3\} \in E(G)$ or $\{w_{n-1}, w_n\} \in E(G)$, which is a contradiction. Thus, we must have either $\{a, w_2\} \in E(G)$ or $\{a, w_n\} \in E(G)$. Without loss of generality, we may assume that $\{a, w_2\} \in E(G)$. We continue by considering the pair of edges $\{a, b\}$ and $\{w_3, w_n\}$. A similar argument shows that $\{a, w_3\} \in E(G)$. We can keep going in this fashion to get $\{a, w_i\} \in E(G)$ for all $i = 1, \ldots, n-2$. Now, it can be seen that bcannot be connected to any of the w_i without creating an even-connection that gives $\{w_i, w_{i+1}\} \in E(G)$, for some i, which is a contradiction.

We have shown that such a collection of the vertices W cannot exists. That is, G' is a co-chordal graph. The theorem is proved.

Theorem 4.5 immediately recovers the following result of Banerjee [4].

COROLLARY 4.6 ([4, Theorem 6.7]). Let G be a gap-free and cricket-free graph. Then, for any $s \ge 2$, we have

$$\operatorname{reg} I(G)^s = 2s.$$

Proof. The conclusion follows from Lemma 4.3 and Theorem 4.5.

EXAMPLE 4.7. Let $2K_2$ denote a gap and let K_6 denote the complete graph on 6 vertices. Let $G = 2K_2 + K_6$ be the *join* of these two graphs (the join of two graphs H and K is obtained by taking the disjoint union of H and K and connecting each vertex in H with every vertex in K). Then, it can be seen G is locally linear but not gap-free. Particularly, it follows that reg $I(G)^s \neq 2s$ for all $s \in \mathbb{N}$. This gives an example of a locally linear graph G for which reg $I(G)^s \neq 2s$ for all $s \in \mathbb{N}$.

5. Regularity of Second Powers of Edge Ideals

We end the paper with a flavor of Conjecture A' when s = 2. We also take a look at the symbolic square of edge ideals.

THEOREM 5.1. Let G be a graph with edge ideal I. Suppose that G is locally of regularity at most r - 1. Then, for any edge $e \in E(G)$, $\operatorname{reg}(I^2 : e) \leq r$. Particularly, this implies that $\operatorname{reg}(I^2) \leq r + 2$.

Proof. The second statement follows from the first statement and Theorem 2.13. To prove the first statement, we shall use induction on |V(G)|. Let e = ab, $J = I^2 : e$, and let G' be the graph associated to the polarization of J.

If there are no even-connected vertices in G with respect to e, then $I^2 : e = I$, and the conclusion follows from [9, Proposition 4.9].

If there are edges in G' which are not initially in G, then these edges are of the form xy where $x \in N(a), y \in N(b)$ or xx' where $x \in N(a) \cap N(b)$ and x' is a new whisker vertex.

Suppose that there exists at least one new edge of the form xy for $x \neq y$. Observe that $J: x = I: x + (u \mid u \in N(b))$. Thus $\operatorname{reg}(J:x) \leq \operatorname{reg}(I:x) \leq r - 1$. Furthermore, $(J,x) = I(G-x)^2: e + (x)$. Therefore, by induction on |V(G)|, we have $\operatorname{reg}(J,x) \leq r$. Hence, by Lemma 2.10, we have $\operatorname{reg} J \leq r$.

Suppose that the only new edges are of the form xx', where x' is a new whisker vertex. Observe that, in this case,

$$J: x = I: x + (u \mid u \in N(a) \cup N(b)) + (u' \mid u' \text{ is a whisker in the new edges })$$
$$(J, x) = I(G - x)^2: e + (x).$$

Thus, we also have $\operatorname{reg}(J:x) \leq \operatorname{reg}(I:x) \leq r-1$ and $\operatorname{reg}(J,x) \leq r$ by induction. Hence, by Lemma 2.10 again, we have $\operatorname{reg} J \leq r$. This completes the proof.

Symbolic powers in general are much harder to handle than ordinary powers (see [36, Definition 4.3.22] for a definition of symbolic power of an ideal). The symbolic square of an edge ideal appears to be more tractable. We recall and rephrase a result from [34].

THEOREM 5.2 ([34, Corollary 3.12]). For any graph G,

$$I(G)^{(2)} = I(G)^2 + (x_i x_j x_k \mid \{x_i, x_j, x_k\} \text{ forms a triangle in } G).$$

The last result of our paper is stated as follows.

THEOREM 5.3. Let G be a graph with edge ideal I. Suppose that G is locally of regularity at most r-1. Then $\operatorname{reg}(I^{(2)}) \leq r+2$.

Proof. We first note that, by Theorem 5.2, $I^{(2)} \subseteq I$. Let $E(G) = \{e_1, \ldots, e_l\}$ and, for $0 \leq i \leq l$, define

$$J_i = (I^{(2)}, e_1, \dots, e_i) : (e_{i+1}) \text{ and } K_i = (I^{(2)}, e_1, \dots, e_i).$$

Observe that $K_l = I$, and for all *i* we have the following short exact sequence.

(5)
$$0 \longrightarrow \frac{R}{J_i}(-2) \longrightarrow \frac{R}{K_i} \longrightarrow \frac{R}{K_{i+1}} \longrightarrow 0$$

This, particularly, implies that $\operatorname{reg}(I^{(2)}) \leq \max_{1 \leq i \leq l-1} \{\operatorname{reg}(J_i) + 2, \operatorname{reg} I\}$. It follows from Theorem 5.2 that

 $J_i = I^2 : e_{i+1} + (x_i x_j x_k : e_{i+1} | \{x_i, x_j, x_k\} \text{ forms a triangle in } G).$

Note that if e is an edge in the triangle $\{x_i, x_j, x_k\}$, then $(x_i x_j x_k : e)$ is a variable. If e shares just one vertex with the triangle, then the colon ideal is generated by an edge and $(x_i x_j x_k : e) \in I$. If e and $\{x_i, x_j, x_k\}$ have no common vertices, then $(x_i x_j x_k : e) = x_i x_j x_k \in I$. Then, by Theorem 2.16 we have $J_i = I^2 : e_{i+1} + (\text{variables})$ and hence, reg $J_i \leq \text{reg}(I^2 : e_{i+1})$. The conclusion now follows from Theorem 5.1 and the use of [9, Proposition 4.9].

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