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Linus Setiabrata & Avery St. Dizier

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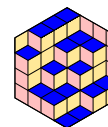


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Double orthodontia formulas and Lascoux positivity

Linus Setiabrata & Avery St. Dizier

ABSTRACT We give a new formula for double Grothendieck polynomials based on Magyar’s orthodontia algorithm for diagrams. Our formula implies a similar formula for double Schubert polynomials $\mathfrak{S}_w(\mathbf{x}; \mathbf{y})$. We also prove a curious positivity result: for vexillary permutations $w \in S_n$, the polynomial $x_1^n \dots x_n^n \mathfrak{S}_w(x_n^{-1}, \dots, x_1^{-1}; 1, \dots, 1)$ is a graded nonnegative sum of Lascoux polynomials. We conjecture that this positivity result holds for all $w \in S_n$. This conjecture would follow from a problem of independent interest regarding Lascoux positivity of certain products of Lascoux polynomials.

1. INTRODUCTION

1.1. ORTHODONTIA AND FLAGGED WEYL MODULES. The Schubert polynomials, denoted $\mathfrak{S}_w(x_1, \dots, x_n)$ and introduced by Lascoux and Schützenberger [17], are distinguished representatives of Schubert varieties in the cohomology of the flag variety of $\mathbb{C}^n$. These polynomials have very rich combinatorial structure [1, 2, 6, 11, 14, 9] and play a central role in algebraic combinatorics.

Schubert polynomials $\mathfrak{S}_w(\mathbf{x})$ are indexed by permutations $w \in S_n$. The Rothe diagram $D(w)$ of $w \in S_n$ encodes a plethora of information about $\mathfrak{S}_w(\mathbf{x})$. More generally, for any $\%$ -avoiding diagram D , there is a representation of the group B of invertible upper triangular matrices called the *flagged Weyl module* $\mathcal{M}_D$. When $D = D(w)$ is a Rothe diagram, the dual character χ_D of the representation $\mathcal{M}_D$ is equal to the Schubert polynomial $\mathfrak{S}_w(\mathbf{x})$ [12, 13]. The study of dual characters χ_D as a whole has shed light on Schubert and related polynomials [5, 8].

Using a geometric interpretation of $\mathcal{M}_D$ as the space of sections of a certain line bundle on a variety, Magyar [19] showed that χ_D is given by the formula

$$\chi_D = \omega_1^{k_1} \dots \omega_n^{k_n} \pi_{i_1}(\omega_{i_1}^{m_1} \pi_{i_2}(\omega_{i_2}^{m_2} (\dots \pi_{i_\ell}(\omega_{i_\ell}^{m_\ell}) \dots))).$$

Here, $\omega_i = x_1 \dots x_i$ is a fundamental weight, $\pi_i = \partial_i x_i$ is a Demazure operator, and

$$\mathbf{k}(D) = (k_1, \dots, k_n), \quad \mathbf{i}(D) = (i_1, \dots, i_\ell), \quad \mathbf{m}(D) = (m_1, \dots, m_\ell)$$

is combinatorial data associated to the *orthodontic sequence* of D , which builds a $\%$ -avoiding diagram from “smaller” $\%$ -avoiding diagrams.

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KEYWORDS. Grothendieck polynomials, Lascoux polynomials.

1.2. DOUBLED ORTHODONTIA. The double Grothendieck polynomials, denoted $\mathfrak{G}_w(x_1, \dots, x_n; y_1, \dots, y_n)$ and introduced by Lascoux [16], are distinguished representatives of Schubert varieties in the equivariant K -theory of the flag variety. Schubert polynomials can be obtained from double Grothendieck polynomials by setting $y_i \mapsto 0$ (corresponding to forgetting equivariance) and taking the lowest degree part (corresponding to taking the associated graded in K -theory). There is considerable interest in extending our understanding of Schubert polynomials to double Grothendieck polynomials and their various specializations [7, 10, 26, 28, 15, 4, 3, 22, 8].

There is no known K -theoretic analogue of $\mathcal{M}_D$. Despite this, we can combinatorially extend Magyar's formula to double Grothendieck polynomials:

THEOREM 1.1. *Let D be a $\%$ -avoiding diagram with double orthodontic sequence $\mathbf{K}, \mathbf{i}, \mathbf{j}, \mathbf{M}$. Define*

$$(1) \quad \mathcal{G}_D(\mathbf{x}; \mathbf{y}) := \bar{\omega}_1^{K_1} \bar{\omega}_2^{K_2} \dots \bar{\omega}_n^{K_n} \bar{\pi}_{i_1, j_1} (\bar{\omega}_{i_1}^{M_1} \bar{\pi}_{i_2, j_2} (\bar{\omega}_{i_2}^{M_2} \dots \bar{\pi}_{i_\ell, j_\ell} (\bar{\omega}_{i_\ell}^{M_\ell}) \dots)).$$

When $D = D(w)$ is the Rothe diagram of a permutation, then $\mathcal{G}_D(\mathbf{x}; \mathbf{y}) = \mathfrak{G}_w(\mathbf{x}; \mathbf{y})$.

The operators $\bar{\omega}_i^M$ and $\bar{\pi}_{i,j}$ are defined in Equations (2) and (3).

Double Schubert polynomials $\mathfrak{S}_w(\mathbf{x}; \mathbf{y})$ are equal to the lowest degree part of $\mathfrak{G}_w(\mathbf{x}; -\mathbf{y})$, and Theorem 1.1 implies a similar formula for double Schubert polynomials (Corollary 3.12). Theorem 1.1 also extends our previous joint work with Mészáros [20, Thm 1.1], which gave a similar formula for ordinary Grothendieck polynomials.

As is the case with Magyar's formula for χ_D , and in contrast to the usual degree-decreasing recurrence defining Grothendieck polynomials via divided difference operators, the formula (1) is degree-increasing. This makes the formula (1) well suited for some combinatorial applications.

1.3. LASCoux POSITIVITY. When $D = D(\alpha)$ is the skyline diagram of a composition $\alpha \in \mathbb{N}^n$, the dual character χ_D is the *key polynomial* $\kappa_\alpha(x_1, \dots, x_n)$ [23]. Key polynomials are minimal among the dual characters χ_D of $\%$ -avoiding diagrams: every dual character, and in particular every Schubert polynomial, is a nonnegative sum of key polynomials [24].

The Lascoux polynomials $\mathfrak{L}_\alpha(x_1, \dots, x_n)$, indexed by compositions $\alpha \in \mathbb{N}^n$, are inhomogeneous polynomials which often play the same role to the key polynomials as Grothendieck polynomials do to Schubert polynomials. One inhomogeneous extension of the key positivity [24] of Schubert polynomials is the Grothendieck-to-Lascoux expansion [25, 27]. One application of our formula (1) is another inhomogeneous extension of this key positivity:

THEOREM 1.2. *Let $D \subseteq [n] \times [m]$ be a diagram whose columns are ordered by inclusion. Let $\mathcal{S}_D(\mathbf{x}; \mathbf{y})$ be the lowest degree part of $\mathcal{G}_D(\mathbf{x}; \mathbf{y})$. Then, the polynomial*

$$x_1^m \dots x_n^m \mathcal{S}_D(x_n^{-1}, \dots, x_1^{-1}; -1, \dots, -1)$$

is a graded nonnegative sum of Lascoux polynomials $\mathfrak{L}_\alpha(x_1, \dots, x_n)$.

Theorem 1.2 can be modified to incorporate β -Lascoux polynomials: the β -Lascoux expansion of $x_1^m \dots x_n^m \mathcal{S}_D(x_n^{-1}, \dots, x_1^{-1}; \beta, \dots, \beta)$ is $\mathbb{Z}_{\geq 0}[\beta]$ -nonnegative.

Theorem 1.2 and Corollary 3.12 together imply the following corollary.

COROLLARY 1.3. *Let $w \in S_n$ be a vexillary permutation and denote the corresponding double Schubert polynomial by $\mathfrak{S}_w(x_1, \dots, x_n; y_1, \dots, y_n)$. Then the polynomial*

$$x_1^n \dots x_n^n \mathfrak{S}_w(x_n^{-1}, \dots, x_1^{-1}; 1, \dots, 1)$$

is a graded nonnegative sum of Lascoux polynomials $\mathfrak{L}_\alpha(x_1, \dots, x_n)$.

We conjecture that the extra assumption on the diagram D in Theorem 1.2 is unnecessary.

CONJECTURE 1.4. *For any %-avoiding diagram $D \subseteq [n] \times [m]$, the polynomial*

$$x_1^m \dots x_n^m \mathcal{S}_D(x_n^{-1}, \dots, x_1^{-1}; -1, \dots, -1)$$

is a graded nonnegative sum of Lascoux polynomials $\mathfrak{L}_\alpha(x_1, \dots, x_n)$. In particular, the same holds for

$$x_1^n \dots x_n^n \mathfrak{S}_w(x_n^{-1}, \dots, x_1^{-1}; 1, \dots, 1),$$

for any $w \in S_n$.

In Proposition 4.4, we show that Conjecture 1.4 would follow from the following conjecture of independent interest:

CONJECTURE 1.5. *The product $x_1 \dots x_i(1 - x_{i+1}) \dots (1 - x_n) \mathfrak{L}_\alpha$, for any $\alpha \in \mathbb{N}^n$ and $i \in [n]$, is a graded nonnegative linear combination of Lascoux polynomials.*

1.4. OUTLINE OF THE PAPER. Our proof of Theorem 1.1 is based on an improvement on the ideas in [20]: we induct on a partial order on S_n called the *orthodontic sort order* (Definition 2.16), and a key step is to give a precise description of the first few terms of the orthodontic sequences of *sorted permutations* (Theorem 3.9). Although the argument is narratively similar to that in [20], we need different methods.

Our proof of Theorem 1.2 examines the orthodontic sequence of diagrams D whose columns are totally ordered by inclusion (Corollary 4.6) and studying the behavior of the operators ω_i^M and $\pi_{i,j}$ when specializing $y_j \mapsto -1$ and intertwining with the operator $r_{m,n}$ of [29, Defn 1.1] defined on polynomials by

$$f(x_1, \dots, x_n) \mapsto x_1^m \dots x_n^m f(x_n^{-1}, \dots, x_1^{-1}).$$

2. BACKGROUND

2.1. CONVENTIONS. We write permutations $w \in S_n$ in one-line notation. For $j \in [n-1]$, the notation s_j denotes the adjacent transposition in S_n which swaps j and $j+1$. For $w \in S_n$, let $\ell(w)$ denote the length of w . Permutations act on the right: ws_j is equal to w with $w(j)$ and $w(j+1)$ swapped.

2.2. DIFFERENCE OPERATORS AND FAMILIES OF POLYNOMIALS. For $i \in [n-1]$, define the *divided difference operator* $\partial_i: \mathbb{R}[x_1, \dots, x_n] \rightarrow \mathbb{R}[x_1, \dots, x_n]$ by the formula

$$\partial_i(f) := \frac{f - s_i f}{x_i - x_{i+1}}.$$

The *isobaric divided difference operators* $\bar{\partial}_i$, *Demazure operators* π_i , and *Demazure–Lascoux operators* $\bar{\pi}_i$ are defined on $\mathbb{R}[x_1, \dots, x_n]$ by the formulas

$$\begin{aligned} \bar{\partial}_i(f) &:= \partial_i((1 - x_{i+1})f), \\ \pi_i(f) &:= \partial_i(x_i f), \\ \bar{\pi}_i(f) &:= \bar{\partial}_i(x_i f). \end{aligned}$$

LEMMA 2.1. *Let φ_i denote any of ∂_i , $\bar{\partial}_i$, π_i , or $\bar{\pi}_i$. Then*

$$\varphi_i \varphi_{i+1} \varphi_i = \varphi_{i+1} \varphi_i \varphi_{i+1} \quad \text{and} \quad \varphi_i \varphi_j = \varphi_j \varphi_i \text{ if } |i - j| \geq 2.$$

The *double Grothendieck polynomial* $\mathfrak{G}_w(\mathbf{x}; \mathbf{y})$ of $w \in S_n$ is defined recursively on the weak Bruhat order, starting from the longest permutation $w_0 \in S_n$. The polynomial $\mathfrak{G}_w(\mathbf{x}; \mathbf{y})$ is defined by

$$\mathfrak{G}_w(\mathbf{x}; \mathbf{y}) = \begin{cases} \prod_{i+j \leq n} (x_i + y_j - x_i y_j) & \text{if } w = w_0, \\ \bar{\partial}_i \mathfrak{G}_{ws_i}(\mathbf{x}; \mathbf{y}) & \text{if } \ell(w) < \ell(ws_i). \end{cases}$$

Lemma 2.1 guarantees that double Grothendieck polynomials are well-defined. The *double Schubert polynomial* $\mathfrak{S}_w(\mathbf{x}; \mathbf{y})$ is defined to be the lowest degree part of $\mathfrak{S}_w(\mathbf{x}; -\mathbf{y})$. In particular,

$$\mathfrak{S}_w(\mathbf{x}; \mathbf{y}) = \begin{cases} \prod_{i+j \leq n} (x_i - y_j) & \text{if } w = w_0, \\ \partial_i \mathfrak{S}_{ws_i}(\mathbf{x}; \mathbf{y}) & \text{if } \ell(w) < \ell(ws_i). \end{cases}$$

The ordinary *Grothendieck polynomials* $\mathfrak{S}_w(\mathbf{x})$ and ordinary *Schubert polynomials* $\mathfrak{S}_w(\mathbf{x})$ are defined to be the specializations $\mathfrak{S}_w(\mathbf{x}; \mathbf{0})$ and $\mathfrak{S}_w(\mathbf{x}; \mathbf{0})$ of their doubled counterparts. In particular,

$$\begin{aligned} \mathfrak{S}_w(\mathbf{x}) &= \begin{cases} x_1^n x_2^{n-1} \dots x_n & \text{if } w = w_0, \\ \partial_i \mathfrak{S}_{ws_i}(\mathbf{x}) & \text{if } \ell(w) < \ell(ws_i), \end{cases} \quad \text{and} \\ \mathfrak{S}_w(\mathbf{x}) &= \begin{cases} x_1^n x_2^{n-1} \dots x_n & \text{if } w = w_0, \\ \partial_i \mathfrak{S}_{ws_i}(\mathbf{x}) & \text{if } \ell(w) < \ell(ws_i). \end{cases} \end{aligned}$$

The symmetric group S_n acts on compositions via permuting coordinates. The *Lascoux polynomial* $\mathfrak{L}_\alpha$ of a composition $\alpha \in \mathbb{N}^n$ is defined recursively by

$$\mathfrak{L}_\alpha(\mathbf{x}) = \begin{cases} x_1^{\alpha_1} \dots x_n^{\alpha_n} & \text{if } \alpha_1 \geq \dots \geq \alpha_n \\ \pi_i \mathfrak{L}_{\alpha \cdot s_i}(\mathbf{x}) & \text{if } \alpha_i < \alpha_{i+1}. \end{cases}$$

Lemma 2.1 guarantees that Lascoux polynomials are well-defined. The *key polynomial* $\kappa_\alpha(\mathbf{x})$ is defined to be the lowest degree part of $\mathfrak{L}_\alpha(\mathbf{x})$. In particular,

$$\kappa_\alpha(\mathbf{x}) = \begin{cases} x_1^{\alpha_1} \dots x_n^{\alpha_n} & \text{if } \alpha_1 \geq \dots \geq \alpha_n \\ \pi_i \kappa_{\alpha \cdot s_i}(\mathbf{x}) & \text{if } \alpha_i < \alpha_{i+1}. \end{cases}$$

2.3. PIPE DREAMS. A *pipe dream* is a filling of a triangular grid $\{(i, j) \in [n] \times [n] : i + j \leq n\}$ with crossing tiles $\begin{smallmatrix} \blacksquare \\ \blacksquare \end{smallmatrix}$ and bumping tiles $\begin{smallmatrix} \blacksquare & \blacksquare \\ \blacksquare & \blacksquare \end{smallmatrix}$. By placing half-bumping tiles $\begin{smallmatrix} \blacksquare \\ \blacksquare \end{smallmatrix}$ at $\{(i, j) \in [n] \times [n] : i + j = n + 1\}$, a pipe dream forms a network of n pipes running from the top edge of the grid to the left edge (see Figure 1).

For any pipe dream P , there is an associated permutation $\partial(P) \in S_n$ given by labeling the pipes 1 through n along the top edge, tracing the pipes and ignoring any crossings between any pair of pipes which have already crossed, i.e. replacing redundant crossing tiles with bump tiles; reading the labels of the pipes along the left edge from top to bottom gives a string of numbers $(\partial(P))(1), (\partial(P))(2), \dots, (\partial(P))(n)$ that defines $\partial(P) \in S_n$. (The permutation $\partial(P)$ is the *Demazure product* of the transpositions $s_i \in S_n$ corresponding to antidiagonals on which the crosses sit, reading right to left, starting from the top row; cf. [10, Ex 5.1], [28, §6.1]. Our convention agrees with the original definition [1]: the pipe at the i^{th} row is connected to the $(\partial(P))(i)^{\text{th}}$ column.)

Identify P with the set $\{(i, j) : P \text{ has } \begin{smallmatrix} \blacksquare \\ \blacksquare \end{smallmatrix} \text{ at } (i, j)\}$ of crosses of P , and denote by $P^{(i)}$ the pipe of P entering in the i^{th} column.

EXAMPLE 2.2. All five pipe dreams P such that $\partial(P) = 1423$ are displayed in Figure 1. Redundant crossing tiles $\begin{smallmatrix} \blacksquare \\ \blacksquare \end{smallmatrix}$ are highlighted in red.

THEOREM 2.3 ([28, Thm 6.1]; see also [10, Cor 5.4], [7, Thm 2.3]). *The double Grothendieck polynomial of $w \in S_n$ is given by the formula*

$$\mathfrak{S}_w(\mathbf{x}; \mathbf{y}) = \sum_{P \in \text{PD}(w)} \prod_{(i, j) \in P} (-1)^{|P| - \ell(w)} (x_i + y_j - x_i y_j).$$

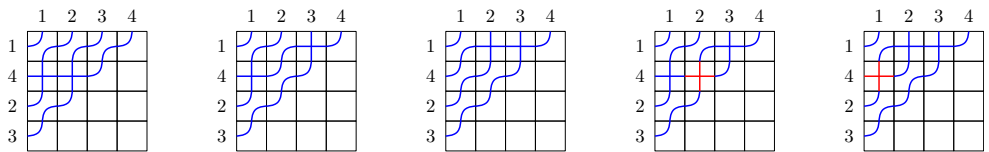


FIGURE 1. The five pipe dreams in $\text{PD}(1423)$.

2.4. ORTHODONTIC SEQUENCE. A *diagram* is defined to be a subset $D \subseteq [n] \times [m]$. View $D = (D_1, \dots, D_m)$ as a subset of an $n \times m$ grid, where $D_j := \{i \in [n] : (i, j) \in D\}$ encodes the j^{th} column: an element $i \in D_j$ corresponds to a box in row i and column j .

DEFINITION 2.4. Let $w \in S_n$. The Rothe diagram $D(w)$ is defined to be

$$D(w) = \{(i, j) \in [n] \times [n] : i < w^{-1}(j) \text{ and } j < w(i)\}.$$

EXAMPLE 2.5. The Rothe diagram $D(31542)$ consists of the purple squares in Figure 2.

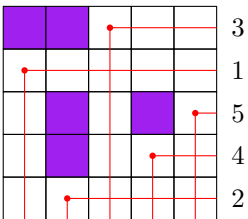


FIGURE 2. The Rothe diagram for $w = 31542$.

DEFINITION 2.6 ([24]). A diagram D is called $\%_0$ -avoiding when $i_2 \in D_{j_1}$ and $i_1 \in D_{j_2}$ for $i_1 < i_2$ and $j_1 < j_2$ implies $i_1 \in D_{j_1}$ or $i_2 \in D_{j_2}$.

Equivalently, a diagram is $\%$ -avoiding if it does not have a pair of rows and a pair of columns to which its restriction looks like the configuration in Figure 3.

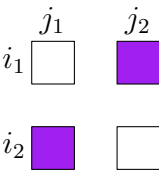


FIGURE 3. A forbidden configuration in a $\%$ -avoiding diagram.

Given a $\%$ -avoiding diagram D , we describe an algorithm to compute its *double orthodontic sequence*

$$\mathbf{K}(D) = (K_1, \dots, K_n), \quad \mathbf{i}(D) = (i_1, \dots, i_\ell), \quad \mathbf{j}(D) = (j_1, \dots, j_\ell), \quad \mathbf{M}(D) = (M_1, \dots, M_\ell).$$

Begin by setting $K_i := \{j : D_j = [i]\}$, and let D_- denote the diagram obtained by replacing any such columns with the empty column. If D_- is empty, then $\mathbf{i} = \mathbf{j} = \mathbf{M}$ is the empty vector and the algorithm terminates.

An *orthodontic step* applied to D_- outputs a diagram $(D_-)''$ which we now describe. A *missing tooth* of a column $C \subseteq [n]$ is an integer $i \in [n]$ so that $i \notin C$ and $i + 1 \in C$; any nonempty column of D_- has a missing tooth. Set i_1 to be the

smallest missing tooth of the leftmost nonempty column $(D_-)_j$ of D_- . Set j_1 to be $j - \#\{a \leq i_1 : a \notin (D_-)_j\}$. Now swap rows i_1 and $i_1 + 1$ to get a diagram $(D_-)'$. Any column of $(D_-)'$ which is a standard interval is necessarily equal to $[i_1]$; set $M_1 = \{j : (D_-)'_j = [i_1]\}$. Let $(D_-)''$ denote the diagram obtained from $(D_-)'$ by removing all columns equal to $[i_1]$.

LEMMA 2.7 ([24, Prop 12], see also [19, pg. 12]). *Any %-avoiding diagram D will be the empty diagram after sufficiently many orthodontic steps.*

Repeatedly apply orthodontic steps to the resulting diagrams $(D_-)''$, keeping track of the data $\mathbf{i}, \mathbf{j}, \mathbf{M}$ at each step, until the diagram is empty. These diagrams form the double orthodontic sequence of D .

EXAMPLE 2.8. The orthodontic sequence for the Rothe diagram $D(31542)$ is shown in Figure 4.

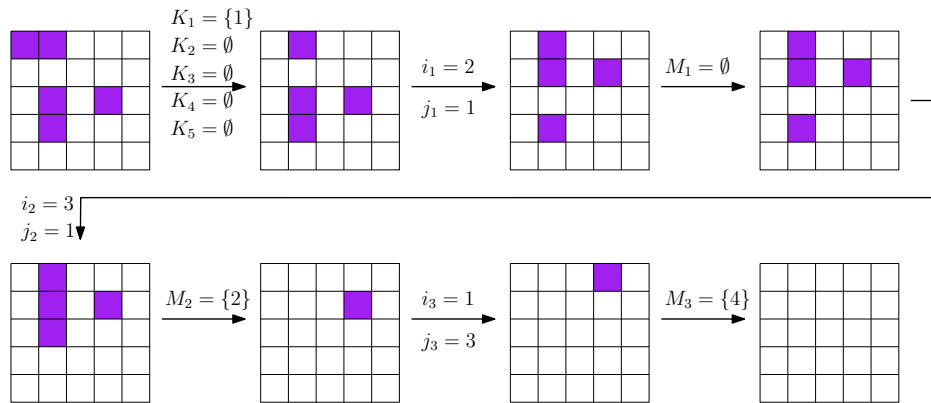


FIGURE 4. The double orthodontic sequence for $w = 31542$.

For a polynomial $f \in \mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n]$, define the operators

$$(2) \quad \pi_{i,j} := \partial_i((x_i + y_j)f), \quad \bar{\pi}_{i,j} := \bar{\partial}_i((x_i + y_j - x_i y_j)f).$$

For $i \in [n]$ and $M \subseteq [n]$, define the quantities

$$(3) \quad \omega_i^M := \prod_{\substack{j \in [i] \\ m \in M}} (x_j + y_m), \quad \bar{\omega}_i^M := \prod_{\substack{j \in [i] \\ m \in M}} (x_j + y_m - x_j y_m).$$

DEFINITION 2.9. Let D be a %-avoiding diagram with double orthodontic sequence $\mathbf{K}, \mathbf{i}, \mathbf{j}, \mathbf{M}$. Define

$$\mathcal{G}_D(\mathbf{x}; \mathbf{y}) := \bar{\omega}_1^{K_1} \bar{\omega}_2^{K_2} \dots \bar{\omega}_n^{K_n} \bar{\pi}_{i_1, j_1}(\omega_{i_1}^{M_1} \bar{\pi}_{i_2, j_2}(\omega_{i_2}^{M_2} \dots \bar{\pi}_{i_\ell, j_\ell}(\omega_{i_\ell}^{M_\ell}) \dots)),$$

and write

$$\mathcal{S}_D(\mathbf{x}; \mathbf{y}) := \omega_1^{K_1} \omega_2^{K_2} \dots \omega_n^{K_n} \pi_{i_1, j_1}(\omega_{i_1}^{M_1} \pi_{i_2, j_2}(\omega_{i_2}^{M_2} \dots \pi_{i_\ell, j_\ell}(\omega_{i_\ell}^{M_\ell}) \dots)).$$

REMARK 2.10. It can be shown by inducting down the orthodontic sequence that the monomial $\prod_{(i,j) \in D} x_i$ appears in $\mathcal{S}_D$ with coefficient 1. As $\mathcal{S}_D$ is nonzero, it follows that $\mathcal{S}_D$ is the lowest degree part of $\mathcal{G}_D$.

REMARK 2.11. The polynomial $\mathcal{G}_D(\mathbf{x}; \mathbf{y})$ is not invariant under permuting columns, in contrast to previous work [19, 20] on the orthodontia algorithm. This is by design: the Rothe diagram $D(2413)$ can be obtained from the Rothe diagram $D(132)$

by permuting the columns and adding a column equal to $\{1, 2\}$, and although the ordinary Grothendieck polynomials satisfy $\mathfrak{G}_{2413}(\mathbf{x}) = x_1 x_2 \mathfrak{G}_{132}(\mathbf{x})$, there does not exist a polynomial $g(\mathbf{x}; \mathbf{y})$ so that $\mathfrak{G}_{2413}(\mathbf{x}; \mathbf{y}) = g(\mathbf{x}; \mathbf{y}) \cdot \mathfrak{G}_{132}(\mathbf{x}; \mathbf{y})$.

2.5. ORTHODONTIC SORT ORDER ON PERMUTATIONS. Following [20], we recall some basic facts about sorted permutations and the sorting projection.

In what follows, a *standard interval* is a set of the form $[j]$ for some $j \geq 0$. Recall that a permutation $w \in S_n$ is called *dominant* if it is 132-avoiding. A permutation w is dominant if and only if its Rothe diagram $D(w) = (D(w)_1, \dots, D(w)_n)$ consists only of standard intervals, which necessarily satisfy $\#D(w)_1 \geq \dots \geq \#D(w)_n$.

DEFINITION 2.12. Fix a permutation $w \in S_n$. The primary column data of w , denoted $(h, C, \alpha, i_1, \beta)$, is defined as follows.

If w is not dominant, the diagram $D(w)$ has a column which is not a standard interval. Set h to be the smallest integer such that the column $D(w)_{h+1}$ is not a standard interval. Set C to be the column $D(w)_{h+1} \subseteq [n]$. Set α to be the largest integer such that $[\alpha] \subseteq C$. Set i_1 to be the smallest missing tooth of C . Lastly, set $\beta = i_1 - \alpha$, the size of the “uppermost gap” of C .

If w is dominant, set $h = n$, $C = \emptyset$, $\alpha = 0$, $i_1 = n$, and $\beta = n$.

LEMMA 2.13 ([20, Lem 3.4]). Any permutation w restricts to a bijection $[\alpha + 1, i_1] \rightarrow [h - \beta + 1, h]$. The corresponding permutation $\sigma \in S_\beta$ is dominant.

See Figure 5 for an example.

For $w \in S_n$, write $\sigma(w)$ for the dominant permutation obtained by restricting w to $[\alpha + 1, i_1]$.

DEFINITION 2.14. The permutation w is sorted if $\sigma(w)$ is the identity. The sorting of w , denoted w_{sort} , is the permutation obtained from w by reordering $w(\alpha + 1), \dots, w(i_1)$ to be in increasing order.

EXAMPLE 2.15. Let $w = 68342751$. The primary column data of w is

$$h = 4, C = \{1, 2, 6\}, \alpha = 2, i_1 = 5, \beta = 3.$$

Note that w restricts to a bijection $\{3, 4, 5\} \rightarrow \{2, 3, 4\}$; the corresponding permutation $\sigma(w) \in S_3$ is $\sigma(w) = 231$. The sorting of w is $w_{\text{sort}} = 68234751$. The Rothe diagrams of w and w_{sort} are displayed in Figure 5.

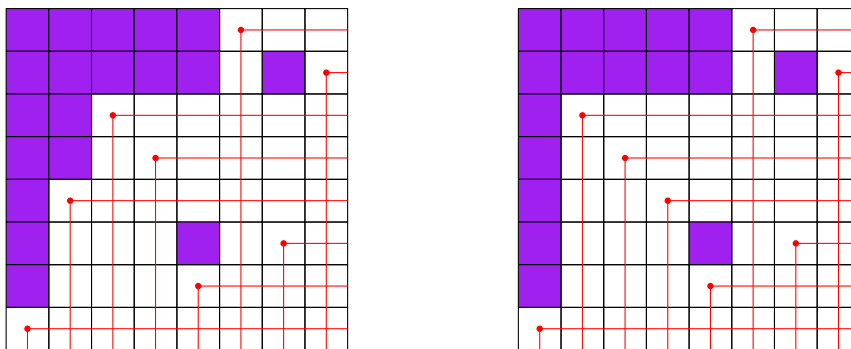


FIGURE 5. The Rothe diagram of $w = 68342751$ on the left, and of its sorting $w_{\text{sort}} = 68234751$ on the right. In this example, $\sigma(w) = 231$.

DEFINITION 2.16. *The orthodontic sort order $\leq_{\text{os}}$ is the reflexive and transitive closure of the relations*

$$\begin{aligned} (\dagger) \quad & w_{\text{sort}} \preceq w \\ (\ddagger) \quad & ws_{i_1} \dots s_{\alpha+1} \preceq w \text{ whenever } w \text{ is nonidentity and sorted.} \end{aligned}$$

PROPOSITION 2.17 ([20, Prop 5.6]). *The relation $\leq_{\text{os}}$ is a partial order on S_n and the identity permutation is the minimum element.*

3. ORTHODONTIA AND DOUBLE GROTHENDIECK POLYNOMIALS

We establish Theorem 1.1 by studying the relationship between $\mathfrak{S}_w$ and $\mathfrak{S}_{w_{\text{sort}}}$ (Proposition 3.7), the relationship between $\mathcal{G}_{D(w)}$ and $\mathcal{G}_{D(ws_{i_1} \dots s_{\alpha})}$ (Theorem 3.9), and then inducting on the orthodontic sort order (Definition 2.16).

The following example motivates Proposition 3.2 and Corollary 3.3.

EXAMPLE 3.1. Let $w = 68342751$, as in Example 2.15, so that $w_{\text{sort}} = 68234751$. Observe that $D(w_{\text{sort}}) = D(w) \setminus \{(2, 3), (2, 4)\}$ is obtained from $D(w)$ by removing bottom-aligned portions of standard interval columns. Proposition 3.2 asserts that this phenomenon holds in general.

Write $\mathbf{K}(w) = (K_1, \dots, K_n)$ and $\mathbf{K}(w_{\text{sort}}) = (K'_1, \dots, K'_n)$. Then:

$$K_1 = \emptyset, \quad K_2 = \{3, 4\}, \quad K_3 = \emptyset, \quad K_4 = \{2\}, \quad K_5 = \emptyset, \quad K_6 = \emptyset, \quad K_7 = \{1\}$$

and

$$K'_1 = \emptyset, \quad K'_2 = \{2, 3, 4\}, \quad K'_3 = \emptyset, \quad K'_4 = \emptyset, \quad K'_5 = \emptyset, \quad K'_6 = \emptyset, \quad K'_7 = \{1\}.$$

The fact that $K'_4 = K_4 \setminus \{2\}$ and $K'_2 = K_2 \cup \{2\}$ can be viewed as a consequence of the fact that $D(\sigma(w)) = D(231)$ has Rothe diagram consisting of one standard interval column of size two.

As $D(w_{\text{sort}})$ can be obtained from $D(w)$ by removing bottom-aligned portions of standard interval columns, the two diagrams agree after removing standard interval columns. It follows that the $\mathbf{i}, \mathbf{j}, \mathbf{M}$ orthodontic sequences of w and w_{sort} agree: $\mathbf{i}(w) = \mathbf{i}(w_{\text{sort}})$, $\mathbf{j}(w) = \mathbf{j}(w_{\text{sort}})$, and $\mathbf{M}(w) = \mathbf{M}(w_{\text{sort}})$. This phenomenon holds more generally, and is stated precisely in Corollary 3.3.

PROPOSITION 3.2. *Let $(h, C, \alpha, i_1, \beta)$ denote the primary column data of $w \in S_n$. Set $\lambda_j^\sigma := \#D(\sigma(w))_j$. The Rothe diagram $D(w_{\text{sort}})$ can be obtained from $D(w)$ by removing bottom-aligned portions of standard-interval columns, and*

$$(4) \quad D(w) \setminus D(w_{\text{sort}}) = \{(\alpha + a, h - \beta + b) : 1 \leq a \leq \lambda_b^\sigma \text{ and } 1 \leq b \leq \beta\}.$$

Proof of Proposition 3.2. As w_{sort} is obtained from w by reordering numbers $\{w^{-1}(j) : j \in [h - \beta + 1, h]\}$, the columns $D(w_{\text{sort}})_j$ and $D(w)_j$ agree whenever $j \notin [h - \beta + 1, h]$. By definition of the primary column data and of the sorting of a permutation, $D(w)_{h-\beta+b} = [\alpha + \lambda_b^\sigma]$ and $D(w_{\text{sort}})_{h-\beta+b} = [\alpha]$. $\square$

COROLLARY 3.3 (cf. [20, Prop 4.4]). *Let $(h, C, \alpha, i_1, \beta)$ denote the primary column data of $w \in S_n$. Set*

$$\mathcal{S}_a := \left\{ h - \beta + j : \begin{array}{c} j \in [\beta] \\ \#D(\sigma(w))_j = a \end{array} \right\}.$$

Write $\mathbf{K}(w) = (K_1, \dots, K_n)$ and $\mathbf{K}(w_{\text{sort}}) = (K'_1, \dots, K'_n)$. Then

$$\mathbf{i}(w) = \mathbf{i}(w_{\text{sort}}), \quad \mathbf{j}(w) = \mathbf{j}(w_{\text{sort}}), \quad \mathbf{M}(w) = \mathbf{M}(w_{\text{sort}}),$$

and

$$K_j = \begin{cases} K'_j & \text{if } j \leq \alpha - 1, \\ K'_j \setminus \{h - \beta + 1, \dots, h\} \cup \mathcal{S}_0 & \text{if } j = \alpha, \\ K'_j \cup \mathcal{S}_a & \text{if } j = \alpha + a, \text{ where } a \in [\beta], \\ K'_j & \text{if } j \geq i_1 + 1. \end{cases}$$

In particular,

$$\mathcal{G}_{D(w)} = \left(\prod_{(a,b) \in D(w) \setminus D(w_{\text{sort}})} (x_a + y_b - x_a y_b) \right) \cdot \mathcal{G}_{D(w_{\text{sort}})}.$$

Proof. Proposition 3.2 implies that the diagrams $D(w)$ and $D(w_{\text{sort}})$ agree after removing their standard interval columns. It follows that the orthodontic sequences $\mathbf{i}, \mathbf{j}, \mathbf{M}$ of w and w_{sort} agree. The formulas for K_j in terms of K'_j hold by (4). The relationship between $\mathcal{G}_{D(w)}$ and $\mathcal{G}_{D(w_{\text{sort}})}$ follows from the construction (1) of $\mathcal{G}_D$. $\square$

LEMMA 3.4. Let $(h, C, \alpha, i_1, \beta)$ denote the primary column data of $w \in S_n$. For $j \in [h]$, set

$$\lambda_j := \#D(w)_j \quad \text{and} \quad \nu_j := \#\{i \leq j : \#D(w)_i \geq w^{-1}(j)\}.$$

Fix any $P \in \text{PD}(w)$. Then for every $j \in [h]$, the pipe $P^{(j)}$ begins by traversing λ_j crossing tiles vertically, ends by traversing ν_j tiles horizontally, and otherwise traverses only bump tiles. In particular, every tile in

$$C_w := \left\{ (i, j) : \begin{array}{l} 1 \leq i \leq \lambda_j, \\ 1 \leq j \leq h \end{array} \right\}$$

is a cross, and every tile in

$$E_w := \left\{ (i, j) : \begin{array}{l} h - \beta + 1 \leq i \leq h, \\ \alpha + 1 \leq j \leq i_1, \\ i + j \leq h + \alpha + 1 \end{array} \right\} \setminus C_w$$

is an elbow.

EXAMPLE 3.5. Figure 6 gives an example of a typical pipe dream, with the structure guaranteed by Lemma 3.4 highlighted.

Proof of Lemma 3.4. Induct on j . The pipe $P^{(1)}$ necessarily traverses λ_1 cross tiles vertically before traversing a bump tile to exit at the $w^{-1}(1)^{\text{th}}$ row. Now assume that, for all $k < j$, the pipes $P^{(k)}$ traverse λ_k cross tiles vertically, then traverse bump tiles, before ending by traversing ν_k tiles horizontally.

As $\lambda_1 \geq \dots \geq \lambda_j$, the inductive hypothesis guarantees that all tiles

$$\left\{ (i, k) : \begin{array}{l} 1 \leq i \leq \lambda_j \\ 1 \leq k \leq j - 1 \end{array} \right\}$$

are cross tiles. Thus if $P^{(j)}$ traverses a bump tile after traversing $a < \lambda_j$ cross tiles vertically, pipe $P^{(j)}$ is forced to travel horizontally until exiting at the $(a + 1)^{\text{th}}$ row. As $a + 1 \leq \lambda_j < w^{-1}(j)$, this is a contradiction.

As λ_i is weakly decreasing, $\#D(w)_i \geq w^{-1}(j)$ if and only if $i \in [\nu_j]$. The inductive hypothesis guarantees that the pipe exiting at row $w^{-1}(j)$ traverses ν_j crossing tiles horizontally before traversing any bump tiles.

It remains to show that $P^{(j)}$ does not traverse any other cross tiles. To this end, observe that any such cross tile (a, b) satisfies $a > \lambda_j$ and $b > \nu_j$. It follows that any such cross tile cannot involve $P^{(w^{-1}(k))}$ for $k \leq \lambda_j$ as the paths of these pipes are contained in $\{(a, b) : a \leq k\}$, and cannot involve $P^{(k)}$ for $k \leq \nu_j$ as the paths of these

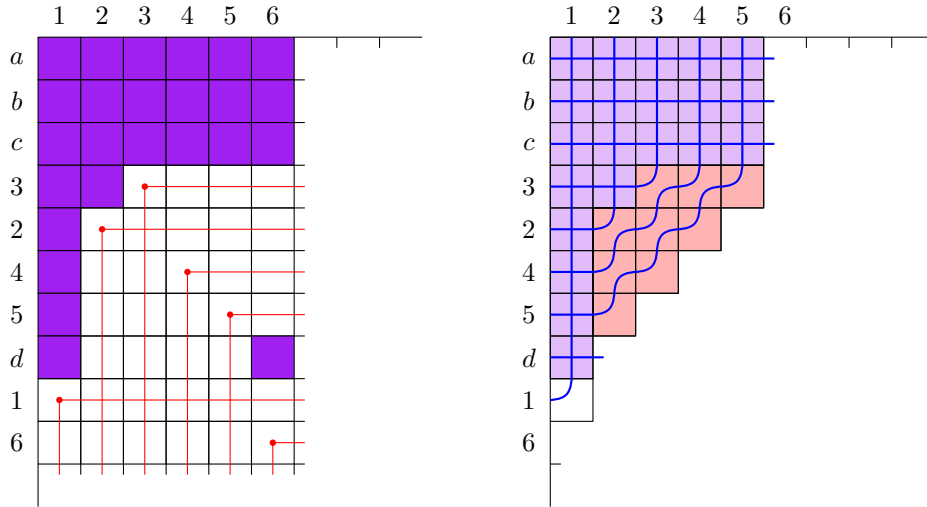


FIGURE 6. Left: The Rothe diagram of a permutation $w = abc3245d16\dots$, for $a, b, c, d > 6$. Right: A typical pipe dream $P \in \text{PD}(w)$. The boxes in C_w are shaded purple and the boxes in E_w are shaded red.

pipes are contained in $\{(a, b) : b \leq \nu_j\}$. In other words, any such cross tile involves $P^{(j)}$ and $P^{(k)}$ for $k \notin w^{-1}([\lambda_j])$ and $k \notin [\nu_j]$.

Then, the first part of the result follows from the fact that the inversions of w involving j are

$$\{(k, j) : k \in w^{-1}([\lambda_j]) \text{ or } k \in [\nu_j]\}.$$

The rest of the lemma follows from the fact that the set of pipes entering the top edge of the triangular grid

$$\left\{ (i, j) : \begin{array}{l} h - \beta + 1 \leq i \leq h, \\ \alpha + 1 \leq j \leq i_1, \\ i + j \leq h + \alpha + 1 \end{array} \right\},$$

namely the set $\{P^{(j)} : h - \beta + 1 \leq j \leq h\}$, is equal to the set of pipes exiting the left edge, hence restricts to a pipe dream for $\sigma(w)$. $\square$

COROLLARY 3.6. *Let $(h, C, \alpha, i_1, \beta)$ denote the primary column data of $w \in S_n$, and let $\mathcal{S} := \{h - \beta + 1, \dots, h\}$. Fix $P \in \text{PD}(w)$.*

- *For $i, j \in \mathcal{S}$ and $k \notin \mathcal{S}$, the pipes $P^{(i)}$ and $P^{(k)}$ cross if and only if $P^{(j)}$ and $P^{(k)}$ cross.*
- *For $i \in \mathcal{S}$ and $j \in [n]$, the pipes $P^{(i)}$ and $P^{(j)}$ cross at most once.*
- *For $i, j \in \mathcal{S}$, the pipes $P^{(i)}$ and $P^{(j)}$ can cross only at $C_w \setminus C_{w_{\text{sort}}}$, and conversely every tile in $C_w \setminus C_{w_{\text{sort}}}$ is a crossing of pipes $P^{(i)}$ and $P^{(j)}$ for $i, j \in \mathcal{S}$.*

Proof. For any $i \in \mathcal{S}$, Lemma 3.4 guarantees that pipe $P^{(i)}$ begins by traversing α many cross tiles vertically, crossing pipes $P^{(k)}$ for $k \in w^{-1}([\alpha])$, and ends by traversing $h - \beta$ many cross tiles horizontally, crossing pipes $P^{(k)}$ for $k \in [h - \beta]$. Lemma 3.4 also guarantees that the tiles in $C_w \cup E_w \setminus C_{w_{\text{sort}}}$ involve only the pipes $P^{(i)}$ for $i \in \mathcal{S}$. All three parts of the claim follow. $\square$

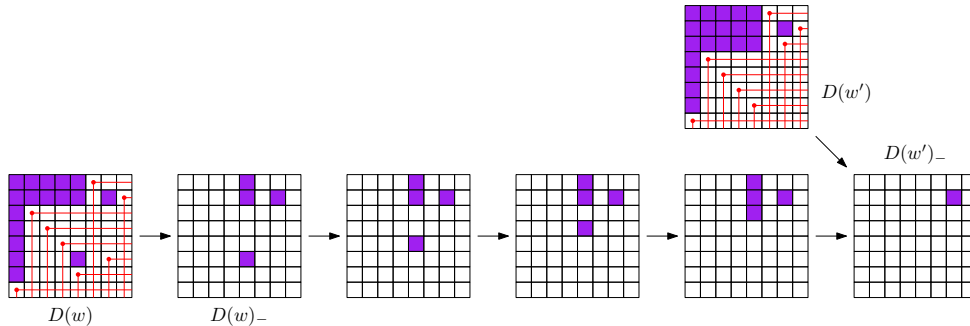


FIGURE 7. Left: Rothe diagram $D(w)$ for $w = 68234751$. Middle: first few orthodontic steps for $D(w)$. Top: Rothe diagram of $D(w')$ for $w' = ws_5s_4s_3 = 68723451$.

PROPOSITION 3.7 (cf. [20, Prop 3.9]). Let $w \in S_n$ and set $\lambda_i := \#D(\sigma(w))_i$. Then

$$\mathfrak{G}_w = \left(\prod_{(a,b) \in D(w) \setminus D(w_{\text{sort}})} (x_a + y_b - x_a y_b) \right) \cdot \mathfrak{G}_{w_{\text{sort}}}.$$

Proof. Fix a pipe dream $P \in \text{PD}(w)$. By Proposition 3.2 and Lemma 3.4, the tiles in $D(w) \setminus D(w_{\text{sort}})$ are crosses. Let $F(P)$ be the pipe dream obtained from P by replacing the crosses in $D(w) \setminus D(w_{\text{sort}})$ with elbows. By uncrossing all pipes $P^{(i)}$ and $P^{(j)}$ with $i, j \in \mathcal{S}$, Corollary 3.6 guarantees that the Demazure word $\partial(F(P))$ of $F(P)$ is w_{sort} .

For any pipe dream $P \in \text{PD}(w_{\text{sort}})$, let $G(P)$ be the pipe dream obtained from P by replacing the elbows in $D(w) \setminus D(w_{\text{sort}}) \subseteq E_{w_{\text{sort}}}$ by crosses. Corollary 3.6 guarantees that the Demazure word $\partial(G(P))$ is w . As $FG: \text{PD}(w_{\text{sort}}) \rightarrow \text{PD}(w_{\text{sort}})$ and $GF: \text{PD}(w) \rightarrow \text{PD}(w)$ are the identity, the map F is a bijection.

The claim follows from the fact that $F: \text{PD}(w) \rightarrow \text{PD}(w_{\text{sort}})$ scales the weight of a pipe dream by the monomial

$$\prod_{(a,b) \in D(w) \setminus D(w_{\text{sort}})} (x_a + y_b - x_a y_b). \quad \square$$

The following example motivates Theorem 3.9, which gives a precise description of the first few terms of the orthodontic sequences of sorted permutations.

EXAMPLE 3.8 (cf. [20, Ex 4.1]). Consider the sorted permutation $w = 68234751$, with Rothe diagram depicted in the left of Figure 7. The Rothe diagram of $w' := ws_5s_4s_3$ “almost” appears in the double orthodontic sequence for $D(w)$, as shown in the top of Figure 7. Part (6) of Theorem 3.9 makes this connection precise.

The primary column data $(h, C, \alpha, i_1, \beta)$ of w is given by $h = 4$, $C = \{1, 2, 6\}$, $\alpha = 2$, $i_1 = 5$, and $\beta = 3$. From that the uppermost gap has size $\beta = 3$ and the first missing tooth $i_1 = 5$ occurs in column $h + 1 = 5$, it follows the next missing teeth are $i_2 = i_1 - 1 = 4$ and $i_3 = i_2 - 1 = 3$ and which occur in the fifth column. This phenomenon is captured as part (1) of Theorem 3.9. Direct computation gives $j_1 = 5 - 3 = 2$, $j_2 = j_1 + 1 = 3$, and $j_3 = j_2 + 1 = 4$; this is part (2) of Theorem 3.9. None of these row-swap orthodontic moves introduce standard interval columns; this is part (5) of Theorem 3.9.

As w is sorted, the three columns immediately to the left of column $h + 1 = 5$ (i.e., columns 2, 3, 4) are standard intervals equal to $[\alpha] = [2]$. This is part (3) of

Theorem 3.9. Furthermore, there are no standard interval columns of size k for any $k \in [\alpha + 1, i_1] = \{3, 4, 5\}$. This is part (4) of Theorem 3.9.

THEOREM 3.9 (cf. [20, Thm 4.2]). *Let $w \in S_n$ be a nonidentity sorted permutation, and suppose w has orthodontic sequence*

$$\mathbf{i} = (i_1, \dots, i_\ell), \quad \mathbf{j} = (j_1, \dots, j_\ell), \quad \mathbf{K} = (K_1, \dots, K_n), \quad \mathbf{M} = (M_1, \dots, M_\ell).$$

Let $(h, C, \alpha, i_1, \beta)$ be the primary column data of w . Write $\mathcal{S} := \{h - \beta + 1, \dots, h\}$. Then:

- (1) *For $k \in [\beta]$, we have $i_k = i_1 - k + 1$.*
- (2) *For $k \in [\beta]$, we have $j_k = h - \beta + k$.*
- (3) *If $\alpha > 0$, then $K_\alpha \supseteq \mathcal{S}$.*
- (4) *For $k \in [\alpha + 1, i_1]$, we have $K_k = \emptyset$.*
- (5) *For $k \in [\beta - 1]$, we have $M_k = \emptyset$.*
- (6) *The permutation $w' := ws_{i_1} \dots s_{\alpha+1}$ has orthodontic sequence*

$$\mathbf{i}(w') = (i_{\beta+1}, \dots, i_\ell), \quad \mathbf{j}(w') = (j_{\beta+1}, \dots, j_\ell), \quad \mathbf{M}(w') = (M_{\beta+1}, \dots, M_\ell),$$

and

$$\mathbf{K}(w') = \begin{cases} (K_1, \dots, K_{\alpha-1}, K_\alpha \setminus \mathcal{S}, \mathcal{S} \sqcup M_\beta, K_{\alpha+2}, \dots, K_n) & \text{if } \alpha > 0 \\ (\mathcal{S} \sqcup M_\beta, K_2, \dots, K_n) & \text{if } \alpha = 0. \end{cases}$$

In particular,

$$(\star) \quad \mathcal{G}_{D(w)} = \bar{\pi}_{i_1, h+1-\beta} \dots \bar{\pi}_{\alpha+1, h} \left(\prod_{s \in \mathcal{S}} (x_{\alpha+1} + y_s - x_{\alpha+1} y_s)^{-1} \cdot \mathcal{G}_{D(w')} \right).$$

Proof. By definition, $C = D(w)_{h+1}$ contains $[\alpha] \cup \{i_1 + 1\}$ and does not contain any of $\alpha + 1, \dots, i_1$. Thus, the leftmost missing teeth of the diagrams in the orthodontic sequence of $D(w)$ are equal to $(i_1, i_1 - 1, \dots, \alpha + 1)$, and all occur at column $h + 1$, with corresponding sequence of uppermost gaps $(\beta, \beta - 1, \dots, 1)$. Parts (1) and (2) follow.

As w is sorted, the columns $D(w_{\text{sort}})_{h-\beta+b}$ are equal to $[\alpha]$, and part (3) follows. Parts (4) and (5) are proven as [20, Thm 4.2, (iii), (iv)] respectively.

In order to relate the orthodontic sequences of w and w' , consider the diagrams

$$E = (\underbrace{\emptyset, \dots, \emptyset}_{h \text{ many}}, D(w)_{h+1}, \dots, D(w)_n),$$

$$E' = (\underbrace{\emptyset, \dots, \emptyset}_{h \text{ many}}, D(w')_{h+1}, \dots, D(w')_n).$$

Note that $E' = E \cdot s_{i_1} \dots s_{\alpha+1}$ and that $M_\beta = \{j: E'_j = [\alpha + 1]\}$. As the first h columns of $D(w)$ and $D(w')$ are standard intervals, it follows that $D(w')_-$ occurs in the orthodontic sequence of diagrams for $D(w)$ and furthermore that

$$\mathbf{i}(w') = (i_{\beta+1}, \dots, i_\ell), \quad \mathbf{j}(w') = (j_{\beta+1}, \dots, j_\ell), \quad \mathbf{M}(w') = (M_{\beta+1}, \dots, M_\ell).$$

From the facts that

- $D(w)_j = D(w')_j$ for $j \leq h - \beta$,
- $D(w)_j \cup \{\alpha + 1\} = D(w')_j$ for $h - \beta + 1 \leq j \leq h$, and
- $M_\beta = \{j: E'_j = [\alpha + 1]\}$,

the formula for $\mathbf{K}(w')$ in terms of $\mathbf{K}(w)$ follows. This gives part (6).

Finally, the equation $(\star)$ is a consequence of part (4) of the claim via the string of equalities

$$\begin{aligned} \mathcal{G}_{D(w)} &= \bar{\omega}_1^{K_1} \dots \bar{\omega}_n^{K_n} \bar{\pi}_{i_1, h+1-\beta} \dots \bar{\pi}_{\alpha+1, h} (\mathcal{G}_{D(w')})_- \\ &\stackrel{(4)}{=} \bar{\pi}_{i_1, h+1-\beta} \dots \bar{\pi}_{\alpha+1, h} \left(\bar{\omega}_1^{K_1} \dots \bar{\omega}_n^{K_n} \mathcal{G}_{D(w')})_- \right) \\ &= \bar{\pi}_{i_1, h+1-\beta} \dots \bar{\pi}_{\alpha+1, h} \left(\prod_{s \in S} (x_{\alpha+1} + y_s - x_{\alpha+1} y_s)^{-1} \cdot \mathcal{G}_{D(w')} \right). \quad \square \end{aligned}$$

In order to relate the formula from Theorem 3.9 to Grothendieck polynomials, the following lemmas will be useful.

LEMMA 3.10. *Let $\bar{\Delta}_a := \bar{\partial}_{i+a} \circ \dots \circ \bar{\partial}_i$. For $a = -1$, set $\bar{\Delta}_a := 1$ to be the identity operator. Given $g \in \mathbb{C}[\mathbf{x}, \mathbf{y}]$ and $\ell \geq 1$ such that $\partial_{i+\ell}(g) = 0$, the equality*

$$\bar{\pi}_{i+\ell, j_\ell} \circ \bar{\Delta}_{\ell-1}(g) = \bar{\partial}_{i+\ell} \circ \bar{\pi}_{i+\ell-1, j_\ell} \circ \bar{\Delta}_{\ell-2}(g)$$

holds. In particular, for any $\ell \geq 0$,

$$\bar{\pi}_{i+\ell, j_\ell} \circ \bar{\Delta}_{\ell-1}(g) = \bar{\Delta}_\ell((x_i + y_{j_\ell} - x_i y_{j_\ell})g)$$

whenever $\partial_{i+1}(g) = \dots = \partial_{i+\ell}(g) = 0$.

Proof. Work in the ring of endomorphisms of $\mathbb{C}[\mathbf{x}, \mathbf{y}]$. For $h \in \mathbb{C}[\mathbf{x}, \mathbf{y}]$, write m_h for the “multiplication by h ” operator, given by $f \mapsto fh$. As

$$\partial_i \circ m_{x_{i+1}} = (m_{x_{i+1}} \circ \partial_i) + 1 \quad \text{and} \quad \partial_i \circ m_{y_j} = m_{y_j} \circ \partial_i \text{ for all } j,$$

observe that

$$\begin{aligned} m_{x_{i+1}-y_j+x_{i+1}y_j} \circ \partial_i &= (m_{x_{i+1}} \circ m_{1+y_j} - m_{y_j}) \circ \partial_i \\ &= (\partial_i \circ m_{x_i} - 1) \circ m_{1+y_j} - \partial_i \circ m_{y_j} \\ (\diamond) \quad &= \partial_i \circ (m_{x_i-y_j+x_i y_j}) - m_{1+y_j}. \end{aligned}$$

Hence

$$\begin{aligned} \bar{\pi}_{i+\ell, j_\ell} \circ \bar{\Delta}_{\ell-1} &= \bar{\partial}_{i+\ell} \circ m_{x_{i+\ell}+y_{j_\ell}-x_{i+\ell}y_{j_\ell}} \circ \bar{\partial}_{i+\ell-1} \circ m_{1-x_{i+\ell}} \circ \bar{\Delta}_{\ell-2} \\ &\stackrel{(\diamond)}{=} \bar{\partial}_{i+\ell} \circ (\bar{\partial}_{i+\ell-1} \circ m_{x_{i+\ell-1}+y_{j_\ell}-x_{i+\ell-1}y_{j_\ell}} - m_{1+y_{j_\ell}}) \circ m_{1-x_{i+\ell}} \circ \bar{\Delta}_{\ell-2} \\ (*) \quad &= \bar{\partial}_{i+\ell} \circ \bar{\pi}_{i+\ell-1, j_\ell} \circ \bar{\Delta}_{\ell-2} - \bar{\partial}_{i+\ell} \circ m_{1+y_{j_\ell}} \circ m_{1-x_{i+\ell}} \circ \bar{\Delta}_{\ell-2}. \end{aligned}$$

Note that $\bar{\partial}_{i+\ell}$ and $m_{1-x_{i+\ell}}$ commute with $\bar{\Delta}_{\ell-2}$, so that

$$\begin{aligned} \bar{\partial}_{i+\ell} \circ m_{1+y_{j_\ell}} \circ m_{1-x_{i+\ell}} \circ \bar{\Delta}_{\ell-2}(g) &= m_{1+y_{j_\ell}} \circ \bar{\Delta}_{\ell-2} \circ \bar{\partial}_{i+\ell} \circ m_{1-x_{i+\ell}}(g) \\ (**) \quad &= m_{1+y_{j_\ell}} \circ \bar{\Delta}_{\ell-2} \circ \underbrace{\bar{\partial}_{i+\ell}((1-x_{i+\ell+1})(1-x_{i+\ell})g)}_{=0}. \end{aligned}$$

Applying the equality $(*)$ of operators to the function g , and using $(**)$, the claimed equality

$$\bar{\pi}_{i+\ell, j_\ell} \circ \bar{\Delta}_{\ell-1}(g) = \bar{\partial}_{i+\ell} \circ \bar{\pi}_{i+\ell-1, j_\ell} \circ \bar{\Delta}_{\ell-2}(g)$$

follows.

The second part of the lemma, for $\ell = 0$, is nothing but the identity

$$(5) \quad \bar{\pi}_{i, j_0}(g) = \bar{\partial}_i((x_i - y_{j_0})g),$$

and in general it follows from repeated application of the first part of the lemma combined with the identity (5). $\square$

LEMMA 3.11 (cf. [20, Lem 5.11]). *Let g be a polynomial with*

$$\partial_{i+1}(g) = \cdots = \partial_{i+k}(g) = 0.$$

Then

$$\bar{\pi}_{i+k,j_k} \bar{\pi}_{i+k-1,j_{k-1}} \cdots \bar{\pi}_{i,j_0}(g) = \bar{\partial}_{i+k} \cdots \bar{\partial}_i \left(\prod_{a=0}^k (x_i + y_{j_a} - x_i y_{j_a}) \cdot g \right).$$

Proof. Induct on k as follows. The base case $k = 0$ is the identity (5). For $k > 0$, the inductive hypothesis guarantees that

$$\pi_{i+k-1,j_{k-1}} \cdots \bar{\pi}_{i,j_0}(g) = \bar{\Delta}_{k-1} \left(\prod_{a=0}^{k-1} (x_i + y_{j_a} - x_i y_{j_a}) \cdot g \right).$$

Then, because

$$\partial_{i+1} \left(\prod_{a=0}^{k-1} (x_i + y_{j_a} - x_i y_{j_a}) g \right) = \cdots = \partial_{i+k} \left(\prod_{a=0}^{k-1} (x_i + y_{j_a} - x_i y_{j_a}) g \right) = 0,$$

Lemma 3.10 implies that

$$\bar{\pi}_{i+k,j_k} \circ \bar{\Delta}_{k-1} \left(\prod_{a=0}^{k-1} (x_i + y_{j_a} - x_i y_{j_a}) \cdot g \right) = \bar{\Delta}_k \left(\prod_{a=0}^k (x_i + y_{j_a} - x_i y_{j_a}) \cdot g \right). \quad \square$$

THEOREM 1.1. *Let D be a $\mathcal{O}$ -avoiding diagram with double orthodontic sequence $\mathbf{K}, \mathbf{i}, \mathbf{j}, \mathbf{M}$. Define*

$$(1) \quad \mathcal{G}_D(\mathbf{x}; \mathbf{y}) := \bar{\omega}_1^{K_1} \bar{\omega}_2^{K_2} \cdots \bar{\omega}_n^{K_n} \bar{\pi}_{i_1,j_1}(\bar{\omega}_{i_1}^{M_1} \bar{\pi}_{i_2,j_2}(\bar{\omega}_{i_2}^{M_2} \cdots \bar{\pi}_{i_\ell,j_\ell}(\bar{\omega}_{i_\ell}^{M_\ell}) \cdots)).$$

When $D = D(w)$ is the Rothe diagram of a permutation, then $\mathcal{G}_D(\mathbf{x}; \mathbf{y}) = \mathfrak{S}_w(\mathbf{x}; \mathbf{y})$.

Proof of Theorem 1.1. Induct on the orthodontic sort order (Definition 2.16). In the base case $w = \text{id}$, both $\mathcal{G}_{D(\text{id})} = \mathcal{G}_\emptyset$ and $\mathfrak{S}_{\text{id}}$ are equal to 1.

Assume that w is not sorted and that $\mathcal{G}_{D(w_{\text{sort}})} = \mathfrak{S}_{w_{\text{sort}}}$. Corollary 3.3 and Proposition 3.7 imply that

$$\begin{aligned} \mathcal{G}_{D(w)} &= \left(\prod_{(a,b) \in D(w) \setminus D(w_{\text{sort}})} (x_a + y_b - x_a y_b) \right) \mathcal{G}_{D(w_{\text{sort}})} \\ &= \left(\prod_{(a,b) \in D(w) \setminus D(w_{\text{sort}})} (x_a + y_b - x_a y_b) \right) \mathfrak{S}_{w_{\text{sort}}} \\ &= \mathfrak{S}_w. \end{aligned}$$

Now assume that w is sorted and that $\mathcal{G}_{D(ws_{i_1} \dots s_\alpha)} = \mathfrak{S}_{ws_{i_1} \dots s_\alpha}$. Writing $w' := ws_{i_1} \dots s_\alpha$ and $\mathcal{S} := \{h - \beta + 1, \dots, h\}$, Theorem 3.9 and Lemma 3.11 imply that

$$\begin{aligned} \mathcal{G}_{D(w)} &= \bar{\pi}_{i_1,h+1-\beta} \cdots \bar{\pi}_{\alpha+1,h} \left(\prod_{s \in \mathcal{S}} (x_{\alpha+1} + y_s - x_{\alpha+1} y_s)^{-1} \cdot \mathcal{G}_{D(w')} \right) \\ &= \bar{\partial}_{i_1} \cdots \bar{\partial}_\alpha (\mathcal{G}_{D(w')}) \\ &= \bar{\partial}_{i_1} \cdots \bar{\partial}_\alpha (\mathfrak{S}_{w'}) \\ &= \mathfrak{S}_w. \end{aligned} \quad \square$$

COROLLARY 3.12. *If $D = D(w)$ is a Rothe diagram, then $\mathcal{S}_D(\mathbf{x}; \mathbf{y}) = \mathfrak{S}_w(\mathbf{x}; -\mathbf{y})$.*

Proof. By definition, $\mathfrak{S}_w(\mathbf{x}; \mathbf{y})$ is the lowest degree part of $\mathfrak{S}_w(\mathbf{x}; -\mathbf{y})$. Remark 2.10 guarantees that $\mathcal{S}_D(\mathbf{x}; \mathbf{y})$ is the lowest degree part of $\mathcal{G}_D(\mathbf{x}; \mathbf{y})$. The result now follows from Theorem 1.1. $\square$

4. LASCoux POSITIVITY

We prove Theorem 1.2 by reducing the problem to the special case where every column is a standard interval (cf. Lemma 4.3 and Corollary 4.6). This case can be checked explicitly (Proposition 4.11).

Recall the operator $r_{m,n}$ introduced in [29] that acts on n variable polynomial via

$$f(x_1, \dots, x_n) \mapsto x_1^m \dots x_n^m f(x_n^{-1}, \dots, x_1^{-1}).$$

LEMMA 4.1. *For $i \in [n]$ and $M \subseteq [n]$, and $f \in \mathbb{C}[\mathbf{x}]$ satisfying $\deg_{x_i}(f) \leq m$, the equalities*

$$(6) \quad \omega_i^M|_{y_j \mapsto -1} = (x_1 - 1)^{|M|} \dots (x_i - 1)^{|M|}$$

$$(7) \quad r_{m+1,n}((x_1 - 1) \dots (x_i - 1)f) = x_1 \dots x_{n-i}(1 - x_{n-i+1}) \dots (1 - x_n)r_{m,n}(f)$$

$$(8) \quad \pi_{i,j}(f)|_{y_j \mapsto -1} = \partial_i((x_i - 1)(f|_{y_j \mapsto 1}))$$

$$(9) \quad r_{m,n}(\partial_i((x_i - 1)f)) = \bar{\pi}_{n-i}(r_{m,n}(f))$$

hold.

Proof. Equations (6) and (8) are immediate from the definition of ω_i^M and $\pi_{i,j}$. Equations (7) and (9) can be proven by a manual check when f is a monomial and applying linearity. (Equation (9) also follows from [29, Lem 3.4]). $\square$

Let φ_i denote the operator

$$\varphi_i: f \mapsto x_1 \dots x_i(1 - x_{i+1}) \dots (1 - x_n)f.$$

COROLLARY 4.2. *The polynomial $r_{m,n}(\mathcal{S}_D(\mathbf{x}; -\mathbf{1}))$ can be obtained from the polynomial $1 \in \mathbb{C}[\mathbf{x}]$ by repeated application of operators of the form $f \mapsto \bar{\pi}_i(f)$ and φ_i .*

Proof. By the orthodontic formula (1), along with Equations (6) and (8), $\mathcal{S}_D(\mathbf{x}; -\mathbf{1})$ can be obtained from the polynomial $1 \in \mathbb{C}[\mathbf{x}]$ by repeated application of operators of the form

$$f \mapsto \partial_i(x_i - 1)f \quad \text{and} \quad f \mapsto (x_1 - 1) \dots (x_i - 1)f.$$

Using Equations (7) and (9) to commute the operator $r_{m,n}$ past the two operators above, it follows that $r_{m,n}(\mathcal{S}_D(\mathbf{x}; -\mathbf{1}))$ can be obtained by repeated application of the operators $\bar{\pi}_i$ and φ_{n-i} . $\square$

LEMMA 4.3. *The equality*

$$\bar{\pi}_i(\mathfrak{L}_\alpha) = \begin{cases} \mathfrak{L}_\alpha & \text{if } \alpha_i > \alpha_{i+1} \\ \mathfrak{L}_{\alpha \cdot s_i} & \text{if } \alpha_i < \alpha_{i+1} \end{cases}$$

holds; in particular, $\bar{\pi}_i$ preserves Lascoux positivity.

Proof. When $\alpha_i > \alpha_{i+1}$, the definition of Lascoux polynomials guarantees that $\mathfrak{L}_\alpha = \bar{\pi}_i \mathfrak{L}_{\alpha \cdot s_i}$. The claim then follows from the fact that $\bar{\pi}_i$ is idempotent. When $\alpha_i < \alpha_{i+1}$, the claim follows from the definition of $\mathfrak{L}_{\alpha \cdot s_i}$. $\square$

PROPOSITION 4.4. *Conjecture 1.5 implies Conjecture 1.4.*

Proof. By Corollary 4.2, it suffices to show that the operators $\bar{\pi}_i$ and φ_i preserve Lascoux positivity. This follows from Lemma 4.3 and Conjecture 1.5, respectively. $\square$

LEMMA 4.5. Assume that the columns of D are ordered by inclusion, and write $\mathbf{K}, \mathbf{i}, \mathbf{j}, \mathbf{M}$ for the orthodontic sequence. Then:

- (1) Every diagram appearing in the orthodontic sequence of D also has all columns ordered by inclusion.
- (2) If $K_k \neq \emptyset$, then $i_j \neq k$ for all j .
- (3) If $M_k \neq \emptyset$ for some k , then $i_j \neq i_k$ for all $j > k$.

Proof. Part 1 of the lemma follows from the fact that orthodontic moves either remove a column or swap two rows; both of these moves preserve the inclusion property of the columns.

If $K_k \neq \emptyset$, then D has a column equal to $[k]$. As the columns of D are ordered by inclusion, every other column of D is either contained in $[k]$ or is equal to $[k] \sqcup S$ for some S ; in particular, no empty box in the k^{th} row has a square below it in its column. As orthodontic moves remove columns or move boxes up, no empty box in the k^{th} row of any diagram D' appearing in the orthodontic sequence of D has a square below it in its column; in particular, k can never be a missing tooth of D' . Part 2 of the lemma follows.

Similarly, if $M_k \neq \emptyset$ for some k , then there is a diagram D' in the orthodontic sequence of D which has a column equal to $[i_k]$. Arguing as above, it follows that i_k can never be a missing tooth of a diagram in the orthodontic sequence of D' . Part 3 of the lemma follows. $\square$

COROLLARY 4.6. Let D be a diagram whose columns are ordered by inclusion, and let $\mathbf{K}, \mathbf{i}, \mathbf{j}, \mathbf{M}$ denote the orthodontic sequence of D . Then,

$$\mathcal{S}_D(\mathbf{x}; \mathbf{y}) = \pi_{i_1, j_1}(\dots \pi_{i_\ell, j_\ell}(\omega_1^{K_1} \dots \omega_n^{K_n} \cdot \omega_{i_1}^{M_1} \dots \omega_{i_\ell}^{M_\ell}) \dots).$$

Proof. Because ω_i^J is symmetric with respect to $x_1, \dots, x_i$ and also with respect to $x_{i+1}, \dots, x_n$, it follows that ω_i^J commutes with $\pi_{i', j}$ whenever $i' \neq i$. Lemma 4.5 guarantees that the ω_i^J in the orthodontic formula (1) can be commuted past the $\pi_{i', j}$ occurring to the right. The claim follows. $\square$

Let $C_{n, k, \ell}$ be the set of $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{N}^n$ with $\alpha_1 = \dots = \alpha_k = \ell$ and $\alpha_j \leq \ell$ for all j .

LEMMA 4.7. Let $\alpha \in C_{n, k, \ell}$ and $i \leq k$. Then

$$\mathfrak{L}_\alpha(x_1, \dots, x_n) = x_1^\ell \dots x_i^\ell \mathfrak{L}_{\alpha(i)}(x_{i+1}, \dots, x_n), \quad \text{where } \alpha(i) := (\alpha_{i+1}, \dots, \alpha_n).$$

Proof. Write $\lambda := \text{sort}(\alpha)$ for the partition obtained by reordering α so that the components are weakly decreasing. Then

$$\mathfrak{L}_\alpha = \bar{\pi}_{i_1} \dots \bar{\pi}_{i_\ell}(\mathbf{x}^\lambda),$$

where $i_j > k$ for all j . As $\lambda_1 = \dots = \lambda_k = \ell$,

$$\mathfrak{L}_\alpha = x_1^\ell \dots x_i^\ell \bar{\pi}_{i_1} \dots \bar{\pi}_{i_\ell}(\mathbf{x}^{\lambda(i)}), \quad \lambda(i) := (\lambda_{i+1}, \dots, \lambda_n)$$

for any $i \leq k$. The claim follows from the fact that

$$\bar{\pi}_{i_1} \dots \bar{\pi}_{i_\ell}(\mathbf{x}^{\lambda(i)}) = \mathfrak{L}_{\alpha(i)}(x_{i+1}, \dots, x_n). \quad \square$$

LEMMA 4.8. The set of Lascoux polynomials $\{\mathfrak{L}_\alpha : \alpha \in C_{n, k, \ell}\}$ forms a basis for the vector space $V_{k, \ell}$ of polynomials of the form

$$x_1^\ell \dots x_k^\ell \cdot f(x_{k+1}, \dots, x_n), \quad \deg_{x_i}(f) \leq \ell.$$

Proof. The set of polynomials $\mathfrak{L}_\alpha(x_{k+1}, \dots, x_n)$ with $\alpha_i \leq \ell$ forms a basis for the set of polynomials $\mathbb{C}[x_{k+1}, \dots, x_n]$ such that $\deg_{x_i}(f) \leq \ell$ for all i . Lemma 4.7 implies that $\{\mathfrak{L}_\alpha : \alpha \in C_{n,k,\ell}\}$ spans $V_{k,\ell}$. Since Lascoux polynomials are linearly independent, the claim follows. $\square$

Recall that the stable Grothendieck polynomial $G_w(x_1, \dots, x_n)$ of a permutation $w \in S_n$ is defined to be the limit $\lim_{N \rightarrow \infty} \mathfrak{G}_{1^N \times w}(x_1, \dots, x_n, 0, 0, \dots)$.

EXAMPLE 4.9. For $w = 21$, the stable Grothendieck polynomial $G_{21}(x_1, \dots, x_n)$ is equal to

$$G_{21}(x_1, \dots, x_n) = \sum_{i=1}^n (-1)^{i+1} \mathbf{e}_i(\mathbf{x}),$$

where $\mathbf{e}_i$ is the i^{th} elementary symmetric polynomial. (More generally, for permutations with a unique descent, $G_w(x_1, \dots, x_n)$ can be computed e.g. using [18, Thm 2.2].)

In particular,

$$(1 - x_1) \dots (1 - x_n) = 1 - G_{21}(x_1, \dots, x_n).$$

The key ingredient for the Lascoux positivity in Theorem 1.2 is the following result by Orelowitz and Yu.

THEOREM 4.10 ([21, Thm 1.3]). *The product $\mathfrak{L}_\alpha(x_1, \dots, x_n) \cdot G_w(x_1, \dots, x_n)$ is a graded nonnegative sum of Lascoux polynomials:*

$$\mathfrak{L}_\alpha(x_1, \dots, x_n) \cdot G_w(x_1, \dots, x_n) = \sum_{\beta} c_{\beta} (-1)^{|\beta| - \ell(w) - |\alpha|} \mathfrak{L}_{\beta}(x_1, \dots, x_n), \quad c_{\beta} \geq 0.$$

Orelowitz and Yu prove more in [21, Thm 1.3]: they express the product as a sum over certain tableaux, each of which contribute a Lascoux polynomial in the expansion.

PROPOSITION 4.11. *Assume that $\varphi_i^{a_i} \varphi_{i+1}^{a_{i+1}} \dots \varphi_n^{a_n}$ is a graded nonnegative sum of Lascoux polynomials for some $a_i, a_{i+1}, \dots, a_n \geq 0$. Then*

$$\varphi_i \cdot \varphi_i^{a_i} \varphi_{i+1}^{a_{i+1}} \dots \varphi_n^{a_n}$$

is again a graded nonnegative sum of Lascoux polynomials.

Proof. For $i = n$, the result is trivial.

Assume that $i \leq n-1$ and write $f := \varphi_i^{a_i} \varphi_{i+1}^{a_{i+1}} \dots \varphi_n^{a_n}$. Write $\ell := a_i + \dots + a_n$ and observe that $f \in V_{i,\ell}$. Lemma 4.8 implies that the Lascoux expansion of f , graded nonnegative by assumption, reads

$$f = \sum_{\alpha \in C_{n,i,\ell}} (-1)^{|\alpha| - \ell} c_{\alpha} \mathfrak{L}_{\alpha}(x_1, \dots, x_n), \quad c_{\alpha} \geq 0.$$

By Lemma 4.7, the polynomial f expands as

$$f = \sum_{\alpha \in C_{n,i,\ell}} (-1)^{|\alpha| - \ell} c_{\alpha} x_1^{\ell} \dots x_i^{\ell} \cdot \mathfrak{L}_{\alpha(i)}(x_{i+1}, \dots, x_n), \quad \text{where } \alpha(i) := (\alpha_{i+1}, \dots, \alpha_n).$$

As $\varphi_i = x_1 \dots x_i (1 - G_{21}(x_{i+1}, \dots, x_n))$ (cf. Example 4.9), the polynomial $\varphi_i f$ expands as

$$(10) \quad \varphi_i f = \sum_{\alpha \in C_{n,i,\ell}} (-1)^{|\alpha| - \ell} c_{\alpha} x_1^{\ell+1} \dots x_i^{\ell+1} \cdot (1 - G_{21}(x_{i+1}, \dots, x_n)) \cdot \mathfrak{L}_{\alpha(i)}(x_{i+1}, \dots, x_n).$$

Theorem 4.10 implies that

$$G_{21}(x_{i+1}, \dots, x_n) \mathfrak{L}_{\alpha(i)}(x_{i+1}, \dots, x_n) = \sum_{\beta(i)} c_{\alpha(i), \beta(i)} (-1)^{|\beta(i)| - 1 - |\alpha(i)|} \mathfrak{L}_{\beta(i)}(x_{i+1}, \dots, x_n).$$

As $\deg_{x_j}(\mathfrak{L}_{\alpha(i)}) \leq \ell$ and $\deg_{x_j}(G_{21}(x_{i+1}, \dots, x_n)) = 1$ for $i + 1 \leq j \leq n$, it follows that $\deg_{x_j}(\mathfrak{L}_{\beta(i)}) \leq \ell + 1$ for $i + 1 \leq j \leq n$. Writing $\beta(i) := (\beta_{i+1}, \dots, n)$, Lemma 4.7 implies that

$$x_1^{\ell+1} \dots x_i^{\ell+1} \mathfrak{L}_{\beta(i)}(x_{i+1}, \dots, x_n) = \mathfrak{L}_{\beta}(x_1, \dots, x_n), \quad \beta := (\underbrace{\ell, \dots, \ell}_{i \text{ many}}, \beta_{i+1}, \dots, \beta_n).$$

It follows that each summand in Equation (10) has a graded nonnegative Lascoux expansion. $\square$

THEOREM 1.2. *Let $D \subseteq [n] \times [m]$ be a diagram whose columns are ordered by inclusion. Let $\mathcal{S}_D(\mathbf{x}; \mathbf{y})$ be the lowest degree part of $\mathcal{G}_D(\mathbf{x}; \mathbf{y})$. Then, the polynomial*

$$x_1^m \dots x_n^m \mathcal{S}_D(x_n^{-1}, \dots, x_1^{-1}; -1, \dots, -1)$$

is a graded nonnegative sum of Lascoux polynomials $\mathfrak{L}_{\alpha}(x_1, \dots, x_n)$.

Proof. Corollary 4.6 asserts

$$\mathcal{S}_D(\mathbf{x}; \mathbf{y}) = \pi_{i_1, j_1}(\dots \pi_{i_\ell, j_\ell}(\omega_1^{K_1} \dots \omega_n^{K_n} \cdot \omega_{i_1}^{M_1} \dots \omega_{i_\ell}^{M_\ell}) \dots).$$

Equations (6)–(9), along with the fact that the φ_i commute with each other, imply that

$$r_{m,n}(\mathcal{S}_D(\mathbf{x}; -1)) = \bar{\pi}_{n-i_1} \dots \bar{\pi}_{n-i_\ell}(\varphi_{k_1} \dots \varphi_{k_m}(1))$$

for $k_1 \geq \dots \geq k_m$. Proposition 4.11 implies that $\varphi_{k_1} \dots \varphi_{k_m}(1)$ is Lascoux positive, and the result follows from Lemma 4.3. $\square$

COROLLARY 1.3. *Let $w \in S_n$ be a vexillary permutation and denote the corresponding double Schubert polynomial by $\mathfrak{S}_w(x_1, \dots, x_n; y_1, \dots, y_n)$. Then the polynomial*

$$x_1^n \dots x_n^n \mathfrak{S}_w(x_n^{-1}, \dots, x_1^{-1}; 1, \dots, 1)$$

is a graded nonnegative sum of Lascoux polynomials $\mathfrak{L}_{\alpha}(x_1, \dots, x_n)$.

Proof. By Corollary 3.12, the double Schubert polynomial $\mathfrak{S}_w(\mathbf{x}; \mathbf{1})$ is equal to $\mathcal{S}_{D(w)}(\mathbf{x}; -1)$. The result follows from Theorem 1.2. $\square$

EXAMPLE 4.12. Replacing $w \in S_n$ with $w(n+1) \in S_{n+1}$ amounts to replacing

$$f := x_1^n \dots x_n^n \mathfrak{S}_w(x_n^{-1}, \dots, x_1^{-1}; \mathbf{1}) \quad \text{with} \quad x_1^{n+1} x_2 \dots x_n x_{n+1} f(x_2, \dots, x_{n+1}).$$

This operation preserves Lascoux positivity by Lemma 4.7. For example, if $w = 321$, then

$$\begin{aligned} \mathfrak{S}_w(\mathbf{x}; \mathbf{y}) &= (x_1 - y_1)(x_2 - y_1)(x_1 - y_2) \\ &= x_1^2 x_2 - x_1^2 y_1 - x_1 x_2 y_1 - x_1 x_2 y_2 + x_1 y_1^2 + x_1 y_1 y_2 + x_2 y_1 y_2 - y_1^2 y_2, \end{aligned}$$

which gives

$$\begin{aligned} x_1^3 x_2^3 x_3^3 \mathfrak{S}_w(x_3^{-1}, x_2^{-1}, x_1^{-1}; \mathbf{1}) &= x_1^3 x_2^2 x_3 - x_1^3 x_2^3 x_3 - x_1^3 x_2^2 x_3^2 - x_1^3 x_2^2 x_3^2 \\ &\quad + x_1^3 x_2^3 x_3^2 + x_1^3 x_2^3 x_3^2 + x_1^3 x_2^2 x_3^3 - x_1^3 x_2^3 x_3^3 \\ &= (\mathfrak{L}_{321}) - (2\mathfrak{L}_{322} + \mathfrak{L}_{331}) + (\mathfrak{L}_{323} + \mathfrak{L}_{332}). \end{aligned}$$

Taking $w = 3214$ gives

$$\begin{aligned} x_1^4 x_2^4 x_3^4 x_4^4 \mathfrak{S}_w(x_4^{-1}, x_3^{-1}, x_2^{-1}, x_1^{-1}; \mathbf{1}) &= x_1^4 x_2^4 x_3^3 x_4^2 - x_1^4 x_2^4 x_3^4 x_4^2 - x_1^4 x_2^4 x_3^3 x_4^3 - x_1^4 x_2^4 x_3^3 x_4^3 \\ &\quad + x_1^4 x_2^4 x_3^4 x_4^3 + x_1^4 x_2^4 x_3^4 x_4^3 + x_1^4 x_2^4 x_3^3 x_4^4 - x_1^4 x_2^4 x_3^4 x_4^4 \\ &= (\mathfrak{L}_{4432}) - (2\mathfrak{L}_{4433} + \mathfrak{L}_{4442}) + (\mathfrak{L}_{4434} + \mathfrak{L}_{4443}). \end{aligned}$$

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LINUS SETIABRATA, Massachusetts Institute of Technology, Department of Mathematics, Cambridge,
MA 02139
E-mail : `setia@mit.edu`

AVERY ST. DIZIER, Michigan State University, Department of Mathematics, East Lansing, MI 48824
E-mail : `stdizier@msu.edu`